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TRADEMARK OFFICE

101469896

Attorney Docket No. 8318W-000031

COVER SHEET FOR RECORDAL OF DOCUMENT (PATENT)

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. 3.31, enclosed herewith is a document for recordal in this case. The following information is provided:

(1) Name Of Party Conveying The Interest:

Günther Schulz
Bodo Nilles

(2) Name And Address Of Party Receiving The Interest:

Serenco Nederland BV
Maarssenbroeksedijk 39
3606 AG Maarssenbroek
Netherlands

(3) Description Of The Transaction To Be Recorded:

☒ Assignment ☐ License
☐ Change of Name ☐ Other _____

(4) Application(s) and/or Patent(s) Against Which Enclosure Is To Be Recorded:

☒ Serial Number 09/564,115, filed May 3, 2000.
☐ Application being filed concurrently herewith.
☐ Patent Number _____, issued _____.

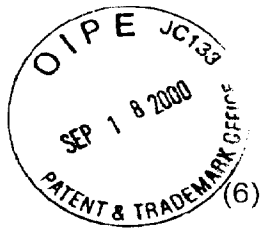
(5) Name And Address Of The Party To Whom Correspondence Concerning The Request To Record Should Be Mailed:

Eric J. Sosenko
Harness, Dickey & Pierce, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48303

09/21/2000 KZEWDIE 00000002 09564115

02 FC:581

40.00 OP



(6) **Number Of Applications and/or Patents Identified In The Cover Sheet And Total Recordal Fee:**

Number of Applications/Patents: . . . One

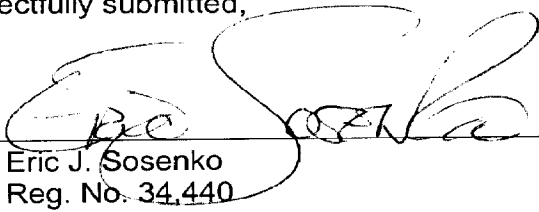
Total Recordal Fee Enclosed: \$40.00

(7) **Date The Document Was Executed:** August 16, 2000

To the best of my knowledge and belief, the foregoing information is true and correct, and if the attached is not an original document, the undersigned verifies that it is a true copy of the original.

If, for some reason, Applicants have not paid a sufficient fee, please charge our Deposit Account No. 08-0750 for any further fees which may be due. A duplicate copy of this document is enclosed.

Respectfully submitted,

By: 
Eric J. Sosenko
Reg. No. 34,440
Attorney for Applicants

Date: Sept. 11, 2000

ASSIGNMENT BY JOINT INVENTORS

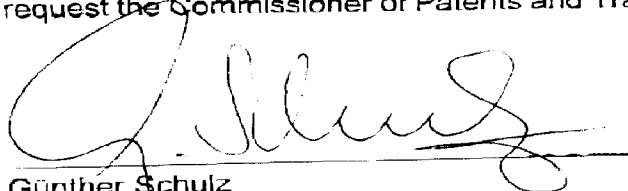
WHEREAS, the undersigned, hereinafter referred to as Assignors, have invented certain new and useful improvements in

Water Pump Pliers with Single-Hand Control

for which Assignors have made United States application for patent under Serial No. 09/564,115, filed May 3, 2000, and

WHEREAS, Serenco Nederland BV, having a place of business at Maarssenbroeksedijk 39, 3606 AG Maarssenbroek, Netherlands, hereinafter referred to as Assignee, is desirous of acquiring an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt whereof is hereby acknowledged, Assignors by these presents do sell, assign and transfer unto Assignee, its successors in interest, the full and exclusive right in the United States of America and all foreign countries, including the right to claim priority under the laws of the United States and the Paris Convention, to the said invention as described in the aforesaid application, said application for patent and all Letters Patent therefor to be held and enjoyed by Assignee to the full end of the term for which said Letters Patent are granted and any extensions thereof, as fully and entirely as the same would have been held by Assignors had this assignment and sale not been made; and Assignors hereby covenant and agree to execute all instruments or documents required or requested for the making and prosecution of applications for patent in the United States and in all foreign countries, for litigation regarding, or for the purpose of protecting title to the said invention, the United States application for patent, or Letters Patent therefor for the benefit of Assignee without further or other compensation than that above set forth; and Assignors hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent to Assignee.



Günther Schulz
(First joint inventor)

16. August 2000

Date

Witness

Witness



Bodo Nilles
(Second joint inventor)

16. August 2000

Date

Witness

Witness

Practitioner's Docket No. 8318W-000031

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Schulz et al.

Application No.: 09/564,115

Filed on: May 3, 2000

Title: Water Pump Pliers with Single-Hand Control

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b))--SMALL BUSINESS CONCERN**

I hereby state that I am an official of the small business concern empowered to act on behalf of the concern identified below:

Serenco Nederland BV
Maarssenbroeksedijk 39
3606 AG Maarssenbroek
Netherlands

I hereby state that the above identified small business concern qualifies as a small business concern, as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to, and remain with, the small business concern identified above, with regard to the invention described in the application identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

No such person, concern, or organization exists.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of

(Small Entity--Small Business--page 1 of 2)

the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b))

ROBERT SERROYS
Name of Signatory

VOGELWIJDE 21, 3738 TT MAARTENSDIJK
Address of Signatory THE NETHERLANDS

SIGNATURE



Date 21-08-2000

(Small Entity-Small Business--page 2 of 2)