ASSIGNMENT RECORD 209-18-2000	
To the Honorable Commissioner 101458967	August 3, 2000 Attorney Dkt: 627-327
Please record the attached original documents or copy thereof.	
<ol> <li>Name of conveying party(ies):</li> <li>Thomas Jeffrey Clark Gary Maurice Dull Dwo Lynm Lan Miao Craig H. Miller Jeffrey Daniel Schmitt</li> </ol>	<ol> <li>Name and address of receiving party(ies):</li> <li>Targacept, Inc.</li> <li>P.O. Box 1487</li> <li>Winston-Salem, North Carolina 27102-1487</li> </ol>
Additional name(s) of conveying party(ies) attached?       Yes X No         3. Nature of conveyance:	Additional name(s) & address(es) attached? <u>Yes X</u> No
<ul> <li>4. Application Serial No. <u>09/578,768</u> Patent No.</li> <li>If this document is being filed together with a new application, the is:</li></ul>	he execution date of the application
<ul> <li>5. Name and address of party to whom correspondence concerning document should be mailed:</li> <li>F. Michael Sajovec</li> <li>Myers Bigel Sibley &amp; Sajovec</li> <li>P. O. Box 37428</li> <li>Raleigh NC 27627</li> </ul>	<ul> <li>6. Total number of applications and patents involved: 1</li> <li>7. Total fee (37 CFR 3.41) \$40.00 X_Enclosed Authorized to be charged to deposit account</li> <li>8. Deposit account number:</li> </ul>
DO NOT USE THIS SPACE 1/14/2000 MTHAI1 00000519 09578768 FC:581 40.00 DP 9. Statement and signature To the best of my knowledge and belief, the foregoing information	on is true and correct and any attached copy is a true copy
of the original document. <u>F. Michael Sajovec</u> Name of Person Signing Signature Total number of pages including cover sheet, attachments and doc	<u>August 3, 2000</u> Date

## PATENT REEL: 011060 FRAME: 0950

## ASSIGNMENT

THIS ASSIGNMENT, made by us, **Thomas Jeffrey Clark**, citizen of the United States of America, residing at 4016 Preswick Court, High Point, North Carolina 27265; **Gary Maurice Dull**, citizen of the United States of America, residing at 6025 Shallowford Road, Lewisville, North Carolina 27023; **Dwo Lynm**, citizen of the United States of America, residing at 801 Madison Avenue, Winston-Salem, North Carolina 27103; **Lan Miao**, citizen of the United States of America, residing at 1544 Ireton Lane, Winston-Salem, North Carolina 27103; **Craig Harrison Miller**, citizen of the United States of America, residing at 1564 Sharon Road, Winston-Salem, North Carolina 27103; and **Jeffrey Daniel Schmitt**, citizen of the United States of America, residing at 632 Laurel Street, Winston-Salem, North Carolina 27101;

WITNESSETH: That,

WHEREAS, we are joint inventors of certain new and useful improvements in PHARMACEUTICAL COMPOSITIONS AND METHODS FOR USE for which an application for United States Letters Patent has been filed in the United States Patent and Trademark Office on May 25, 2000, and assigned Serial No. 09/578,768, and

WHEREAS, **Targacept, Inc.**, a Delaware corporation having a principal place of business at Winston-Salem, County of Forsyth, State of North Carolina, hereinafter referred to as assignee, is desirous of acquiring the entire right, title, and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors, and assigns, as

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## PATENT REEL: 011060 FRAME: 0951

fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

I hereby request that said Letters Patent be issued in accordance with this assignment.

I further covenant and agree that, at the time of the execution and delivery of these presents, I possess full title to the invention and application above-mentioned, and that I have the unencumbered right and authority to make this assignment.

I further covenant and agree to bind my heirs, legal representatives, and assigns promptly to communicate to said assignee or its representatives any facts known to me relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this <u>13th</u> day of <u>July</u>, 2000.

Thomas Jeffrey Clark (SEAL)

STATE OF NORTH CAROLINA ) ) ss: COUNTY OF FORSYTH )

Before me personally appeared **Thomas Jeffrey Clark**, to me known to be the person described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same for the purpose therein stated, this <u>13th</u> day of <u>July</u>, 2000.

Susan C. Alliott Notary Public SEAL

My Commission Expires: \_\_\_\_\_ December 7, 2002



IN WITNESS WHEREOF, I have hereunto set my hand and seal on this <u>13th</u> day of <u>July</u>, 2000.

	my Maurice Dull	(SEAL)	
Gary Maurice Dull			
STATE OF NORTH CAROLINA	) ) ss:		
COUNTY OF FORSYTH	) 55.		

Before me personally appeared **Gary Maurice Dull**, to me known to be the person described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same for the purpose therein stated, this <u>13th</u> day of <u>July</u>, 2000.

Sum C. Elliott Notary Public 00 OFFICIAL SEAL SUSAN C. ELLIOTT TARY PURE IC IORTH CAROLINA SEAL COUR FORSYTH es December 7, 2002

My Commission Expires: \_\_\_\_\_ December 7, 2002

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Before me personally appeared **Dwo Lynm**, to me known to be the person described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same for the purpose therein stated, this <u>13th</u> day of <u>July</u>, 2000.

Susan C. Elliott\_\_\_\_\_ Notary Public OFFICIAL SEAL LIOT TH CAROLINA SEAL

My Commission Expires: December 7, 2002

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IN WITNESS WHEREOF, I have hereunto set my hand and seal on this <u>13th</u> day of <u>July</u>, 2000. <u>Lan Miao</u> (SEAL)

STATE OF NORTH CAROLINA ) ) ss: COUNTY OF FORSYTH )

Before me personally appeared Lan Miao, to me known to be the person described in and who executed the foregoing instrument, and she acknowledged to me that she executed the same for the purpose therein stated, this <u>13th</u> day of <u>July</u>, 2000.

Susan C. Elliott Notary Public OFFICIAL SEAL SUSAN C. ELLIOTT DRTH CAROLINA SEAL 908mber 7, 2002

My Commission Expires: December 7, 2002

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IN WITNESS WHEREOF, I have hereunto set my hand and seal on this <u>13th</u> day

of <u>July</u> , 2000.		
Craig Harrison Miller (SEAL)		
STATE OF NORTH CAROLINA	)	
COUNTY OF FORSYTH	) ss:	

Before me personally appeared **Craig Harrison Miller**, to me known to be the person described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same for the purpose therein stated, this <u>13th</u> day of <u>July</u>, 2000.

Susan C. Elliott Notary Public OFFICIAL SEAL SUSAN C. ELLIOTT TARY PURI IC-ORTH CAROLINA ITY OF FORSYTH SEAL

My Commission Expires: December 7,2002

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IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 13th day

of, 2000.	~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	
)k#	Ray D. Selimit (SEAL)	
Jeffrey D. Schmitt		
STATE OF NORTH CAROLINA	)	
COUNTY OF FORSYTH	) ss: )	

Before me personally appeared **Jeffrey D. Schmitt**, to me known to be the person described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same for the purpose therein stated, this <u>13th</u> day of <u>July</u>, 2000.

Susen C. Elliott Notary Public OFFICIAL SEAL SUSAN C. ELLIOTT TH CAROLINA SEAL December 7, 2002 December 7, 2002 My Commission Expires:

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