

09-26-2000



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FORM PTO-1595
1-31-92

184461.1 ACS-53525

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Benjamin C. Huter
Scott J. Huter
Christopher L. Steadham

Additional name(s) of conveying party(ies) attached? NO

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other

Execution Date: September 11, 2000

2. Name and address of receiving party(ies):

Name: Advanced Cardiovascular Systems, Inc.

Internal Address:

Street Address: 3200 Lakeside DriveCity Santa Clara State CA ZIP 95054-2807Additional name(s) & address(es) attached? ☐ Yes ☒ No

jc820 U.S. PTO
09/659949
09/12/00

4. Application number(s) or patent number(s):

09/659949

If this document is being filed together with a new application, the execution date of the application is: September 12, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: FULWIDER PATTON LEE & UTECHT, LLP

Internal Address: Howard Hughes Center

Street Address: 6060 Center Drive, Tenth Floor

City: Los Angeles State: CA Zip: 90045

6. Total number of applications and patents involved: One (1)

7. Total fee (37 CFR 3.41):...\$ 40.00☒ Enclosed☒ Any deficiency/overpayment is authorized to be charged to deposit account

8. Deposit account number: 06-2425

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

THOMAS H. MAJCHER

Name of Person Signing

Signature

September 12, 2000

Date

Total number of pages including cover sheet, attachments and document: Seven

OMB No. 0651-0011 (exp. 4/94)

09/21/2000 PULLEN
03 FC:581

PATENT
REEL: 011092 FRAME: 0851

ASSIGNMENT

This Assignment made by Benjamin C. Huter of Murrieta, California, Scott J. Huter of Temecula, California and Christopher L. Steadham of San Jose, California, Assignors, to ADVANCED CARDIOVASCULAR SYSTEMS, INC., a California corporation, Assignee, having a place of business at 3200 Lakeside Drive, Santa Clara, California 95054-2807.

WHEREAS, Assignors have invented a new and useful EMBOLIC PROTECTION DEVICE FOR USE IN INTERVENTIONAL PROCEDURES, for which an application for United States Letters Patent has been executed by them this day; and

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said invention for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to

file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, them and their legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

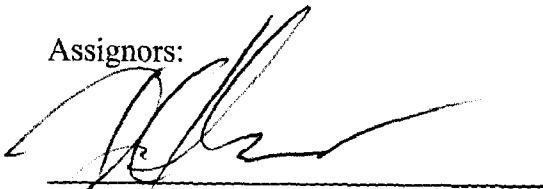
Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date

written herein below.

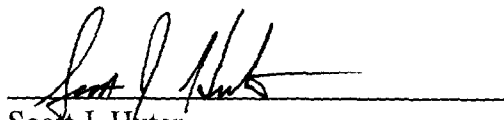
Assignors:

Date: 9/10, 2000



Benjamin C. Huter

Date: 9/11, 2000



Scott J. Huter

Date: _____, 2000

Christopher L. Steadham

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ASSIGNMENT

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WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said invention for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to

file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, them and their legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, and any Letters Patent granted for said invention in the United States and throughout the world.

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Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date

written herein below.

Assignors:


Date: _____, 2000

Benjamin C. Huter

Date: _____, 2000

Scott J. Huter

Date: SEPTEMBER 1, 2000



Christopher L. Steadham

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