

MRP 8/31/00

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Rec'd PCT/PTO PATENT 31 AUG 2000



Docket: CU-2332 TFP

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**PATENT**  
**REEL: 011065 FRAME: 0818**

09/623337

**Correspondent Name and Address**

Area Code and Telephone Number **534 Rec'd PCT/PTO 31 AUG 2000**

Name

Address (line 1)

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**Pages** Enter the total number of pages of the attached conveyance document including any attachments. #

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

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Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT <input type="text" value="AU9900123"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

**Number of Properties** Enter the total number of properties involved. #

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed  Deposit Account

Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Thomas F. Peterson

August 31, 2000

Name of Person Signing

Signature

Date

Reg. 24790

**ASSIGNMENT (WORLDWIDE)**

WHEREAS, we, **Satoru SONOKE and Junzo SEKI** (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled **FAT EMULSIONS FOR INHALATIONAL ADMINISTRATION** for which application for Letters Patent of the United States has been

\_\_\_\_ executed on even date herewith;  
 \_\_\_\_ filed on \_\_\_\_\_ as Serial No. \_\_\_\_\_.

WHEREAS, **Nippon Shinyaku Co., Ltd., 14, Kisshoin Nishinosho Monguchicho, Minami-ku, Kyoto-shi, Kyoto 601-8550, Japan** (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to the said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted; and all Letters Patent and applications therefor through the world, including all the rights accruing by virtue of the International Convention for the Protection of Industrial Property.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Dated: August 16, 2000

Satoru Sonoke  
**Satoru SONOKE**

Dated: August 16, 2000

Junzo Seki  
**Junzo SEKI**

Practitioner's Docket No. \_\_\_\_\_

**PATENT**

- For:  U.S. and/or  Foreign Rights
- For:  U.S. Application or  
 U.S. Provisional Application
- For:  U.S. Patent
- For:  PCT Application
- By:  Inventor(s) or  Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

Inventor(s) or person(s) or entity(ies) who own the invention

<u>Timothy Edward HAMMON</u> <i>(type or print name(s) of ASSIGNOR(S))</i>	<u>Jonathan Mark BUILMAN</u> <i>(type or print name(s) of ASSIGNOR(S))</i>
<u>Address</u>	<u>Address</u>
<u>1400 East West Highway</u> <u>#1710 Silver Spring</u> <u>Maryland 20910 USA</u> <u>(Formerly of 10/206</u> <u>Victoria Road</u> <u>Bellevue Hill NSW 2023</u> <u>AUSTRALIA)</u>	<u>33 Illiliwa Street</u> <u>Cremorne NSW 2090</u> <u>AUSTRALIA</u>
<u>Nationality USA</u>	<u>Nationality United Kingdom</u>

*(if assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO, add the following)*

Recorded on \_\_\_\_\_ Reel \_\_\_\_\_  
Frame \_\_\_\_\_

hereby sells, assigns and transfers to

**ASSIGNEE:**

IDS Uniphase Pty Ltd  
*(type or print name(s) of ASSIGNEE(S))*

4A Byfield Street  
Address

North Ryde NSW 2113

AUSTRALIA  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

**PATENT**

**REEL: 011065 FRAME: 0821**

(complete one of the following)

- the entire right, title and interest
- an undivided \_\_\_\_\_ percent (\_\_\_\_\_%) interest for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

\_\_\_\_\_  
Name of inventor(s)

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in (37 C.F.R. § 3.21)

- (a)  U.S. patent application executed on even date herewith
- (b)  U.S. patent application executed on \_\_\_\_\_
- (c)  U.S. provisional application naming the above inventor(s) for the above-entitled invention.
  - Express mail label no.: \_\_\_\_\_
  - Mailed: \_\_\_\_\_
- To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
- (d)  U.S. application no. \_\_\_\_\_ / \_\_\_\_\_  
filed on \_\_\_\_\_
- (e)  International application no. PCT/ \_\_\_\_\_ AU99 / \_\_\_\_\_ 00123
- (f)  U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_
  - A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g)  and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

(Assignment of Invention [16-3]-page 2 of 3)


ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, Instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ day

of (as below)  
Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

X Date: 29 August 2000

  
Timothy Edward HAMMON

✓ Date: 27 August 2000

  
Jonathan Mark BULMAN

Date:

(if ASSIGNOR is a legal entity, complete the following information)

\_\_\_\_\_  
(type or print the name of the above person authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 3)