

09-25-2000

Docket No.: 30750-3-CIP

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Rodney E. Herrington, Curtis M. Mitchke, John K.
Hickerson, Timothy A. Cushman and John F. White

9-5-bb

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: June 26, 2000

2. Name and address of receiving party(ies):

Name: MIOX CORPORATION

Internal Address:

Street Address: 5500 MIDWAY PARK PLACE, NE

City: ALBUQUERQUE State: NM ZIP: 87109

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/579,178

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: BRIAN J. PANGRL

Internal Address: Peacock, Myers & Adams, P.C.

Street Address: P.O. Box 26927

City: Albuquerque State: NM ZIP: 87125

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

13-4213

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09/22/2000 DNGUYEN 00000359 09579178

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brian J. Pangrle

Name of Person Signing

Signature

6

Date

Total number of pages including cover sheet, attachments, and document:

PATENT
REEL: 011092 FRAME: 0241

ASSIGNMENT OF INVENTION AND PATENT RIGHTS

Inventors: RODNEY E. HERRINGTON, CURTIS M. MITCHKE, JOHN K. HICKERSON, TIMOTHY A. CUSHMAN, and JOHN F. WHITE

Serial No.: 09/ 579,178

Filing Date: May 24, 2000

For valuable consideration received or to be received, and hereby acknowledged, RODNEY E. HERRINGTON of 8631 Glenridge Place, NW, Albuquerque, New Mexico 87114, CURTIS M. MITCHKE of 7324 Tricia, NE, Albuquerque, New Mexico 87113, JOHN K. HICKERSON of 1545 Eagle Ridge Road, NE, Albuquerque, New Mexico 87122, TIMOTHY A. CUSHMAN of 46 Derek Road, Sandia Park, New Mexico 87047, and JOHN F. WHITE of 1509 Blackbird Road, Rio Rancho, New Mexico 87124, (hereinafter referred to as "Inventors"), hereby sell, assign and transfer unto MIOX CORPORATION of 5500 Midway Park Place, NE, Albuquerque, New Mexico 87109, its successors and assigns (hereinafter referred to as "Assignee"), the entire interest for the United States of America and all foreign countries, including all rights of priority under the international conventions and treaties, in and to a certain invention or improvement known as *PORTABLE WATER DISINFECTION SYSTEM*, and described in an application filed in the United States Patent and Trademark Office on May 24, 2000, as Attorney Docket No. 30750-3-CIP, and given U.S. Patent Application Serial No. 09/ 579,178, which claims priority of U.S. application serial number 09/318,468, filed May 25, 1999 and U.S. application number 09/318,469, filed May 25, 1999, and U.S. application number 09/514,431, filed February 28, 2000, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or on any provisional, utility, divisional, continuing, continuation-in-part, reissue, reexamination or other applications based in whole or in part thereon. And Inventors agree hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper in Assignee's sole discretion to secure to Assignee the grant of Letters Patent in the United States and/or in other countries as Assignee may determine in its sole discretion, with specifications and claims in such form as shall be approved by Assignee's counsel, and to vest and confirm in Assignee the legal and equitable title and full use and benefit of all such patents.

Inventors hereby authorize and request the attorneys of record in said application to insert in this Assignment the filing date and serial number of said application when officially known.

Inventors further agree with Assignee that this Assignment covers all processes, specifications, procedures, techniques and other knowledge and information now or hereafter known to Inventors which are not in the public domain and which relate to the above-mentioned invention or improvement, its manufacture, uses or embodiments, or other matters (the "Related Know-How"). Inventors agrees to disclose or provide all Related Know-How to Assignee when discovered or upon request.

Inventors further covenant that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to Inventors and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to Assignee or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

Inventors' rights in the above-mentioned invention or improvement and Related Know-How and any patent application(s) and Letters Patent thereon have not been previously assigned, mortgaged or otherwise encumbered, and Inventors have full right, power and authority to assign all such rights to Assignee hereunder.

As used herein, the terms "Inventors" and "Assignee" shall include such parties and their heirs, administrators, estates, successors and assigns of every kind.

Inventors hereby authorize and request the Director of the United States Patent and Trademark Office, and all foreign countries' equivalent officials, to issue such Letters Patent as shall be granted on said application or applications based thereon to Assignee.

DATED this 26 day of June, 2000.


RODNEY E. HERRINGTON

STATE OF NEW MEXICO)
COUNTY OF Bernalillo) ss.

This instrument was acknowledged before me this 26 day of June, 2000, by
Rodney E. Herrington.


Notary Public

My commission expires:

5/31/04
SEAL

~~~~~  
DATED this 26 day of JUNE, 2000.

  
CURTIS M. MITCHKE

STATE OF NEW MEXICO                    )  
COUNTY OF Bernillo                ) ss.

This instrument was acknowledged before me this 26 day of June, 2000, by  
Curtis M. Mitchke.

  
Notary Public

My commission expires:

5/31/04  
SEAL

DATED this 19 day of June, 2000.

John K Hickerson  
JOHN K. HICKERSON

STATE OF NEW MEXICO                    )  
                                                  ) ss.  
COUNTY OF Bernalillo            )

This instrument was acknowledged before me this 19 day of June, 2000, by John K. Hickerson.

My commission expires:

5/3/01  
SEAL

Jackie Barnett  
Notary Public

~~~~~  
DATED this 16 day of June, 2000.

Timothy A. Cushman
TIMOTHY A. CUSHMAN

STATE OF NEW MEXICO)
) ss.
COUNTY OF Bernalillo)

This instrument was acknowledged before me this 16 day of June, 2000, by Timothy A. Cushman.

My commission expires:

5/31/01
SEAL

Jackie Barnett
Notary Public

DATED this 16 day of JUNE, 2000.

John F. White
JOHN F. WHITE

STATE OF NEW MEXICO)
) ss.
COUNTY OF Bernalillo)

This instrument was acknowledged before me this 16 day of June, 2000, by
John F. White.

My commission expires:

Jackie Barnett
Notary Public

5/31/01
SEAL

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **RODNEY E. HERRINGTON et al.**

Docket No.

30750-3-CIP

Serial No.

09/579,178

Filing Date

05/24/00

Examiner

Unknown

Group Art Unit

1723Invention: **PORTABLE WATER DISINFECTION SYSTEM**I hereby certify that this **ASSIGNMENT RECORDATION***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The
Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on 8-30-00

*(Date)***BRIAN J. PANGRLE***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

P07B/REV03

RECORDED: 09/05/2000**PATENT
REEL: 011092 FRAME: 0247**