

AKP
5-15-00

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

09-18-2000

U.S. Department of Commerce
Patent and Trademark Office
PATENT



101459349

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

9-6-01

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New
☒ Resubmission (Non-Recordation)
Document ID# 101376847
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
Reel # Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached
Execution Date
Month Day Year
12 16 99

Name (line 1) The Roser Partnership III, Ltd., LLP

Name (line 2)

Second Party

Name (line 1) Technology Funding Partners III, LP, a Delaware Limited Partnership

Execution Date
Month Day Year
12 16 99

Name (line 2)

5928578

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Avalon Vision Solutions, LLC, a Georgia Limited Liability Company

Name (line 2)

Address (line 1) 422 Thornton Road, #104

Address (line 2)

Address (line 3) Lithia Springs

Georgia

30122

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 011064 FRAME: 0102

Correspondent Name and Address

Area Code and Telephone Number (303) 894-4440

Name Jeffrey R. Kesselman, Esq.

Address (line 1) 1700 Lincoln Street, Suite 2400, Denver, Colorado 80203

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

5,928,578		
5,993,039		

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

Number of Properties

Enter the total number of properties involved.

2

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 80.00

Method of Payment:
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

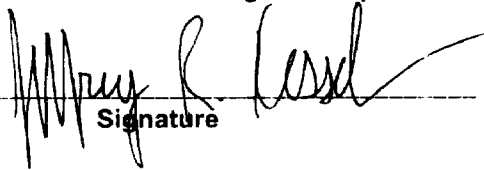
Yes ☐ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Jeffrey R. Kesselman

Name of Person Signing



Signature

5/10/00

Date

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U.S. Department of Commerce
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PATENT

Conveying Party(ies)

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Enter additional Conveying Parties

Execution Date
Month Day Year

Name (line 1) Technology Funding Venture Partners V, an Aggressive Growth Fund, LP

12 16 99

Name (line 2) a Delaware Limited Partnership

Execution Date
Month Day Year

Name (line 1) Technology Funding Venture Partners IV, an Aggressive Growth Fund, LP

12 16 99

Name (line 2) a Delaware Limited Partnership

Execution Date
Month Day Year

Name (line 1) Wolf Venture Partners Co., LLP

12 16 99

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

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PATENTS ONLY

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Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Wolf Capital Partners Co., LLP

Execution Date
Month Day Year

12 16 99

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Solstice Capital Limited Partnership

12 16 99

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

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Application Number(s) or Patent Number(s)

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Patent Application Number(s)

Patent Number(s)

PATENT ASSIGNMENT

WHEREAS, Technology Funding Venture Partners IV, An Aggressive Growth Fund, L.P.; Technology Funding Venture Partners V, An Aggressive Growth Fund, L.P., Technology Funding Partners III, L.P.; Wolf Venture Partners Co., LLP; Wolf Capital Partners Co., LLP; Solstice Capital Limited Partnership; and the Roser Partnership III, Ltd., LLP (collectively, "Assignor"), hold liens against and security interests in the U.S. Letters Patent Nos. 5,928,578 and 5,993,039 (the "Patents") and the inventions covered by the patents (the "Inventions") and hold the power to sell such Patents and Inventions;

WHEREAS, Assignor has agreed to sell all of its right, title and interest in and to the Patents and Inventions in the United States and foreign countries to Avalon Vision Solutions, LLC, a Georgia limited liability company ("Assignee") pursuant to a Private Sale Agreement between Assignor and Assignee;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound hereby, Assignor hereby assigns and transfers to Assignee the entire right, title and interest in and to the Inventions and the Patents in the United States and foreign countries, including but not limited to all reissues, divisions, continuations, and extensions of the Patents, all rights of action arising from the Patents, all claims for damages by reason of past infringement of the Patents and the right to sue and collect damages for such infringement, to be held and enjoyed by Assignee for its own use and benefit and for its successors and assigns as the same would have been held by Assignor had this assignment not been made.

IN WITNESS WHEREOF, the undersigned has executed this instrument to be effective as of December 16, 1999 notwithstanding the actual date of signing.

ASSIGNOR:

Roser Partnership III, Ltd., LLP, for itself, and as attorney in fact for Technology Funding Venture Partners IV, An Aggressive Growth Fund, LP, Technology Funding Venture Partners V, An Aggressive Growth Fund, LP; Technology Funding Partners III, L.P.; Wolf Venture Partners Co., LLP; Wolf Capital Partners Co., LLP; and Solstice Capital Limited Partnership

By: 

Printed: PHILLIP DIGMAN

Its: SPECIAL LIMITED MEMBER OF GENERAL PARTNER
ROSER VENTURES LLC.

STATE OF COLORADO)
) ss.
COUNTY OF BOULDER)

The foregoing Patent Assignment was acknowledged before me this 3rd day of
May, 2000.

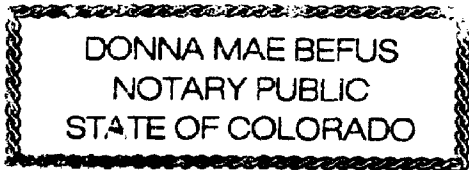
WITNESS my hand and official seal.

My commission expires: 10-29-01



Notary Public

Address: 640 Gooseberry Dr. #1201
Longmont, CO 80503



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