

09-26-2000

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IEET

Docket Number
ATMI 249 & 249 DIV

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101471860

TS AND TRADEMARKS:

Please record the attached original document or copy thereof.

1. Name of conveying party (ies):

Thomas H. Baum
Galena Doubinina
Daniel Studebaker

2. Name and address of receiving party:

Advanced Technology Materials, Inc..
7 Commerce Drive
Danbury, CT 06810Additional name(s) of conveying
party (ies) attached? ☐ Yes ☒ NoAdditional name(s) and addresses
attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name
☐ Other _____Execution Date: August 26, 1999, August 31, 1999, July 24, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 3, 1999

A. Patent Application No.(s)

08/825,480 Reel No. 010211 Frame No.0034

09/620,162 Reel No. 010211 Frame No.0034

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No5. Name and address of party to whom
correspondence concerning document
should be mailed:Oliver A. Zitzmann
ATMI, Inc.
7 Commerce Drive
Danbury, CT 06810
Telephone: 203 794 11007. Total Fee (37 CFR 3.41): \$ 80.00☒ Enclosed☐ Authorized to be charged to deposit
account 50-08606. Total number of applications and patents
involved: 18. Deposit account number:
50-0860

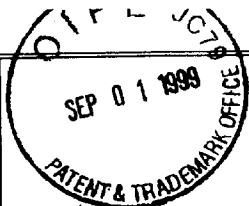
DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and
any attached copy is a true copy.*09/08/2000

Date

Margaret ChappuisMargaret Chappuis
Reg. No. 45,73509/25/2000 MTHRI 00000165 08825480
01 FC:581PATENT
REEL: 011099 FRAME: 0403



RECORD

09-08-1999



101137884

Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231

9-1-99

Attorney Docket No. 2771-249

1. A. Name of conveying party(ies):

Thomas H. Baum
Galena Doubinina
Daniel Studebaker

B. Additional name(s) of conveying
party(ies) attached? ☐ Yes ☒ No

2. A. Name and address of receiving party(ies):

Advanced Technology Materials, Inc.
7 Commerce Drive
Danbury, Connecticut 06810

B. Additional name(s) & address(es) attached?
☐ Yes ☒ No

3. A. Nature of conveyance:

☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name

☐ Other: _____

B. Execution Date: **August 23, 1999, August 26, 1999**

4. A. If this document is being filed together with a new application, the execution date of the
application is: _____

B. Patent Application No.(s)
08/825,480

C. Patent No.(s)

D. Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
correspondence concerning document
should be mailed:

Name: Steven J. Hultquist
Address: Intellectual Property/Technology Law
P.O. Box 14329
Research Triangle Park, NC 27709

7. A. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed (Check No. _____)
(Included in the filing fee)

☐ Authorized to be charged to deposit account
No.

6. Total number of applications and patents
involved: 1

8. Credit any overpayment or charge any underpayment
to Deposit Account Number 08-3284 of Intellectual
Property/Technology Law.

09/07/1999 00000033 00620400

02 FC:581

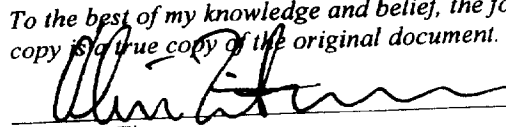
40.00 US

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached
copy is a true copy of the original document.*


Oliver A. Zitzmann
Registration No. 38,691

Date: 8/31/99

Total number of pages including cover sheet, attachments, and document: 4

ASSIGNMENT

We have made an invention described in the U.S. Patent Application 08/825,480 entitled:

"Composition and Method for Forming Doped A-Site Deficient Thin-Film Manganate Layers on a Substrate"

We assign to Advanced Technology Materials, Inc., all of our ownership and rights in:

- (i) the invention and U.S. Patent Application;
- (ii) U.S. Patents on the invention; and
- (iii) corresponding foreign patent applications and patents.

We are making this assignment based on our employment relationship with Advanced Technology Materials, Inc.

We request the Commissioner of Patents and Trademarks of the United States and the appropriate officers of all foreign countries to issue patents on the invention to:

Advanced Technology Materials, Inc.

We agree to execute any other legal documents that may be required to effectuate this Assignment.

We agree that we will promptly communicate to Advanced Technology Materials, Inc. all facts which come to our attention affecting the invention or patent rights.

We agree that we will testify in any interference or litigation involving the invention or patent rights.

We declare that we have not done anything in conflict with this Assignment.

Thomas H. Baum
(Inventor's signature)

8-26-99
Date

Inventor's name: Thomas H. Baum

State of: Connecticut

County of: Fairfield

Thomas H. Baum appeared before me on August 26, 1999. He declared to me that he is the person described in this Assignment. He executed this Assignment before me, and declared that his execution was completely voluntarily.

Lynda Jo Pixley
Notary Public

(SEAL)

LYNDA-JO PIXLEY
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2004

My commission expires: 2/28/04

(Inventor's signature)

Date

Inventor's name: Galena Doubinina

State of: _____

County of: _____

Galena Doubinina appeared before me on _____, 1999. She declared to me that she is the person described in this Assignment. She executed this Assignment before me, and declared that her execution was completely voluntarily.

Notary Public

(SEAL)

My commission expires:

(Inventor's signature)

Date

Inventor's name: Thomas H. Baum

State of: _____

County of: _____

Thomas H. Baum appeared before me on _____, 1999. He declared to me that he is the person described in this Assignment. He executed this Assignment before me, and declared that his execution was completely voluntarily.

Notary Public

(SEAL)

My commission expires: _____

(Inventor's signature)

8.23.99
Date

Inventor's name: Galena Doubinina

State of: MA

County of: Haddox

Galena Doubinina appeared before me on Aug 31, 1999. She declared to me that she is the person described in this Assignment. She executed this Assignment before me, and declared that her execution was completely voluntarily.

(Inventor's signature)

Notary Public

ARLENE G. HATTEN

(SEAL)

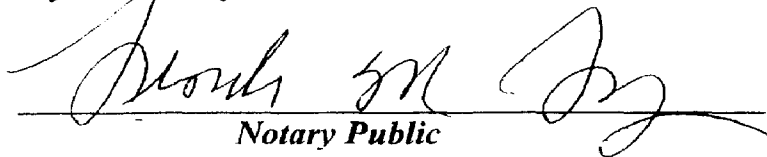
My commission expires: 4/13/2001

Inventor's name: Daniel Studebaker

State of: MA

County of: Suffolk

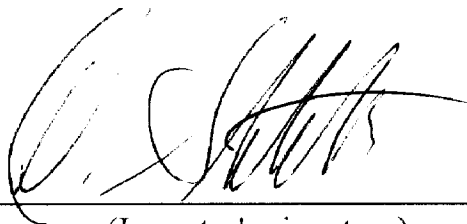
Daniel Studebaker appeared before me on 8/26, 1999. He declared to me that he is the person described in this Assignment. He executed this Assignment before me, and declared that his execution was completely voluntarily.


Notary Public

(SEAL)

My commission expires: 11/5/04

APPENDIX A



(Inventor's signature)

7/24/00

Date

Inventor's name: Daniel Studebaker

State:

County:

Daniel Studebaker appeared before me on July 24, 2000. He declared to me that he is the person described in this Assignment. He executed this Assignment before me, and declared that his execution was completely voluntary.



Notary Public

(SEAL)

My commission expires on:

Feb. 4, 2005

