

09-15-2000

BSTZ Docket No. 3764.P097

MKD
8/23



101457101

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New

Resubmission (Non-Recordation)
Document ID#

Correction of PTO Error
Reel # Frame #

Corrective Document
Reel # Frame #

Conveyance Type

Assignment Security Agreement

License Change of Name

Merger Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

Departmental File Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1) Execution Date Month Day Year

Name (line 2)

Second Party

Name (line 1)

Name (line 2)

Execution Date Month Day Year

Receiving Party

Mark if additional names of receiving parties attached

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number

512-330-0844

Name William W. Kidd, Reg. No. 31,772

Address (line 1) Blakely, Sokoloff, Taylor & Zafman LLP

Address (line 2) 12400 Wilshire Boulevard

Address (line 3) Seventh Floor

Address (line 4) Los Angeles, California 90025-1026

Pages Enter the total number of pages of the attached conveyance document including any attachments.

7

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

[Empty boxes for Patent Application Number(s)]

5,624,457 [Empty boxes for Patent Number(s)]

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT [Empty boxes] PCT [Empty boxes] PCT [Empty boxes]

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40

Method of Payment: Deposit Account

Enclosed Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

02-2666

Authorization to charge additional fees:

Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

William W. Kidd, Reg. No. 31,772

William W. Kidd

8-21-2000

Name of Person Signing

Signature

Date

State of California

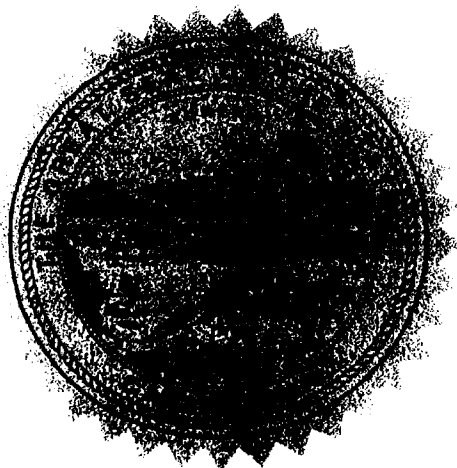


I, *BILL JONES*, Secretary of State of the State of California, hereby certify:

That the attached transcript has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this

JAN - 5 1998



Bill Jones

Secretary of State

DEC 31 1997

AGREEMENT AND PLAN OF MERGER

BILL JONES, Secretary of State

THIS AGREEMENT AND PLAN OF MERGER (the "Merger Agreement"), is made and entered into as of December 31, 1997, by and between ADVANCED CARDIOVASCULAR SYSTEMS, INC., a California corporation ("ACS"), and DEVICES FOR VASCULAR INTERVENTION, INC., a California corporation ("DVI"). ACS and DVI are sometimes referred to in this Merger Agreement as the "Constituent Corporations".

W I T N E S S E T H:

WHEREAS, the Boards of Directors of the Constituent Corporations have each determined that it is in the best interests of their respective shareholders for DVI to merge with and into ACS (the "Merger"), with ACS to be the surviving corporation of the Merger, pursuant to the terms and conditions as set forth in this Merger Agreement and the applicable provisions of the laws of the State of California; and

WHEREAS, the authorized capital stock of ACS consists of 100 (One Hundred) shares of no par value Common Stock, of which, 100 (One Hundred) shares are issued and outstanding. The authorized capital stock of DVI consists of Thirty Million (30,000,000) shares of no par value Common stock, of which, 100 (One Hundred) shares are issued and outstanding;

WHEREAS, the Merger is intended to be treated as a reorganization pursuant to the provisions of Section 368(a)(1)(A) of the Internal Revenue Code of 1986, as amended (the "Code"), by virtue of the provisions of Section 368(a)(2)(D) of the Code:

NOW, THEREFORE, the parties do hereby adopt this Merger Agreement and do hereby promise and agree that DVI shall merge with and into ACS on the following terms, conditions and other provisions:

1. Terms and Conditions.

1.1 The Merger. DVI shall be merged with and into ACS, and ACS shall be the surviving corporation (the "Surviving Corporation"), effective December 31, 1997 (the "Effective Date").

1.2 Succession. On the Effective Date, ACS shall succeed to all of the rights, privileges, powers and property, including without limitation all rights, privileges, franchises, patents, trademarks, licenses, registrations and other assets of every kind and description and all of the debts, liabilities and duties of DVI in the manner as provided in the applicable provisions of the California Corporations Code.

1.3 Common Stock of DVI. Upon the Effective Date, the outstanding shares of DVI shall be canceled and no shares of ACS or other securities or consideration shall be issued in exchange therefor.

1.4 Common Stock of ACS. Upon the Effective Date, the outstanding shares of ACS shall remain outstanding and are not affected by the merger. Each stock certificate evidencing ownership of any such shares shall continue to evidence ownership of such shares of capital stock of ACS.

2. Charter Documents, Directors and Officers.

2.1 Articles of Incorporation and Bylaws.

(a) The Articles of Incorporation of ACS, in effect immediately prior to the Effective Date, shall be and may be certified as the Articles of Incorporation of the Surviving Corporation.

(b) The Bylaws of ACS in effect on the Effective Date shall continue to be the Bylaws of the Surviving Corporation without change or amendment until further amended in accordance with the provisions thereof and applicable California law.

2.2 Directors. Ronald W. Dollens, Keith E. Brauer and Ginger L. Howard, directors of ACS shall remain the directors of the Surviving Corporation to serve until the expiration of their current terms and until their successors have been duly elected and qualified.

2.3 Officers. The officers of ACS immediately preceding the Effective Date shall remain the officers of the Surviving Corporation on the Effective Date to serve at the pleasure of its Board of Directors and in each case, until their respective successors have been duly appointed, qualified and elected.

3. Miscellaneous.

3.1 Further Assurances. From time to time, as and when required by the Surviving Corporation or by its successors and assignees, there shall be executed and delivered on behalf of DVI such deeds and other instruments, and there shall be taken or caused to be taken by it such further and other action, as shall be appropriate or necessary in order to vest or perfect in order to conform of record or otherwise, in the Surviving Corporation the title to and possession of all the property, interests, assets, rights, privileges, immunities, powers, franchises, and authority of DVI and otherwise to carry out the purposes of this Merger Agreement, and the officers and directors of the Surviving Corporation are fully authorized in the name and on behalf of DVI or otherwise to take any and all such action and to execute and deliver any and all such deeds and other instruments.

3.2 Amendment. At any time before or after approval by the shareholders of the Constituent Corporations, this Merger Agreement may be amended in any manner (except

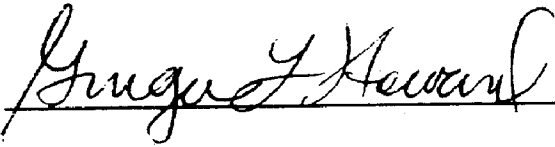
that any of the principal terms may not be amended without the approval of the shareholders of the Constituent Corporations) as may be determined in the judgment of the respective Board of Directors of DVI and ACS to be necessary, desirable or expedient in order to clarify the intention of the parties hereto or to effect or facilitate the purpose and intent of this Merger Agreement.

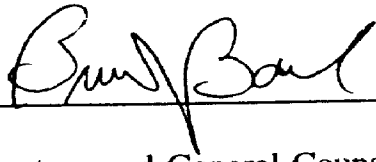
3.3 Abandonment. At any time before the Effective Date, this Merger Agreement may be terminated and the merger may be abandoned by the Board of Directors of either DVI or ACS or both, notwithstanding the approval of the Merger Agreement by the shareholders of the Constituent Corporations.

3.4 Counterparts. In order to facilitate the filing and certification of this Merger Agreement, the same may be executed in any number of counterparts, each of which shall be deemed to be an original.

IN WITNESS WHEREOF, this Merger Agreement, having first been duly approved by the Board of Directors of ACS and DVI, is hereby executed on behalf of each said corporation by their respective officers, as of the date first written above.

ADVANCED CARDIOVASCULAR
SYSTEMS, INC., a California corporation

By 
44 Its President and Chief Executive Officer

By 
45 Its Secretary and General Counsel .

DEVICES FOR VASCULAR
INTERVENTION, INC., a California
corporation

By *Gregory L. Howard*
Its Chairman of the Board

By *Bradford*
Its Secretary and General Counsel

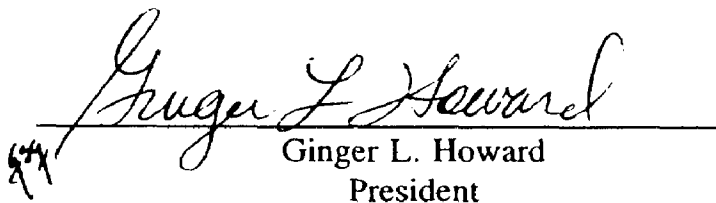
ADVANCED CARDIOVASCULAR SYSTEMS, INC.
OFFICERS'
CERTIFICATE OF APPROVAL
OF
AGREEMENT AND PLAN OF MERGER

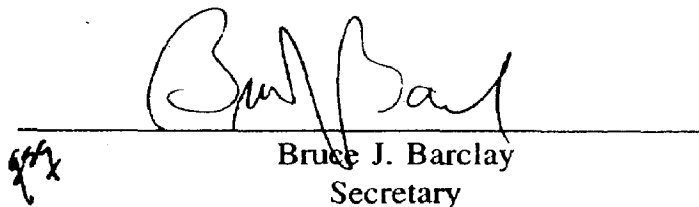
Ginger L. Howard and Bruce J. Barclay certify that:

1. They are the President and Secretary, respectively, of Advanced Cardiovascular Systems, Inc., a California corporation (the "Surviving Corporation").
2. The number of outstanding shares of each class entitled to vote on the attached Agreement and Plan of Merger is ^{one} ~~one~~ hundred (100) shares of the Surviving Corporation's Common Stock.
3. The Agreement and Plan of Merger in the form attached was duly approved by the Board of Directors and Sole Shareholder of the Surviving Corporation.
4. The Agreement and Plan of Merger was approved was by the holders of one hundred percent (100%) of the outstanding shares of the Surviving Corporation's Common Stock.
5. No vote of the shareholders of Guidant Corporation, the Surviving Corporation's parent and sole shareholder, was required.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

Dated: November 24, 1997


Ginger L. Howard
President


Bruce J. Barclay
Secretary


DEVICES FOR VASCULAR INTERVENTION, INC.
OFFICERS'
CERTIFICATE OF APPROVAL
OF
AGREEMENT AND PLAN OF MERGER

Ginger L. Howard and Bruce J. Barclay certify that:

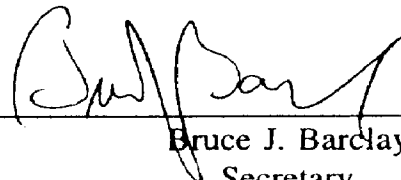
1. They are the Chairman of the Board and Secretary, respectively, of Devices for Vascular Intervention, Inc., a California corporation (the "Merging Corporation").
2. The number of outstanding shares of each class entitled to vote on the attached Agreement and Plan of Merger is ^{One} ~~Hundred~~ (100) shares of the Merging Corporation's Common Stock.
3. The Agreement and Plan of Merger in the form attached was duly approved by the Board of Directors and Sole Shareholder of the Merging Corporation.
4. The Agreement and Plan of Merger was approved was by the holders of one hundred percent (100%) of the outstanding shares of the Merging Corporation's Common Stock.
5. No vote of the shareholders of Guidant Corporation, the Merging Corporation's parent and sole shareholder, was required.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

Dated: November 24, 1997



Ginger L. Howard
Chairman of the Board



Bruce J. Barclay
Secretary

Please type a plus sign (+) inside this box →

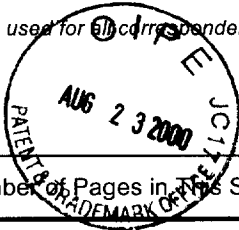
PTO/SB/21 (12/97)

Approved for use through 9/30/00. OMB 0651-0031
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

D4\$

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

BSTZ Docket No. 3764.P097 TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Patent Number	5,624,457
	Issue Date	April 29, 1997
	First Named Inventor	Farley et al.
	Application Serial No.	08/224,169
	Filing Date	April 7, 1994
Total Number of Pages in This Submission	Attorney Docket Number	DEVI1467



ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Recordation Form Cover Sheet Agreement and Plan of Merger	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Checklist and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Declaration and Power of Attorney	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	Return Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	
Please charge any insufficiencies or credit any overpayments to Deposit Account No. 02-2666.		

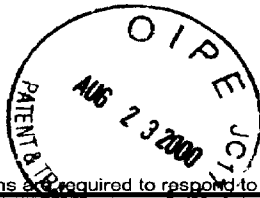
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	William W. Kidd, Reg. No. 31,772	Blakely, Sokoloff, Taylor & Zafman 12400 Wilshire Boulevard Seventh Floor Los Angeles, CA 90025-1026
Signature	<i>William W. Kidd</i>	
Date	8-21-2000	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>		
Typed or printed name	Carmen M. Rhoades	
Signature	<input type="text"/>	Date <input type="text"/>

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PATENT
REEL: 011064 FRAME: 0021

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
 Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 40.00

Complete if Known

Patent Number	5,624,457
Issue Date	April 29, 1997
First Named Inventor	Farley et al.
Application Serial No.	08/224,169
Filing Date	April 7, 1994
Attorney Docket No.	DEVI1467

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-2666

Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet.	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	380	216 190 Extension for reply within second month	
117	870	217 435 Extension for reply within third month	
118	1,360	218 680 Extension for reply within fourth month	
128	1,850	228 925 Extension for reply within fifth month	
119	300	219 150 Notice of Appeal	
120	300	220 150 Filing a brief in support of an appeal	
121	260	221 130 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,210	241 605 Petition to revive - unintentional	
142	1,210	242 605 Utility issue fee (or reissue)	
143	430	243 215 Design issue fee	
144	580	244 290 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00
146	690	246 345 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249 345 For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
SUBTOTAL (3)			(\$) 40.00

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	690	201 345 Utility filing fee	
106	310	206 155 Design filing fee	
107	480	207 240 Plant filing fee	
108	690	208 345 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
<input type="text"/>	-20** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Independent Claims	- 3** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Multiple Dependent		<input type="text"/>	= <input type="text"/>

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103	18	203 9 Claims in excess of 20	
102	78	202 39 Independent claims in excess of 3	
104	260	204 130 Multiple dependent claim, if not paid	
109	78	209 39 ** Reissue independent claims over original patent	
110	18	210 9 ** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	William W. Kidd	Registration No. (Attorney/Agent)	31,772	Telephone	512/330-0844
Signature	<i>William W. Kidd</i>			Date	8-21-2000

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2000</h2> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>	<p>Complete if Known</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>Patent Number</td><td>5,624,457</td></tr> <tr><td>Issue Date</td><td>April 29, 1997</td></tr> <tr><td>First Named Inventor</td><td>Farley et al.</td></tr> <tr><td>Application Serial No.</td><td>08/224,169</td></tr> <tr><td>Filing Date</td><td>April 7, 1994</td></tr> <tr><td>Attorney Docket No.</td><td>DEVI1467</td></tr> </table>	Patent Number	5,624,457	Issue Date	April 29, 1997	First Named Inventor	Farley et al.	Application Serial No.	08/224,169	Filing Date	April 7, 1994	Attorney Docket No.	DEVI1467
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Filing Date	April 7, 1994												
Attorney Docket No.	DEVI1467												
<p>TOTAL AMOUNT OF PAYMENT (\$) 40.00</p>													

<p>METHOD OF PAYMENT (check one)</p> <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 02-2666</p> <p>Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>FEE CALCULATION (continued)</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">3. ADDITIONAL FEES</th> <th colspan="2"></th> <th colspan="2"></th> <th colspan="2"></th> </tr> <tr> <th>Large Entity Code</th> <th>Small Entity Code</th> <th>Fee (\$)</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> <th></th> <th></th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td><td></td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td><td></td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td><td></td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td><td></td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td><td></td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td><td></td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td><td></td><td></td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within second month</td><td></td><td></td><td></td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension for reply within third month</td><td></td><td></td><td></td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td>Extension for reply within fourth month</td><td></td><td></td><td></td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td>Extension for reply within fifth month</td><td></td><td></td><td></td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal</td><td></td><td></td><td></td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of an appeal</td><td></td><td></td><td></td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing</td><td></td><td></td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td><td></td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td><td></td><td></td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td>Petition to revive - unintentional</td><td></td><td></td><td></td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td></td><td></td><td></td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td></td><td></td><td></td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td></td><td></td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td><td></td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td><td></td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td></td><td></td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td><td></td><td></td></tr> <tr><td>146</td><td>690</td><td>246</td><td>345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td><td></td><td></td></tr> <tr><td>149</td><td>690</td><td>249</td><td>345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td><td></td><td></td></tr> <tr><td colspan="4"></td><td>Other fee (specify) _____</td><td></td><td></td><td></td></tr> <tr><td colspan="4"></td><td>Other fee (specify) _____</td><td></td><td></td><td></td></tr> <tr> <td colspan="4" style="text-align: right;">* Reduced by Basic Filing Fee Paid</td> <td style="text-align: right;">SUBTOTAL (3)</td> <td colspan="2" style="text-align: right;">(\$)</td> <td style="text-align: right;">40.00</td> </tr> </tbody> </table>	3. 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SUBMITTED BY		<i>Complete (if applicable)</i>	
Name (Print/Type)	William W. Kidd	Registration No. (Attorney/Agent)	31,772
Signature	<i>William W. Kidd</i>	Telephone	512/330-0844
		Date	8-21-2000

WARNING:

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