FORM PTO-1595 (Rev. 6-93)

Reg. No. 37,300

09-19-2000



**ER SHEET** 

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

## Case HW/P-21988/A

OMB No. 0651-0011 (exp. 4/94) 101462738 To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. Name of conveying party(ies): 2. Name and address of receiving party(ies) 1. Leonhard Feiler Name: Ciba Specialty Chemicals Corp. 2. Thomas Ruch Internal Address: P.O. Box 2005 3. Olof Wallquist 4. Peter Nesvadba Street Address: 540 White Plains Road City: Tarrytown State: NY ZIP: 10591 Additional name(s) of conveying party(ies) attached? 3. Nature of conveyance: ☐ Assignment □ Merger ☐ Security Agreement ☐ Change of Name ☑ Other: Please correct the first inventor's last name which was misspelled on the Notice of Recordation of Assignment page. The assignment is recorded under Reel 010842 Frame Additional name(s) & address(es) attached? M No 0402. Execution Date: 1., 2., & 3. 1/12/00 and 4. 1/13/00 4. Application number(s) or Patent number(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) A. Patent Application No.(s) 09/518,464 Additional numbers attached? 5. Name and address of party to whom 6. Total number of applications and patents involved: 1 correspondence concerning document should 7. Total fee (37 CFR 3.41)......\$40.00 be mailed: Name: JoAnn Villamizar **Enclosed** Ciba Specialty Chemicals Corp. Internal Address: Patent Department  $\square$ Authorized to be charged to deposit account P.O. Box 2005 and any other additional fees required. Street Address: 540 White Plains Road City: Tarrytown State: NY ZIP: 10591 8. Deposit account number: 03-1935 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. David R. Crichton Name of Person Signing

> Total number of pages including cover sheet, attachments, and document: Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks. Box Assignments. Washington. D.C. 20231

REEL: 011071 FRAME: 0869

FORM PTO-1595 RECORDATION FOR (Rev. 6-93)	M COVER SHEET U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
OMP No. 0651-0011 (exp. 4/94) PATENTS	
To the Honorable Commission  1. Name of conveying party(ies):	
A. Leonhard Feiler B. Thomas Ruch C. Olof Wallquist D. Peter Nesvadba Additional name(s) of conveying party(ies) attached ARK OFFICE  Yes No  3. Nature of conveyance: Assignment	Internal Address: P.O. Box 2005  Street Address: 540 White Plains Road  City: Tarrytown State: NY ZIP: 10591  \$-25-00  Additional name(s) & address(es) attached?
application is:	
A. Patent Application No.(s) 09/518,464 filed	B. Patent No.(s) ched? □ Yes ☑ No
A. Patent Application No.(s) 09/518,464 filed  March 3, 2000  Additional numbers attact  5. Name and address of party to whom correspondence concerning document should	shed? ☐ Yes ☑ No 6. Total number of applications and patents involved:
A. Patent Application No.(s) 09/518,464 filed  March 3, 2000  Additional numbers attact  5. Name and address of party to whom correspondence concerning document should be mailed:  Name:  JoAnn Villamizar  Ciba Specialty Chemicals Corp.  Internal Address: Patent Department	thed? ☐ Yes ☑ No  6. Total number of applications and patents involved:  7. Total fee (37 CFR 3.41)\$40.00  ☐ Enclosed
A. Patent Application No.(s) 09/518,464 filed  March 3, 2000  Additional numbers attact  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: JoAnn Villamizar  Ciba Specialty Chemicals Corp.  Internal Address: Patent Department  P.O. Box 2005  Street Address: 540 White Plains Road	thed? ☐ Yes ☑ No  6. Total number of applications and patents involved:  7. Total fee (37 CFR 3.41)\$40.00 ☐ Enclosed ☑ Authorized to be charged to deposit account and any other additional fees required.
A. Patent Application No.(s) 09/518,464 filed  March 3, 2000  Additional numbers attact  5. Name and address of party to whom correspondence concerning document should be mailed:  Name:  JoAnn Villamizar  Ciba Specialty Chemicals Corp.  Internal Address: Patent Department  P.O. Box 2005  Street Address: 540 White Plains Road	<ul> <li>ched? ☐ Yes ☑ No</li> <li>6. Total number of applications and patents involved:</li> <li>7. Total fee (37 CFR 3.41)\$40.00</li> <li>☐ Enclosed</li> <li>☑ Authorized to be charged to deposit account and any other additional fees required.</li> <li>8. Deposit account number:</li> </ul>
A. Patent Application No.(s) 09/518,464 filed  March 3, 2000  Additional numbers attact  5. Name and address of party to whom correspondence concerning document should be mailed:  Name:  JoAnn Villamizar  Ciba Specialty Chemicals Corp.  Internal Address: Patent Department  P.O. Box 2005  Street Address: 540 White Plains Road  City: Tarrytown State: NY ZIP: 10591	ched? ☐ Yes ☑ No  6. Total number of applications and patents involved:  7. Total fee (37 CFR 3.41)\$40.00 ☐ Enclosed ☑ Authorized to be charged to deposit account and any other additional fees required.  8. Deposit account number: ☐ 03-1935
A. Patent Application No.(s) 09/518,464 filed  March 3, 2000  Additional numbers attact  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: JoAnn Villamizar  Ciba Specialty Chemicals Corp.  Internal Address: Patent Department  P.O. Box 2005  Street Address: 540 White Plains Road City: Tarrytown: State: NY ZIP: 19591  A/2000 DIRRUYEN 00000159 031935 09518464  9. Statement and signature.	<ul> <li>ched? ☐ Yes ☑ No</li> <li>6. Total number of applications and patents involved:</li> <li>7. Total fee (37 CFR 3.41)\$40.00</li> <li>☐ Enclosed</li> <li>☑ Authorized to be charged to deposit account and any other additional fees required.</li> <li>8. Deposit account number:</li> </ul>

US

## **ASSIGNMENT**

I / We Leonhard FEILER of 79395 Neuenburg, Germany Thomas RUCH of 4057 Basel, Switzerland Olof WALLQUIST of 4106 Therwil, Switzerland Peter NESVADBA of 1723 Marly, Switzerland

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to *Ciba Specialty Chemicals Corporation*, *a Delaware corporation*, *of 540 White Plains Road, Tarrytown, NY 10591, USA*, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

## Benzofuran-2-one

invented by me/us and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said *Ciba Specialty Chemicals Corporation*, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said *Ciba Specialty Chemicals Corporation*.

Gentus / Paice	AL, 1. 2000	
Leonhard FEILER	Date	
March .	12.7 2000	
Thomas RUCH	Date	
Olaf Waynil	12.1.2.00	
Olof WALLQUIST	Date	
Poter Vennuel	13.1. 2000	
Potor NESVADRA	Date	

US 09/97

CSC

PATENT REEL: 011071 FRAME: 0871

RECORDED: 09/01/2000