

09-25-2000

U.S. Department of Commerce
Patent and Trademark Office
PATENT



101469249
**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ **New**

☐ **Resubmission (Non-Recordation)**
Document ID#

☐ **Correction of PTO Error**
Reel # Frame #

☐ **Corrective Document**
Reel # Frame #

Conveyance Type

☐ **Assignment** ☐ **Security Agreement**

☐ **License** ☒ **Change of Name**

☐ **Merger** ☐ **Other**

U.S. Government
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☐ **Departmental File** ☐ **Secret File**

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Month Day Year

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09/22/2000 MTHAI1 00000176 08763912

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640.00 00

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 011089 FRAME: 0298

Correspondent Name and Address

Area Code and Telephone Number

Name

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Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved. #

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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Authorization to charge additional fees:

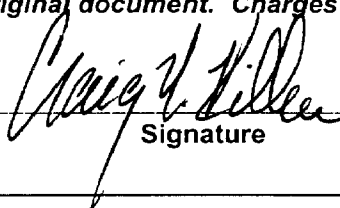
Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Craig N. Killen

Name of Person Signing



Signature

Sept. 1, 2000

Date

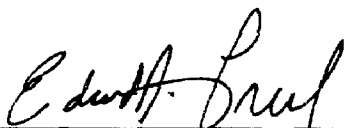
State of ~~Delaware~~
Office of the Secretary of State

PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CORRECTION OF "STEELEYE SOFTWARE INC.", CHANGING ITS NAME FROM "STEELEYE SOFTWARE INC." TO "STEELEYE TECHNOLOGY, INC.", FILED IN THIS OFFICE ON THE FOURTEENTH DAY OF JANUARY, A.D. 2000, AT 9 O'CLOCK A.M.



3131683 8100
001418612



Edward J. Freel, Secretary of State

AUTHENTICATION: 0626466
DATE: 08-17-00

PATENT
REEL: 011089 FRAME: 0300

CERTIFICATE OF CORRECTION FILED TO CORRECT
CERTAIN ERRORS IN THE
CERTIFICATE OF AMENDMENT OF
AMENDED AND RESTATED
CERTIFICATE OF INCORPORATION OF
STEELEYE SOFTWARE, INC.
(FORMERLY KNOWN AS SGILTI SOFTWARE, INC.)
FILED IN THE OFFICE OF THE SECRETARY OF STATE
OF DELAWARE ON JANUARY 12, 2000.

Steeleye Software Inc., a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware and formerly known as Sgilti Software, Inc. (the "Corporation"), does hereby certify:

1. The name of the Corporation is Steeleye Software Inc.
2. That an Certificate of Amendment of Amended and Restated Certificate of Incorporation of the Corporation (the "Amendment") was filed with the Secretary of State of Delaware on January 12, 2000, and that said Amendment requires correction as permitted by subsection (f) of Section 103 of the General Corporation Law of the State of Delaware.
3. The inaccuracy or defect of said Amendment to be corrected hereby is a typographical error in Article FIRST of the Amendment.
4. Article FIRST of the Amendment is corrected to read in its entirety as follows:

"The name of the Corporation is Steeleye Technology, Inc."

IN WITNESS WHEREOF, the limited liability company has caused this Certificate to be executed this 14th day of January, 2000.

/s/ James Fitzgerald

James Fitzgerald, President and Secretary

LAW OFFICES
NELSON MULLINS RILEY & SCARBOROUGH, L.L.P.
A REGISTERED LIMITED LIABILITY PARTNERSHIP

CRAIG N. KILLEN
REGISTERED PATENT ATTORNEY
(803) 255-9382
E-MAIL ADDRESS: CNK@NMRS.COM

KEENAN BUILDING, THIRD FLOOR
1330 LADY STREET
POST OFFICE BOX 11070 (29211)
COLUMBIA, SOUTH CAROLINA 29201
TELEPHONE (803) 799-2000
FACSIMILE (803) 256-7500
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CHARLESTON, SOUTH CAROLINA
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MYRTLE BEACH, SOUTH CAROLINA

MUNICH, GERMANY

September 1, 2000

Commissioner of Patents and Trademarks
Box Assignments
Washington, DC 20231

In re: Change of Owner Name for U.S. Issued Patents and Patent Applications
Patent Nos: 5,699,500; 5,612,865; 5,832,222; 5,727,206; 5,828,876;
5,974,496; 5,870,540
Appl. Serial Nos: 08/763,912; 09/104,147; 09/145,370; 09/145,115;
09/222,339; 09/213,206; 09/313,495; 09/313,494; 09/448,388
Our Ref: 16485/9000

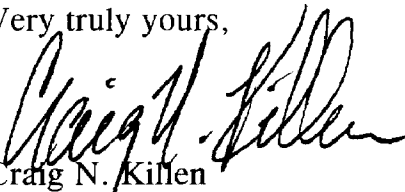
Dear Sir:

The following are being transmitted herewith:

1. Recordation Form Cover Sheet, Patents Only, 2 pages
2. Certificate of Correction of Name Change from Delaware Secretary of State,
2 pages
3. Check in the amount of \$640.00

Please charge any deficiency or credit any overpayment required by this action to our deposit account no. 50-1196, for which purpose an extra copy of this transmittal letter is attached.

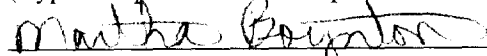
Very truly yours,


Craig N. Killen
Reg. No. 35,218

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Martha Boynton

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PATENT
REEL: 011089 FRAME: 0302

NELSON MULLINS RILEY & SCARBOROUGH, L.L.P.

A REGISTERED LIMITED LIABILITY PARTNERSHIP

CRAIG N. KILLEN
REGISTERED PATENT ATTORNEY
(803) 255-9382
INTERNET ADDRESS: CNK@NMRS.COM

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Our Ref: 16485/9000

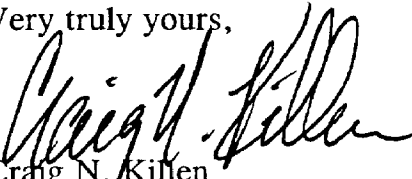
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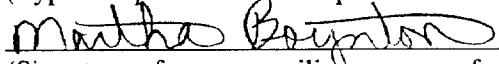
Please charge any deficiency or credit any overpayment required by this action to our deposit account no. 50-1196, for which purpose an extra copy of this transmittal letter is attached.

Very truly yours,


Craig N. Killen
Reg. No. 35,218

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Martha Boynton
(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

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☒ New
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☐ Assignment ☐ Security Agreement
☐ License ☒ Change of Name
☐ Merger ☐ Other
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☐ Departmental File ☐ Secret File

Conveying Party(ies)

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Correspondent Name and Address

Area Code and Telephone Number **803-255-9382**

Name **Craig N. Killen**

Address (line 1) **Nelson Mullins Riley & Scarborough**

Address (line 2) **P.O. Box 11070**

Address (line 3)

Address (line 4) **Columbia, SC 29211-1070**

Pages

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Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

08/763,912 **09/104,147** **09/145,370**

09/145,115 **09/222,339** **09/213,206**

09/313,495 **09/313,494** **09/448,388**

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5,870,540

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Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

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Number of Properties

Enter the total number of properties involved.

16

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

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Method of Payment:

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Deposit Account ☐

Deposit Account Number:

50-1196

Authorization to charge additional fees:

Yes

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No

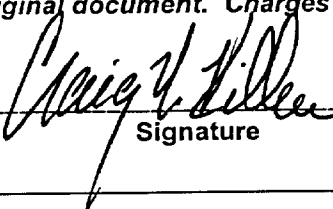
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Craig N. Killen

Name of Person Signing



Signature

Sept. 1, 2000

Date