

09-20-2000

U.S. Department of Commerce
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PATENT



101467008

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☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

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☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) Krieger, Monty

Name (line 2)

Second Party

Name (line 1) Edelberg, Jay M.

Name (line 2)

Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Massachusetts Institute of Technology

Name (line 2)

Address (line 1) 77 Massachusetts Avenue

Address (line 2)

Address (line 3) Cambridge Massachusetts 02139

City State/Country Zip Code

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09/19/2000 DNGUYEN 00000203 09606324

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PATENT
REEL: 011075 FRAME: 0052

Correspondent Name and Address

Area Code and Telephone Number (404) 873-8794

Name Patrea L. Pabst, Esq.

Address (line 1) Arnall Golden & Gregory, LLP

Address (line 2) 2800 One Atlantic Center

Address (line 3) 1201 West Peachtree Street

Address (line 4) Atlanta, GA 30309-3450

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

4

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09606324

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
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PCT

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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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Authorization to charge additional fees:

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Patrea L. Pabst

Name of Person Signing

Reg. No.: 31,284

Signature

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
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Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Trigatti, Bernardo

Execution Date
Month Day Year

07242000

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

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☐ Mark if additional names of receiving parties attached

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09606324

Patent Number(s)

ASSIGNMENT

We, Monty Krieger of 33 Woodbine Circle, Needham, Massachusetts 02194, Jay M. Edelberg of 430 East 63rd Street, Apt. 8A, New York, New York 10021, and Bernardo Trigatti of 53 Egerton Road, Arlington, Massachusetts 02474, in consideration of ten dollars and other valuable consideration paid to us by Massachusetts Institute of Technology, a corporation of the Commonwealth of Massachusetts, having its principal place of business at 77 Massachusetts Avenue, Cambridge, Massachusetts 02139, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said Massachusetts Institute of Technology, its successors and assigns, the entire interest for the United States of America, and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property, in a certain invention or improvement in "*SR-BI APO E Knockout Animals and Use Thereof As Models for Atherosclerosis and Heart Attack*" described in U.S. Application Serial No. 09/606,324 filed in the U.S. Patent and Trademark Office on June 28, 2000, by Monty Krieger, Jay M. Edelberg, and Bernardo Trigatti, which claims priority to U.S. Provisional Application Serial No. 60/164,679 filed in the U.S. Patent and Trademark Office on November 10, 1999, and to U.S. Provisional Application Serial No. 60/141,361 filed in the United States Patent and Trademark Office on June 28, 1999, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any provisional, divisional, continuation, continuation-in-part, reissue, or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns, but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation, and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

Title: "SR-BI APO E Knockout Animals and Use Thereof
As Models for Atherosclerosis and Heart Attack"

By: Monty Krieger, Jay M. Edelberg, and Bernardo Trigatti

Filed: June 28, 2000

ASSIGNMENT

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand and seal this 13 day of July, 2000.

Monty Krieger
Monty Krieger

Commonwealth of Massachusetts)

County of Middlesex)

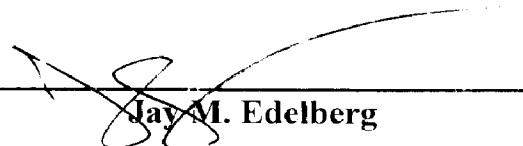
Then personally appeared the above named Monty Krieger and acknowledged the foregoing instrument to be his free act and deed, before me this 13 day of July, 2000.

Deborah Burke Deborah Burke
My Comm. Exp 7/2/2004 Notary Public

My Commission expires: _____

Title: "SR-BI APO E Knockout Animals and Use Thereof
As Models for Atherosclerosis and Heart Attack"
By: Monty Krieger, Jay M. Edelberg, and Bernardo Trigatti
Filed: June 28, 2000
ASSIGNMENT

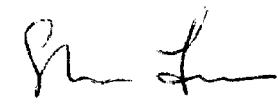
WITNESS my hand and seal this 25th day of July, 2000.



Jay M. Edelberg

State of New York)
County of New York)

Then personally appeared the above named Jay M. Edelberg and acknowledged
the foregoing instrument to be his free act and deed, before me this 25th day of
July, 2000.



Notary Public

My Commission expires: Nov 24, 2001

SHERIE LEM
Notary Public, State of New York
No. 01LE5088716
Qualified in Bronx County 2001
Commission Expires Nov. 24, 2001

Title: "SR-BI APO E Knockout Animals and Use Thereof

As Models for Atherosclerosis and Heart Attack"

By: Monty Krieger, Jay M. Edelberg, and Bernardo Trigatti

Filed: June 28, 2000

ASSIGNMENT

WITNESS my hand and seal this 24 day of July, 2000.

Bernardo Trigatti
Bernardo Trigatti

Commonwealth of Massachusetts)

County of Middlesex)

Then personally appeared the above named Bernardo Trigatti and acknowledged the foregoing instrument to be his free act and deed, before me this 24 day of July, 2000.

Deborah Burke
My Comm. Exp
7/2/2004

Deborah Burke
Notary Public

My Commission expires: _____