

09-19-2000



Atty Ref/Docket No.: 1133.014US1

101462275

To the Honorable Commissioner of Patents and Trademarks

_____ or copy thereof.

1. Name of conveying party(ies):

Julian I. Schroeder and June Myoung Kwak

2. Name and address of receiving party(ies):

Name: Regents of the University of California

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

Street Address 1111 Franklin St., 12th Floor

3. Nature of conveyance:

[X] Assignment

[] Merger

[] Security Agreement

[] Change of Name

[] Other _____

City: Oakland State: CA ZIP: 94607-5200

Additional name(s) & address(es) attached? [] Yes [X] No

Execution Date: July 20, 2000; July 21, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 09/567,730, filed May 10, 2000

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert J. Harris

6. Total number of applications and patents involved: 1

Address: Schwegman, Lundberg, Woessner & Kluth, P.A.
P.O. Box 2938
Minneapolis, MN 55402

7. Total fee (37 CFR 3.41): \$ 40.00

[X] Enclosed

[] Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our

Deposit account number: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert J. Harris / Reg. No. 37,346

Name of Person Signing

Signature

Date

Total number of pages including cover sheet 4

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09/18/2000 DNGUYEN 00000604 09567730

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PATENT
REEL: 011067 FRAME: 0799

ASSIGNMENT

WHEREAS, WE, Julian I. Schroeder, residing at 5651 Taft Avenue, LaJolla, CA 93037, and June Myoung Kwak, residing at 8232 Regents Road, Apartment #203, San Diego, CA 92122, made certain new and useful inventions and improvements for which We filed an application for Letters Patent of the United States on May 10, 2000, which application was assigned U.S. patent application serial number 09/567,730, and is entitled METHOD TO IMPROVE DROUGHT TOLERANCE IN PLANTS.

AND WHEREAS, Regents of the University of California, a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefore;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 20 day of July, 2000.

W.D.

Julian I. Schroeder

STATE OF _____)
)ss.
COUNTY OF _____)

On this ____ day of _____, 2000 before me personally appeared Julian I. Schroeder to
me known and known to me to be the person described in and who executed the foregoing instrument,
and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein
set forth.

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 21 day of July, 2000.

June Myoung Kwak

STATE OF _____)
)ss.
COUNTY OF _____)

On this ____ day of _____, 2000 before me personally appeared June Myoung Kwak to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public