Form PFO -1619A Expires 06/30/99 OMB 0651-0027

09-22-2000

101466522

SHEET

U.S. Department of Commerce Patent and Trademark Office PATENT

d.6.00

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or conv(ies).

Submission Type Conveyance Type						
() New	(X) Assignment () Security Agreement					
(X) Resubmission (Non-Recordation) Document ID# 101386468 () Correction of PTO Error Reel # Frame # () Corrective Document	() License () Change of Name () Merger () Other U.S. Government (For Use ONLY by U.S. Government Agencies)					
Reel # Frame #	() Departmental File () Secret File					
Conveying Party(ies) ()Mark if additional names of conveying parties attached Execution Date Month Day Year						
Name (line 1) William W. Holmes, IV	<u>06 08 2000</u>					
Name (line 2) Daniel A. Copeland	Execution Date Month Day Year					
Second Party Name (line 1)	06 08 2000					
Name (line 2)						
Receiving Party ()Mark if additional names of receiving parties attached						
Name (line 1) United States Pipe and Foundry Company () If document to be recorded is an assignment and the						
(receiving party is not					
Name (line 2) A/K/A U.S. Pipe	domiciled in the United States, an appointment of a					
	demelifed in the United States, an appointment of a domestic representative is attached. (Designation must be a separate					
Name (line 2) A/K/A U.S. Pipe	domiciled in the United States, an appointment of a domestic representative is attached. (Designation					
Name (line 2) A/K/A U.S. Pipe Address (line 1) 3300 First Avenue North	demelifed in the United States, an appointment of a domestic representative is attached. (Designation must be a separate					
Name (line 2) A/K/A U.S. Pipe Address (line 1) 3300 First Avenue North Address (line 2) Address (line 3) Birmingham.	domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.) Alabama / USA 35203					
Name (line 2) A/K/A U.S. Pipe Address (line 1) 3300 First Avenue North Address (line 2) Address (line 3) Birmingham, city	Alabama / USA State/Country State/Country Alabama / USA State/Country Alabama / USA State/Country Alabama / USA State/Country State/Country Alabama / USA Alabama / USA Alabam					
Name (line 2) A/K/A U.S. Pipe Address (line 1) 3300 First Avenue North Address (line 2) Address (line 3) Birmingham, city Domestic Representative Name and Address	Alabama / USA State/Country State/Country Alabama / USA State/Country Alabama / USA State/Country Alabama / USA State/Country State/Country Alabama / USA Alabama / USA Alabam					
Name (line 2) A/K/A U.S. Pipe Address (line 1) 3300 First Avenue North Address (line 2) Address (line 3) Birmingham, city Domestic Representative Name and Address Name	Alabama / USA State/Country State/Country Alabama / USA State/Country Alabama / USA State/Country Alabama / USA State/Country State/Country Alabama / USA Alabama / USA Alabam					
Name (line 2) A/K/A U.S. Pipe Address (line 1) 3300 First Avenue North Address (line 2) Address (line 3) Birmingham, city Domestic Representative Name and Address Name Address (line 1) Address (line 2) Address (line 3)	Alabama / USA State/Country State/Country Alabama / USA State/Country Alabama / USA State/Country Alabama / USA State/Country State/Country Alabama / USA Alabama / USA Alabam					
Name (line 2) A/K/A U.S. Pipe Address (line 1) 3300 First Avenue North Address (line 2) Address (line 3) Birmingham, city Domestic Representative Name and Address Name Address (line 1) Address (line 2) Address (line 3) Address (line 4)	Alabama / USA 35203 State/Country Zip Code Enter for the first Receiving Party only.					
Name (line 2) A/K/A U.S. Pipe Address (line 1) 3300 First Avenue North Address (line 2) Address (line 3) Birmingham, city Domestic Representative Name and Address Name Address (line 1) Address (line 2) Address (line 3) Address (line 4)	Alabama / USA State/Country State/Country Alabama / USA State/Country Alabama / USA State/Country Alabama / USA State/Country State/Country Alabama / USA Alabama / USA Alabam					

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Manhgement and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

FORM PTO-1619B Expires 06/30/99 OMB 0651-0027		Page 2			Department of Commerce nt and Trademark Office PATENT		
Correspondent Nai	ne and Address	Area Code and T	Telephone Number	(205) 521-83	369		
Name <u>Nath</u>	an W. Johnson						
Address (line 1) Brad	ley Arant Rose & Wh	ite LLP					
Address (line 2) 2001	Park Place, Suite 140	<u>0</u>					
Address (line 3) Birm	ingham, AL 35203-27	<u>36</u>					
Address (line 4)							
_	the total number of pages ing any attachments.	of the attached co	nveyance document	# _	6		
Application Number(s) or Patent Number(s) () Mark if additional numbers attached							
Enter either the Po	atent Application Number o	or the Patent Numb	er (DO NOT ENTER I	BOTH numbers fo	or the same property).		
Patent	Patent Application Number(s) Pater				er(s)		
09590586							
If this document is being t signed by the first named	iled together with a <u>new</u> Pate executing inventor.	nt Application, ente	r the date the patent app	plication was	Month Day Year 06 08 2000		
Patent Cooperation	Treat (PCT)						
	plication number Application Number	PCT	PCT	PCT			
has not been a		PCT	PCT	PCT			
Number of Propert	ies	Enter the total number of properties involved.					
Fee Amount Method of Pay Deposit Accou	ment:	ount for Propertie Enclosed (X)	es Listed (37 CFR 3.41 Deposit Acco	/	40		
(Enter for payment by deposit account or if additional fees can be charged to the account.) Deposit Account Number: # 50-0954							
		Authorization to charge additional fees:			X) No ()		
attached copy indicated here Nathan W. Johnson	my knowledge and belied is a true copy of the origin. Sein. Sein. Seg. No. 44,173	ginal document.					
Name of Person Signi	C	ignature "	//	T ///	,		

1/584356.1



06-21-2000 101386468

2001 Park Place, Suite 1400 Birmingham, Alabama 35203-2736 Telephone (205) 521-8000 Facsimile (205) 521-8800

Direct Dial: (205) 521-8369 E-Mail: njohnson@barw.com

19/550586

June 8, 2000



VIA EXPRESS MAIL NO. EL561353019US

Box PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

> RESTRAINING GASKET FOR MECHANICAL JOINTS OF PIPE Re:

William W. Holmes, IV and Daniel A. Copeland Applicant:

Application No.: not yet assigned

Filed: June 8, 2000 by Express Mail herewith

Art Unit: not vet assigned

Dear Sir:

Enclosed herewith are the following:

- A new PATENT APPLICATION for the **RESTRAINING (1)** GASKET FOR MECHANICAL JOINTS OF PIPE identified therein, including a Specification, an Abstract, and Claims (along with Utility Patent Application Transmittal);
- An INFORMATION DISCLOSURE STATEMENT for the **(2)** above referenced application. No fee or showing is necessary for the Statement as this filing is made within three months of the filing date. We request that the enclosed information be properly processed in your office, though its submission is not an admission that any reference acts as prior art or that conclusions of any foreign search reports are correct;
- A check for the FEE in the amount of \$730, made payable to (3) the Commissioner of Patents and Trademarks; and

A COMBINED DECLARATION AND POWER OF **(4)** ATTORNEY.

06/16/2000 MGORDON 00000036 09590586

200 Clinton Avenue West, Suite 900

Huntstille, Alabama 35801-4900

(256) 517-5100, Fax (256) 517-5200

1/561341.1

Huntsville Office

Montgomery Office 100 North Union Street, Suite 650 Montgemery, Alabama 36104-0001 (334) 25 -4211, Fax (334) 261-4234 Express Mail No. EL561353019US

Washington, D.C. Office 801 Pennsylvania Avenue, N.W. Suite 230 Washington, D.C. 20004-2615 (202) 393-7150 Fax (202) 347-1684

- (5) Assignee Power of Attorney
- (6) Assignment of Patent Application

Thank you for your cooperation and assistance in this matter. Please do not hesitate to call if you have any questions.

Very truly yours,

Nathan W. Johnson Registration No. 44,173

NWJ/tlu

Enclosures

cc/encl: Mr. Larry Dunn

Mr. Dan Copeland Mr. Walt Holmes Thad G. Long, Esq.

Ms. Lakeysucha A. Adams

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

I, <u>William W. Holmes, IV</u>, have agreed and hereby agree to assign for good and valuable consideration, receipt of which is hereby expressly acknowledged, and do hereby sell, assign and transfer unto

United States Pipe and Foundry Company

a corporation organized under the laws of the state of Alabama as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest that I may have, for all countries in and to certain inventions relating to the

RESTRAINING GASKET FOR MECHANICAL JOINTS OF PIPE

I agree that, when requested, I will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

I authorize and empower said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the Patent Coorperation Treaty, the Paris Convention, and the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said treaties and conventions, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

I covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

1 of 2 Express Mail No.EL561353019US

IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below. William W. Hoymet 8 June 00 William W. Holmes, IV (Inventor's Typed Name) STATE OF

) Calculation

) SS

COUNTY OF

) Jefferson

On this 8th day of whe , 2000 before me personally came the above named William

The property of the same of the basis of satisfactory evidence to be the same W. Holmes, IV, who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he executed the same of his own free will for the use and purposes therein set forth. Karen J. Boyd NOTARY PUBLIC My Commission Expires: July 25, 2003 MUMBER PUBLIC STATE OF ALABAMA AT LABOR MY COMMISSION EXPIRES: July 25, 2003 BORDED THRU NOTARY PUBLIC UNDERWRITERS

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

I, <u>Daniel A. Copeland</u>, have agreed and hereby agree to assign for good and valuable consideration, receipt of which is hereby expressly acknowledged, and do hereby sell, assign and transfer unto

United States Pipe and Foundry Company

a corporation organized under the laws of the state of Alabama as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest that I may have, for all countries in and to certain inventions relating to the

RESTRAINING GASKET FOR MECHANICAL JOINTS OF PIPE

described in the application for Letters Patent of the United States filed herewith, bearing Application Serial Number of 1/596 586 and to any invention(s) and improvement(s) set forth in said application, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. I request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

I agree that, when requested, I will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

I authorize and empower said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the Patent Coorperation Treaty, the Paris Convention, and the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said treaties and conventions, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

I covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

1/561348.1 1 of 2 Express Mail No.EL561353019US

IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below. Dan Copeland (Inventor's Typed Name)) SS Defferson STATE OF COUNTY OF On this 8th day of whe , 2000 before me personally came the above named <u>Dan</u> Copeland, who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he executed the same of his own free will for the use and purposes therein set forth. Karen S. Bor NOTARY PUBLIC My Commission Expires: __ MY COMMISSION EXPIRES: July 25, 2003 BONDED THRU NOTARY PUBLIC UNDERWRITERS

2 of 2

Express Mail No.EL561353019US

RECORDED: 06/08/2000

1/561348.1