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09-26-2000Department of Commerce Patent and Trademark Office
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EXPRESS MAIL NO.: EL516975658US

Attorney Docket No. 22962-1660

To the Honorable Commissioner of Patents
Please record the attached original document.

101471290

1. Name of the conveying parties:

- (1) Michael Aita
- (2) Carl J. Simpson
- (3) Randy J. Kesten

2. Name and address of the receiving party(ies):

CardioGenesis Corporation
1049 Kiel Court
Sunnyvale, CA 94089

Additional name(s) of conveying party(ies) attached? ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Additional Names(s) and Address(es) Attached
[] Yes [X] No

Execution Dates: (1) October 9, 1998
(2) October 15, 1998
(3) October 20, 1998

4. Application Number(s) or Patent Number(s)

If this document is being filed together with a new application, the execution date of the application is: _____

A. U.S. Patent Application No.:
S.N. 09/107,077

B. Patent No(s).

Additional Numbers Attached? [] Yes [X] No

5. Name and address of party to whom correspondence should be mailed:

Edward J. Lynch, Esq., Registration No. 24,422
HELLER EHRMAN WHITE & McAULIFFE
525 University Avenue
Palo Alto, CA 94301-1900

Telephone direct: (650) 324-7041
Facsimile: (650) 324-0638

6. Total number of Applications and Patents involved 1

7. Total Fee (37 CFR §3.41) **\$ 40.00**
[X] Check Enclosed [] Previously Paid
[] Authorized to charge to Deposit Acct #08-1641
(Attached duplicate copy if paying by Deposit Account)

8. [X] Please Charge Deposit Account No. 08-1641 for any
deficiency in the fees paid above, or credit any overpayment
to that account.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William B. Anderson
Reg. No. 40,988
Name of Person Signing

Signature

9-12-00
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

9/25/2000 MTHAI1 00000351 09107077

1 FC:581

Box Assignment
40,000 Secretary of Commerce for Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231

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ASSIGNMENT

This Assignment is made by Michael Aita, Carl J. Simpson, and Randy J. Kesten, Assignors, to CardioGenesis Corporation, a California Corporation, Assignee, of Santa Clara, California.

WHEREAS, Assignors have invented a new and useful **TRANSMYOCARDIAL REVASCULARIZATION USING RADIOFREQUENCY ENERGY**, for which an application for United States Letters Patent was filed in the United States Patent and Trademark Office on June 29, 1998, and assigned application Serial No. 09/107,077.

WHEREAS, Assignors believe they are the original and first inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, or their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to the interest assigned herein to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the assignment herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates written hereinbelow.

ASSIGNORS

10/9/98

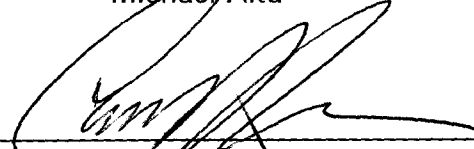
Date



Michael Aita

10/15/98

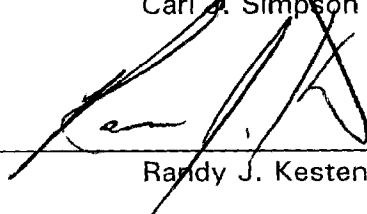
Date



Carl J. Simpson

10/20/98

Date



Randy J. Kesten

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10/02/98 4:03 PM

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Date

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Carl J. Simpson

Carl J. Simpson

Randy J. Kesten

Randy J. Kesten

109267.01.PA (2CB7011.DOC)
10/02/98 4:03 PM

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EXPRESS MAIL NO.: EL516975658US

To the Honorable Commissioner of Patents and Trademarks
Please record the attached original document(s) or copy(ies) thereof

Attorney Docket No. 22962-1660

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(3) Randy J. Kesten

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Washington, DC 20231

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:
Michael Aita et. al.

Examiner: R Gibson

Group Art Unit: 3739

For: **TRANSMYOCARDIAL
REVASCULARIZATION USING
RADIOFREQUENCY ENERGY**

Serial No.: 09/107,077

Filed: June 29, 1998

Docket. No.: 22962-1660

EXPRESS MAIL LABEL NO. EL516975658US

EXPRESS MAILED: September 12, 2000

Box Assignment
Commissioner for Patents
Washington, D.C. 20231

Sir:

1. Transmitted herewith for recording in the above-identified patent application is an Assignment. Also enclosed are:
☒ Recordation Form Cover Sheet
☒ Return Postcard
2. Fee Calculation
☒ No additional claim fee is required.
☐ Amendment increases number of claims

ADDITIONAL CLAIM FEE CALCULATION

	Claims After Amendment Less Number Paid For	Number Extra	Rate	Fee
Total Claims			x \$ 18 =	\$
Independent Claims			x \$ 78 =	\$

* If less than zero, enter "0".

Additional Claim Fee \$0.00

3. ☐ As a small entity applicant is entitled to a 50% reduction in fees: \$0.00

4. ☐ Applicant hereby petitions for an Extension of Time of _____ months, pursuant to Rule 1.136(a). Fee required \$ \$0.00

5. ☐ Other fees due: Specify: \$0.00
Total Fees Due \$0.00

6. **Payment of Fees**

- ☒ A check in the amount of \$ 40.00 is enclosed.
☐ Charge Deposit Account No. 08-1641 in the amount of \$ _____. A duplicate of this transmittal is attached.

7. ☒ The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR §1.16 or § 1.17 to Account No. 08-1641, referencing Docket No. 22962-1660. A duplicate sheet is attached.

By:



William B. Anderson
Reg. No. 41,585

250988 v01.PA (5DNW01!.DOC)

RECORDED: 09/12/2000

PATENT
REEL: 011096 FRAME: 0504