

09-22-2000



U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

101467964

**RECORDATION FORM COVER SHEET  
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9.12.00

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☐ New

☒ Resubmission (Non-Recordation)  
Document ID# 101342495

☐ Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

☐ Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

**Conveyance Type**

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other \_\_\_\_\_

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☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Name (line 1) BENEFIT CAPITAL MANAGEMENT CORPORATION

Name (line 2) A DELAWARE CORPORATION

Execution Date  
Month Day Year  
03102000

**Second Party**

Name (line 1) \_\_\_\_\_

Name (line 2) \_\_\_\_\_

Execution Date  
Month Day Year  
4569673

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) BRIDGE BLOOD TECHNOLOGIES LLC

Name (line 2) NEW YORK LIMITED LIABILITY COMPANY

Address (line 1) 1285 AVENUE OF THE AMERICAS

Address (line 2) \_\_\_\_\_

Address (line 3) NEW YORK NEW YORK 10019  
City State/Country Zip Code

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**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name \_\_\_\_\_

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**PATENT**  
**REEL: 011077 FRAME: 0688**

**Correspondent Name and Address**

Area Code and Telephone Number **312-368-4000**

Name **R. BLAKE JOHNSTON**

Address (line 1) **PIPER MARBURY RUDNICK & WOLFE**

Address (line 2) **P.O. BOX 64807**

Address (line 3) **CHICAGO, IL 60664-0807**

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# **27**

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**4569673**

**5443474**

**5741224**

**5275608**

**5498248**

**5759564**

**5322520**

**5725817**

**5843186**

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

PCT

PCT

PCT

only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# **9**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ **360.00**

Method of Payment:

\*\*

Enclosed ☒

Deposit Account ☐

Deposit Account

\*\*

Enclosed with original document on 4/5/00

(Enter for payment by deposit account or if additional fees can be charged to the account.)

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**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

**R. Blake Johnston, Reg. # 41,097**

Name of Person Signing

Signature

Date

**9/16/2000**

### ASSIGNMENT

The undersigned, BENEFIT CAPITAL MANAGEMENT CORPORATION AS INVESTMENT MANAGER FOR THE PRUDENTIAL INSURANCE COMPANY OF AMERICA, SEPARATE ACCOUNT NO. VCA-GA 5028 ("Assignor"), owns certain patents set forth on the "Schedule of Patents Assigned" attached hereto (the "PATENTS") and BRIDGE BLOOD TECHNOLOGIES, LLC (together with its successors and assigns, "Assignee") is desirous of acquiring the title, right and interest in and to the PATENTS and of confirming the same or any part thereof heretofore acquired by Assignee.

NOW, THEREFORE, for valuable consideration furnished by Assignee to Assignor, receipt and sufficiency of which Assignor hereby acknowledges, Assignor hereby, without reservation:

1. Assigns and conveys to and confirms in Assignee the entire right, title and interest in and to the PATENTS, and any continuations, continuations in part, divisions, reissues, reexaminations and extensions of the PATENTS granted for the inventions and discoveries disclosed in the PATENTS and upon which the PATENTS are based, any foreign applications based in whole or in part on the PATENTS, any and all patents (including extensions thereof) of any country based in whole or in part upon the PATENTS (including extensions thereof), of any priority right that is or may be predicated upon or arise from the PATENTS;

2. Assigns and conveys to Assignee all causes of actions, claims and demands or other right for, or arising from any infringement, including past infringement, of the PATENTS;

3. Authorizes and requests the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer the PATENTS to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct, including, without limitation, the right to correct inventorship to include Assignee as a named inventor who was inadvertently omitted from being named in the patent application that was filed, which resulted in the PATENTS;

4. Warrants that Assignor has not knowingly conveyed to others any right in the PATENTS or any license to use the same or to make, use or sell anything embodying or utilizing any of the PATENTS; and the Assignor has corporate authority to assign the same to Assignee;

5. Binds the successors, assigns and legal representatives, as well as Assignor to do, upon Assignee's request and at its expense, but without additional consideration to Assignor or its successors, assigns and legal representatives, all acts reasonably serving to assure that the PATENTS shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by Assignor or its successors and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful applications documents, including petitions, specifications, oaths and all assignments, disclaimers and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to Assignor relating to the PATENTS or the history thereof; and to furnish Assignee with any and all documents in Assignor's control or in the control of Assignor's successors and assigns and that may be useful for establishing the facts of the inventions and discoveries upon which the PATENTS are based.

6. Agrees not to contest the validity, scope or continued maintenance in force of the PATENTS for any reason whatsoever or to voluntarily cooperate with or assist other parties in contesting the validity, scope or continued maintenance in force of the PATENTS.

In testimony of which the undersigned has executed this document by its duly authorized officer.

**BENEFIT CAPITAL MANAGEMENT  
CORPORATION**  
As Investment Manager For The Prudential  
Insurance Company of America, Separate Account  
Number VCA-GA 5028

By: Sue DeCarlo  
Name: Sue DeCarlo  
Title: Sr. VP & CFO

STATE OF )  
 : SS.:  
COUNTY OF )

On the 10<sup>th</sup> day of March, 2000, before me personally came SUE DECARLO, to me known, who being by me duly sworn, did depose and say that he resides at 5 Misty Brook Lane, New Fairfield, CT; that s/he is Sr. VP & CFO of BENEFIT CAPITAL MANAGEMENT CORPORATION AS INVESTMENT MANAGER FOR THE PRUDENTIAL INSURANCE COMPANY OF AMERICA, SEPARATE ACCOUNT NO. VCA-GA 5028, the entity described in and which executed the foregoing instrument; that s/he has been duly authorized to enter into and execute the aforesaid instrument; and that s/he signed his/her name thereto by like order.

Edward T. Foss  
Notary Public

**EDWARD L. FOSS**  
*Notary Public*  
My Commission Expires 5/31/2003

**SCHEDULE OF PATENTS ASSIGNED**

<b><u>Patent No.</u></b>	<b><u>Title</u></b>
4,569,673	Bacterial barrier for indwelling catheters and other medical devices
5,275,608	Generic endoscopic instrument
5,322,520	Iontophoretic structure for medical devices
5,443,474	Menisectomy knife
5,498,248	Iontophoretic structure for medical devices
5,725,817	Iontophoretic structure for medical devices
5,741,224	Iontophoretic material
5,759,564	Iontophoretic material
5,843,186	Intraocular lens with antimicrobial activity