11-03-2000

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U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

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To the Honorable Commissioner of Patents and Tradema documents or copy thereof.	arks: Please record the attached original
1. Name of conveying party(ies):	2. Name and address of receiving
Rachel K.E. Bellamy, Jason B. Ellis, Thomas D. Erickson, Wendy A. Kellogg, Mark R. Laff, Peter K. Malkin, John T. Richards, Philip S. Yu	party(ies): Name: International Business Machines
Additional name(s) of conveying party(ies)	Corporation 10504
attached? 🗆 Yes 🖾 No	Armonk, New York 10504
3. Nature of conveyance: 10-10-3000	Additional name(s) & address(es) attach⊕d:
⊠ Assignment □ Merger	☐ Yes ☑ No
□ Security Agreement □ Change of Name	
□ Other	
Execution Date: <u>June 9, 12, 26 & 27, 2000</u>	
4. Application number(s) or patent number(s):
If this document is being filed together of the application is:	with a new application, the execution date
A. Patent Application No.(s)	B. Patent No.(s)
09/541,804	
Additional numbers a	ttached? 🗆 Yes 🗵 No
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: _1_
Name: <u>Frank Chau, Esq.</u>	7. Total fee (37 C.F.R. §3.41): \$40.00
Street Address: F. CHAU & ASSOCIATES, LLP	☐ Enclosed ☑ Authorized to be charged to deposit account
1900 Hempstead Turnpike, Suite 501	8. Deposit account number:
City: <u>East Meadow</u> State: <u>NY</u> Zip: <u>11554</u>	50-0510/IBM (Yorktown Heights) (Attach duplicate copy of this page if paying by deposit account)
DO NOT USE	THIS SPACE Charge 40.0
9. Statement and signature. To the best of my knowledge and belief, correct and any attached copy is a true	the foregoing information is true and
Frank Chau Registration No. 34,136 Name of Darson Signing Signature	10/4/00
Total number of pages including c	cover sheet, attachments and documents: 10
Mail documents to be recorded with required cover sheet Assistant Commissioner for Box Assignments Washington, D.C. 20231 Public burden reporting for this sample cover sheet is be recorded, including time for reviewing the document reviewing the sample cover sheet. Send comments regard Trademark Office. Office of Information Systems, PK2-10	estimated to average about 30 minutes per document to and gathering the data needed, and completing and ding this burden estimate to the U.S. Patent and 300C, Washington, D.C. 20231, and to the Office of
To the best of my knowledge and belief, correct and any attached copy is a true Frank Chau Registration No. 34,136 Name of Person Signing Total number of pages including c Do not detach Mail documents to be recorded with required cover sheet Assistant Commissioner for Box Assignments Washington, D.C. 20231 Public burden reporting for this sample cover sheet is be recorded, including time for reviewing the document required the sample cover sheet. Send comments regard	the foregoing information is true and copy of the original document.

Form PTO-1595

08-04-2000

U.S. DEPARTMENT OF COMMERCE 1-31-92 Patent and Trademark Office RECOR 7.6.00 101423049 To the Honorable Commissioner of Patents and Traginel documents or copy thereof. 2. Name and address of receiving Name of conveying party(ies): party(ies): Rachel K.E. Bellamy, Jason B. Ellis, Thomas D. Erickson, Wendy A. Kellog, Mark R. Laff, Peter K. Malkin, Name: International Business Machines John T. Richards, Philip S. Yu Corporation Additional name(s) of conveying party(ies) Armonk. New York 10504 attached? D Yes B No 3. Nature of conveyance: Additional name(s) & address(es) attached: Assignment □ Merger ☐ Yes ☐ No ☐ Security Agreement ☐ Change of Name Other . Execution Date: June 9, 12, 26 & 27, 2000 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 09/541,804 Additional numbers attached? Yes No 5. Name and address of party to whom 6. Total number of applications and patents involved: _1_ correspondence concerning document should be mailed: 7. Total fee (37 C.F.R. \$3.41): \$40.00 Name: Frank Chau, Esq. ☐ Enclosed Authorized to be charged to deposit Street Address: F. CHAU & ASSOCIATES, LLP account 1900 Hempstead Turnpike, Suite 501 Deposit account number: City: East Meadow State: NY Zip: 11554 50-0510/IBM (Yorktown Heights) (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Frank Chau Registration No. 34,136 Signature Name of Person Signing Total number of pages including cover sheet, attachments and documents: Do not detach this portion Mail documents to be recorded with required cover sheet information to: Assistant Commissioner for Patents Box Assignments Washington, D.C. 20231 Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of

Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

08/03/2000 DMGUYEN 200000262 500510 09541804

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40.00 CH

PATENT **REEL: 010962 FRAME: 0583**

PATENT

of Chappaqua

and State of New York

Assignment

Inventor and City Whereas, we

County of Westchester

(1) Rachel K.E. Bellamy of Bedford County of Westchester and State of New York and (2) Jason B. Ellis of Atlanta County of Fulton and State of Georgia and (3) Thomas D. Erickson of Minneapolis and State of Minnesota County of Hennepin and (4) Wendy A. Kellogg of Yorktown Heights County of Westchester and State of New York and (5) Mark R. Laff of Mount Kisco County of Westchester and State of New York and (6) Peter K. Malkin of Aradley County of Westchester and State of New York and (7) John T. Richards of Chappaqua County of Westchester and State of New York and (8) Philip 8. Yu

have invented certain improvements in U.S. Appln. Serial No. 09/541,804 filed April 3, 2000

USER-DEFINED ONLINE INTERACTION METHOD AND DEVICE TITLE

and executed, respectively, a United States patent application therefor on DATES THAT (1) June 9, 2000 and (2)
INVENTORS (4) June 9, 2000 and (5) June 9, 2000 and (3) 2000 2000 and (6) June 9 2000. SIGNED THE (7) June 4, 2000 and (5) June 12, 2000

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

CITY DATE	on June 1	2000,		SIGNATURE
CITY	Signed and sealed and (2) at			SIGNATURE
DATE		2000,	(Jason B. Ellis) NVENTOR FIRST NAME MIDDLE INITIAL LAST NAME	SIGNATURE
	Signed and sealed			6 T CO 1
CITY	and (3) at on	200 <u>0</u> ,	(Thomas D. Erickson) INVENTOR FIRST NAME MIDDLE INITIAL LAST NAME	signaturë
CITY	signed and sealed and (4) at Hawthorn, on June 1, 2	WY 000,	(Wendy A. Kelloge FINVENTOR	8IGNATURE
CITY	Signed and sealed and (5) at Haw Ho	~Y	FIRST NAME MIDDLE INITIAL LAST NAME	SIGNATURE
DATE		2000,	(Mark Maff) INVENTOR FIRST NAME MIDDLE INITIAL LAST NAME	

PATENT REEL: 010962 FRAME: 0584

CITY and	Signed and sealed (6) at Hunthomes, NY	Sotr K Wally	SIGNATURE
DATE	on fine q , 2000,	(Peter K. Malkin) IN FIRST NAME MIDDLE INITIAL	
CITY and	Signed and sealed (7) at Hawkherm, NY	July 7 Ret	
DATE	on June 9, 2000,	(John T. Richards) II	SIGNATURE SIGNATURE LAST NAME
	Signed and sealed	1316 3 11	
CITY and	on June 12. 2000,	Philip S. Yu) INVENTO	SIGNATURE DR LAST NAME
	State of New York County of Westersto)	DARLENE DARILVA Notary Public, State of New York No. 01DA0041055 County of Westshoster
INVENTOR (1)	Rachel K.E. Bellamy person described in and	•	
			Darken Dadilon
			Notary Dadition
	State of County of) ss . :	
INVENTOR (2)	Jason B. Ellis person described in and	-	
			Notary
	State of) 88 . :	
	County of)	
INVENTOR (3)	Thomas D. Erickson person described in and	- -	
			Notary
	State of Arthur Just County of Westerner		
INVENTOR (4)	Wandy A. Kellogg person described in and	who executed the foregoing ass the same as his free act and de	n, and known to me to be the ignment, and he acknowledged ed.
		DARLENS DASILA	ow Book Notary
		44. 477-24-14-1	
	State of New York County of Westernack) BB.: County of Westches Commission Expires May	
INVENTOR (5)	Mark R. Laff person described in and	•	
		DARLENE DARIUA	Duka Dadila
		Notary Public, State of New York No. 01DA6041068	Notary
		County of Westehester Commission Expires May 1, 2.3.2	PATENT
			010962 FRAME: 0585

State of New York 188.: County of Westerheister) DARLEM DASKLYA
Notary Public, State of New York
No. 01 DASS1055
County of Westebester
Commission Expires May 1, 200.2

INVENTOR (6)

on this 9th day of June 2000, before me personally came

Peter K. Malkin to me personally known, and known to me to be the

person described in and who executed the foregoing assignment, and he acknowledged

to me that he executed the same as his free act and deed.

Doulene Dodilu-Notary

State of New York) BB .: County of Westchester)

DARLING DARLINA Notary Public, State of New York No. 01 DASO41055 County of Westehoster

INVENTOR

on this 1 day of June 2000, before me personally to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

Daylone Dadille.

State of New York) BB .: County of Westerharter)

INVENTOR (8)

on this (244 day of 344 2000, before me personally came Philip S. Yu to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

DARLEDS DARLIVA
Notary Public, State of New York
No. 01DA0041065
County of Westehouter
Commission Expires May 1, 200.2

Darlow Andilum Notary

PATENT REEL: 010962 FRAME: 0586

Assignment

Inventor and City Whereas, we

(1) Rachel K.E. Bellamy County of Westchester

and (2) Jason B. Ellis County of Fulton

and (3) Thomas D. Erickson County of Hennepin

and (4) Wendy A. Kellogg County of Westchester

and (5) Mark R. Laff County of Westchester

and (6) Peter K. Malkin County of Westchester

and (7) John T. Richards County of Westchester

and (8) Philip S. Yu County of Westchester and State of New York of Mount Kiaco and State of New York

of Bedford

of Atlanta

of Minneapolis

of Aradley and State of New York

and State of New York

and State of Georgia

of Yorktown Heighte

and State of Minnesota

of Chappaqua and State of New York

of Chappaqua

and State of New York

have invented certain improvements in U.S. Appln. Serial No. 09/541,804 filed April 3, 2000

TITLE

USER-DEFINED ONLINE INTERACTION METHOD AND DEVICE

and executed, respectively, a United States patent application therefor on (1) 2000 and (2) 6-25 2000 and (3)
4) 2000 and (5) 2000 and (6) DATES THAT (1)

INVENTORS (4) SIGNED THE

DECLARATION

2000

2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States and foreign countries, and all lights of priority resulting from the filing of said united States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

CITY	(1)	_	i and sealed	ì				SIGNATURE
DATE	(2)	on		2000,	(Rachel	K.E. Bellamy MIDDLE INITIAL) INVENTOR LAST NAME	
CITY	and		and sealed Atlente, 6 A 6-21-00		7	B. Ellis) NVS	ENTOR	SIGNATURE
DAIG		011	0-25-09	₹~~°°°,	FIRST NAME	MIDDLE INITIAL	LAST NAME	
		Signe	d and sealed	3				
CITY	and	(3) at						SIGNATURE
DATE		on		2000,	(Thomas FIRST NAME	D. Erickson MIDDLE INITIAL	LAST NAME	
		Signe	d and sealed	d.				
CITY	and	(4) at						BIGNATURE
DATE		on		2000,	(Wendy FIRST NAMB	A. Kellogg MIDDLE INITIAL) INVENTOR LAST NAME	
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CITY	and	(5) at		2000	/ Mamb	A. Laff) INVE	##OD	SIGNATURI
DATE		on		2000,	FIRST NAME	MIDDLE INITIAL	AOTY AMAN TEAL	

PATENT **REEL: 010962 FRAME: 0587**

PATENT

		Signed and							
CITY DATE	and	(6) at on		2000,	(Peter		kin) inve	NTOR SMAN TEAL	SIGNATURE
CITY	and	Signed and	sealed	1					SIGNATURE
DATE		on		2000,	(John FIRST NAMP		ards) inve	NTOR LAST NAME	SIGNATURE
OT TO		Signed and	sealed	1					
CITY	and	(B) at: on		2000,	(Philip) INVENTOR INITIAL	LAST NAME	BIGNATURE
		State of County of) 68 . ;)				
INVENT	OR (1)		cribed	ellamy in and	y of to me who executed t he same as his	personal	ly known, a	ore me person and known to m ment, and he a	e to be the
								Notary	
		State of County of) 68.:)				
		On this	26.44	4.	y of JUNE	2	000, befor	e me personal	lly came
I NVEN	TOR (2)	Jason B. person de	Elli scribed	.m in and	-	persona	oing assignment and deed.	and known to ment, and he a	acknowledged
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PATENT REEL: 010962 FRAME: 0588

PATENT REEL: 011086 FRAME: 0053

Notary

) **ss** . : State of County of 2000, before me personally came to me personally known, and known to me to be the On this day of Peter K. Malkin INVENTOR person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed Notary State of County of 2000, before me personally came On this day of John T. Richards INVENTOR to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed. (7) Notary State of) 88 . : County of On this day of 2000, before me personally came Philip 8. Yu INVENTOR to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged (B) to me that he executed the same as his free act and deed, Notary

> PATENT REEL: 010962 FRAME: 0589

> > **PATENT**

Assignment

Inventor and City

DECLARATION

Whereas, we

(1) Rachel K.E. Bellamy of Bedford County of Westchester and State of New York of Atlanta

and (2) Jason B. Ellis County of Fulton

and (3) Thomas D. Erickson County of Mennepin

and (4) Wendy A. Kellogg County of Westchester

and (5) Mark R. Laff County of Westchester

and (6) Peter K. Malkin County of Westchester

and (7) John T. Richards County of Westchester

and (8) Philip S. Yu County of Westchester of Chappaqua and State of New York

and State of Georgia

of Yorktown Meights

and State of New York

and State of Minnesota

of Minneapolis

of Mount Risco

of Aradley

of Chappaqua

have invented certain improvements in U.S. Appln. Serial No. 09/541,804 filed April 3, 2000

USER-DEFINED ONLINE INTERACTION METHOD AND DEVICE TITLE

and executed, respectively, a United States patent application therefor on (1) 2000 and (2) 2000 and (3) 6/27, 2000 and (3) U/27, 2000 DATES THAT 2000 and (5) 2000 and (6) 2000. INVENTORS (4) SIGNED THE

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

CITY	Signed as	nd sealed				SIGNATURE
DATE	on	2000,	(Rachel	K.E. Bellamy) : MIDDLE INITIAL	NVENTOR LAST NAME	
CITY D ATE	Signed at and (2) at on	nd sealed	, (Jason First NAME	B. Ellis)NVENT	OR LAST NAME	SIGNATURE
CITY	Signed a and (3) at Har on Jan		From	8 Enl	INVENTOR LAST NAME	SIGNATURE
CITY	Signed a and (4) at on	nd sealed	(Wendy	A. Kellogg) I	NVENTOR LAST NAME	BIGNATURE
CITY	Signed a and (5) at on	and sealed	, (Mark FIRST NAME	A. Laff) invento	PR LAST NAME	SIGNATURE

PATENT REEL: 010962 FRAME: 0590

	Signed and se					
CITY and	(6) at on	2000,	(Peter	K. Malkin) MIDDLE INITIA		SIGNATURE
	Signed and se	aled				
CITY and DATE	(7) at on	2000,	(John FIRST NAME	T. Richards)		SIGNATURE
	Signed and me	aled				
CITY and DATE	on	2000,	(Philip	8. Yu) INVEN		SIGNATURE
	State of County of) 88. :)			
INVENTOR (1)	person descr	bellamy	who executed t		wn, and known	ersonally came to me to be the the acknowledged
					Notary	
	State of) 99 . :			
	County of		•			
INVENTOR (2)	On this Jason B. 1 person descr	Ellis ibed in and	who executed t	e personally kno	saignment, and	n to me to be the
	On this Jason B. 1 person descr	Ellis ibed in and	to m who executed t	e personally knows the foregoing as	own, and know saignment, and leed.	n to me to be the
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(2)	on this Jason B. person described to me that he state of County of the state of County of the state of County of the state of County of this State of County of the state of County	ibed in and the executed the ex	be same as his) ss.: by of Ji to me who executed to me	2000, berearily known as free act and control of the control of th	DARLENE I Notary Public, No BEIOTE WE DESCRIPTION OF THE PERSON Fore me person lown, and known fore me person lown, and known seignment, and seignment, and seignment, and seignment, and seignment, and	n to me to be the d he acknowledged NOTATION
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INVENTOR (3)	on this Jason B. person described to me that he state of County of the state of County of the state of County of the state of County of this State of County of the state of County	ibed in and the executed the ex	be same as his) ss.: by of Ji to me who executed to me	2000, being personally known and control of the act and control of t	DARLENE : Notery Public, See No	DABILVA Be of New York Colors Color

PATENT REEL: 010962 FRAME: 0591

PATENT REEL: 011086 FRAME: 0056

Notary

			DOCKET	NO. YOR000122US1 (8728-370)
				/144 5 61 5
	State of) 58. ;		
	County of)		
	On this	day of		before me personally came
INVENTOR (6)	Peter K. Malkin	to to avecuted	me personally	known, and known to me to be the g assignment, and he acknowledged
(6)	to me that he execute	ed the same as h	is free act a	nd deed.
			/	Notary
	State of) ss .:		
	County of)		
	On this	day of	7000	before me personally came
INVENTOR	John T. Richards	to	me personally	known, and known to me to be the
(7)	person described in to me that he execut	and who execute	d the foregoin	ig assignment, and he acknowledged
	to me that he execut	ed the same as	All Ard let .	ina assa.
		,	/	Notary
	State of) 88.:		
	County of	, /		
	On this	day of	-	before me personally came
INVENTOR (8)	Philip 8. Yu	and who execute	me personally	y known, and known to me to be the
(0)	to me that he execut	ed the same as	his free act	ng assignment, and he acknowledged and deed.
		/		Notary
		/		
		/		

RECORDED: 07/06/2000

RECORDED: 10/10/2000

PATENT REEL: 010962 FRAME: 0592

PATENT