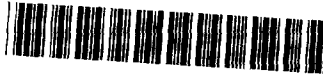


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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please return the attached original documents or copy thereof.

1. Name of conveying party(ies):

NewRiver Investor Communications, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☒ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: August 28, 2000

2. Name and address of receiving party(ies)

Name: Fleet National Bank

Internal Address: \_\_\_\_\_

Street Address: 100 Federal Street

City: Boston State: MA ZIP: 02110

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

- 09/023,979 (2/13/98)
- 09/023,039 (2/12/98)
- 60/183245 (2/17/00)

B. Patent No.(s)

None

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jonathan R. Harris, Esq.

Internal Address: Edwards & Angell, LLP

Street Address: 101 Federal Street

City: Boston State: MA ZIP: 02110

6. Total number of applications and patents involved: 3

7. Total fee (37 CFR 3.41).....\$ 120.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

09/19/2000 NTHAI1 00000230 09023979

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Judy Radoccia  
Name of Person Signing

Signature

September 6, 2000

Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20221

## SECURITY AGREEMENT (PATENTS)

WHEREAS, NEWRIVER INVESTOR COMMUNICATIONS, INC. (formerly known as "InUnity Corporation"), a Massachusetts corporation, with a principal place of business at 200 Brickstone Square, Andover, MA 01810 (the "Company") and FLEET NATIONAL BANK, with a place of business at 100 Federal Street, Boston, Massachusetts 02110 (the "Bank") have entered into an Inventory, Accounts Receivable and Intangibles Security Agreement dated May 6, 1999, as amended (as so amended, the "Security Agreement") and are also parties to a related letter agreement, as amended (as so amended the "Letter Agreement") between the Bank and the Company; and

WHEREAS, the Company is the owner and user of the United States Patent Applications listed on Schedule A hereto and identified in said Letter Agreement and said Security Agreement (collectively, the "U.S. Patent Applications"); and

WHEREAS, among the security interests granted by the Company to the Bank pursuant to the Security Agreement is a security interest in the U.S. Patent Applications listed on Schedule A hereto; and

WHEREAS, the parties to the Security Agreement contemplate and intend that, if an Event of Default (as defined in the Letter Agreement) shall occur and be continuing, the Bank shall have all rights of a foreclosing secured party in and to the U.S. Patent Applications and any proceeds thereof and any registered patents resulting therefrom, including, without limitation, the right, following such foreclosure, to transfer to a purchaser all of the Company's right, title and interest in and to the U.S. Patent Applications and any registered patents resulting therefrom;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties reconfirm the terms of the Security Agreement, as if set forth fully herein, and acknowledge that the Bank has a security interest in the U.S. Patent Applications listed on Schedule A hereto and any registered patents resulting therefrom; as security for the Obligations (as defined in the Security Agreement) the Company hereby collaterally assigns to the Bank, and grants a security interest to the Bank in and to, all of the Company's right, title and interest in and to said U.S. Patent Applications and any registered patents resulting therefrom; the Company agrees that it will not sell or assign any of the U.S. Patent Applications or any registered patents resulting therefrom without the prior written consent of the Bank; and the Company and the Bank request that the Commissioner of Patents and Trademarks record this document with respect to the U.S. Patent Applications.

The Company hereby appoints the Bank as the Company's attorney-in-fact (with full power of substitution and resubstitution) with the power and authority, after the occurrence of any Event of Default (as defined in the Letter Agreement), to execute and deliver, in the name and on behalf of the Company, and to cause the recording of all such further assignments and other instruments as the Bank may reasonably deem necessary or desirable in order to carry out the intent of the Security Agreement and this Security Agreement (Patents). The Company agrees that all third parties may conclusively rely on any such further assignment or other instrument, so

executed, delivered and recorded by the Bank (or the Bank's designee in accordance with the terms hereof) and on the statements made therein.

NEW RIVER INVESTOR.  
COMMUNICATIONS, INC.

FLEET NATIONAL BANK

By: [Signature]  
Name: John Kearney  
Title: CFO and Treasurer

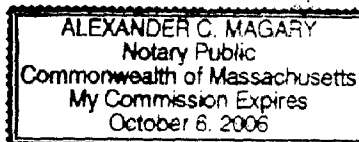
By: [Signature]  
Its Vice President

COMMONWEALTH OF MASSACHUSETTS )  
 ) ss.  
COUNTY OF Essex )

Then personally appeared before me the above-named John Kearney, the CFO of NewRiver Investor Communications, Inc., and stated that he/she executed the foregoing instrument under the authority of said corporation's Board of Directors and acknowledged the foregoing instrument to be the free act and deed of said corporation.

WITNESS my hand and seal this 28 day of August, 2000.

[Signature]  
Notary Public  
My commission expires:



SCHEDULE A

TO

SECURITY AGREEMENT (PATENTS)

Patents with United States Registration

<u>Patent Description</u>	<u>Reg. No.</u>	<u>Issue Date</u>
	None.	

Patent Applications

<u>Description</u>	<u>Serial No.</u>	<u>Filing Date</u>
Mapping compliance information into useable format	09/023,979	Feb. 13, 1998
Obtaining consent for electronic delivery of compliance information	09/023,039	Feb. 12, 1998
Method and apparatus for providing financial transaction data via the Internet	60/183245	Feb. 17, 2000