

Docket No. RD-26,899

FORM PTO 1585  
1-31-92

### RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
 Qiwei (nmn) He  
 Paul Russell Wilson  
 Paul William Buckley  
 Allen Wai Yee Ko  
 Larry Allen Divins  
 Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other  
 Execution Date: Oct 16, 2000 Oct 16, 2000  
Oct 16, 2000 Oct 18, 2000 Oct 7, 2000

2. Name and address of receiving party(ies):  
 Name: General Electric Company  
 Internal Address: \_\_\_\_\_  
 \_\_\_\_\_  
 Street Address: One River Road  
 \_\_\_\_\_  
 City: Schenectady State: NY ZIP: 12345  
 Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):  
 If this document is being filed together with a new application, the execution date of the application is:  
Oct 16, 2000 Oct 16, 2000 Oct 16, 2000 Oct 18, 2000 Oct 7, 2000

A. Patent Application No.(s)  
09/470,735

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
 Name: General Electric Company  
 Internal Address: CRD Patent Docket Rm. 4A59  
Bldg. K-1, Salamone  
P.O. Box 8  
 Street Address: One Research Circle  
 \_\_\_\_\_  
 City: Schenectady State: NY ZIP: 12301

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00  
 Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number:  
07-0868

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Bernadette M. Bennett Bernadette M. Bennett October 26, 2000  
 Name of Person Signing Signature

Total number of pages including cover sheet, attachments and document 3

OMB No. 0651-0011 (exp 4/94)

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Mail documents to be recorded with required cover sheet information to:

**Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231**

# ASSIGNMENT

Docket Number  
RD26899

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

Qiwei (NMN) He	of	Niskayuna, New York
Paul Russell Wilson	of	Latham, New York
Paul William Buckley	of	Scotia, New York
Allen Wai Yee Ko	of	Evanville, Indiana
Larry Allen Divins	of	Clifton Park, New York
	of	
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sell and assign to General Electric Company, a New York Corporation and Brown University Research Foundation, a Providence, Rhode Island Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

Polycarbonate Isolation Through Homogenization Devolatization

invented by me (us), as described in the application for United States patent

executed concurrently herewith, or  
 U.S. Serial No.: 09/470,735, filed 12/23/99

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

Oct. 16, 2000  
Date

  
Qiwei (NMN) He

State of New York ) SS:

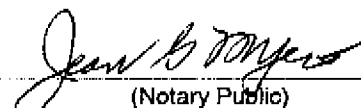
County of Schenectady )

This 16th day of October, 2000, before me personally came the

above-named Qiwei (NMN) He to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

**JEAN G. MYERS**  
 Notary Public in the State of New York  
 Qualified in Schenectady Co.  
 No. 01MY5068850  
 My Commission Expires Nov. 11, 2003

  
(Notary Public)

**ASSIGNMENT**

Docket Number  
RD26899

October 16, 2000

Date

Paul Russell Wilson

Paul Russell Wilson

State of New York ) SS:

County of Schenectady )

This 16th day of October, 2000, before me personally came

the above-named Paul Russell Wilson to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

**JEAN G. MYERS**  
Notary Public in the State of New York  
Qualified in Schenectady Co.  
No. 01MY5068860  
My Commission Expires Nov. 11, 2000

Seal

Jean G Myers  
(Notary Public)

Oct. 16, 2000

Date

Paul William Buckley

Paul William Buckley

State of New York ) SS:

County of Schenectady )

This 16th day of October, 2000, before me personally came

the above-named Paul William Buckley to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

**JEAN G. MYERS**  
Notary Public in the State of New York  
Qualified in Schenectady Co.  
No. 01MY5068860  
My Commission Expires Nov. 11, 2000

Seal

Jean G Myers  
(Notary Public)

Oct. 18, 2000

Date

Allen Wai Yee Ko

Allen Wai Yee Ko

State of Indiana ) SS:

County of POSEY )

This 18th day of October, 2000, before me personally came

the above-named Allen Wai Yee Ko to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Wicki A. Mores  
My COMMISSION EXPIRES (Notary Public) ON 12-18-2000

**ASSIGNMENT**

Docket Number  
RD26899

OCTOBER 7, 2000  
Date

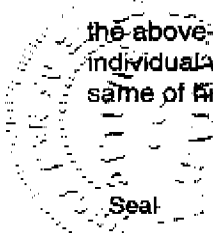
Larry Allen Divins  
Larry Allen Divins

State of New York ) SS:

County of Schenectady )

This 7 day of OCT, 2000, before me personally came

the above-named Larry Allen Divins to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



**JANET CHIRCHILLO**  
NOTARY PUBLIC, State of New York  
Qualified in Saratoga County  
Commission Expires July 29, 2002

Janet Chirchillo  
(Notary Public)

Date

State of \_\_\_\_\_ ) SS:

County of \_\_\_\_\_ )

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came

the above-named \_\_\_\_\_ to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

Date

State of \_\_\_\_\_ ) SS:

County of \_\_\_\_\_ )

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came

the above-named \_\_\_\_\_ to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

GE Corporate Research and Development  
Patent & Legal Operation  
P.O. Box 8, Bldg K1, Room 4A59  
Schenectady, NY 12301

**CONFIDENTIALITY NOTE:**

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DATE: October 27, 2000

TO: ASSIGNMENT DIVISION

PHONE: \_\_\_\_\_

ADDRESS: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

FAX: (703) 306-5995

FROM: K. Parks

PHONE: (518) 387-7723

FAX: (518) 387-6110

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Kathy L Parks

Type or print name of person signing certification

Kathy L Parks  
Signature

10-27-00  
Date

**COMMENTS:**

Recordation Form Cover Sheet w/Assignment:

SIN 09/470,735  
RD-26899

NO. OF PAGES 4-TOTAL