

09-19-2000

G&C 129.31-US-U2FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



ET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

101462767

Docket-G&C 129.31-US-U2

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- (1) Daniel E.H. Afar
 (2) Rene S. Hubert
 (3) Stephen C. Mitchell

MPD
8-28-00

2. Name and address of receiving party(ies):

UroGenesys, Inc.
 1701 Colorado Avenue
 Santa Monica, California 90404

Additional name(s) of conveying party(ies) attached? ☒ NoAdditional name(s) & address(es) attached? ☒ No

3. Nature of conveyance:

☒ Assignment

Execution Date: (1) August 16, 2000, (2) August 16, 2000, (3) August 16, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/410,132 filed September 30, 1999

Additional numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Karen S. Canady
 Address: GATES & COOPER
 6701 Center Drive West, Suite 1050
 Los Angeles, CA 90045

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed.

8. Please charge any additional fees or credit any overpayments to Deposit Account No. 50-0494.

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karen S. Canady

Name of Person Signing

K S Canady
 Signature

August 21, 2000

Date

Total number of pages including cover sheet, attachments, and document: Three

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
 Box Assignment
 Washington, D.C. 20231

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19/2000 NTHAI1 00000008 09410132

FC:581

40.00 OP

PATENT
 REEL: 011069 FRAME: 0452

ASSIGNMENT

WHEREAS, Daniel E.H. Afar, Rene S. Hubert, and Stephen C. Mitchell (hereinafter "Assignor(s)"), made certain new and useful inventions and improvements for which was filed an application for Letters Patent of the United States on September 30, 1999, having Serial No. 09/410,132, which application is entitled NOVEL GENE EXPRESSED PROSTATE CANCER.

AND WHEREAS, UroGenesys, a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 1701 Colorado Avenue, Santa Monica, California 90404 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, Assignor(s) have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, Assignor(s) do hereby agree that they and their executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to Assignor(s) relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to

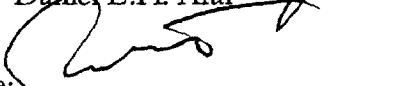
secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s).

Date: 8/16/00

Signature: 
Name: Daniel E.H. Afar

Date: 8/16/2000

Signature: 
Name: Rene S. Hubert

Date: 8/16/2000

Signature: 
Name: Stephen C. Mitchell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Daniel E.H. Afar et al. Examiner: Not yet assigned
Serial No.: 09/410,132 Group Art Unit: 1643
Filed: September 30, 1999 Docket: G&C 129.31-US-U2
Title: NOVEL GENE EXPRESSED IN PROSTATE CANCER

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on August 21, 2000.

By: 

Name: Karen S. Canady

BOX ASSIGNMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Assignment of the invention to UroGenesys, Inc. and a corresponding Recordation Form Cover Sheet.
- ☒ A check in the amount of \$40.00 for the Assignment Recordation Fee is enclosed herewith.
- ☒ Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper. A duplicate of this paper is enclosed.

GATES & COOPER

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: 

Name: Karen S. Canady
Reg. No.: 39,927
KSC/dr

(PTO TRANSMITTAL - GENERAL)

(G&C 129.31-US-U2)

PATENT
REEL: 011069 FRAME: 0455

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
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By: 

Name: Karen S. Canady
Reg. No.: 39,927
KSC/dr

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(G&C 129.31-US-U2)

RECORDED: 08/28/2000

PATENT
REEL: 011069 FRAME: 0456