

09-28-2000

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To the Honorable Commissioner of Patents and

101475589

Original documents or copy thereof.

## 1. Name of conveying party(ies):

SGI International

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: LFC Technologies, LLC

Internal Address:

Street Address: 1200 Prospect Street

Suite 325

City: La Jolla State: CA ZIP: 92037

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date:

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

5,401,364

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John R. Taylor

Internal Address:

Street Address: c/o SGI International

1200 Prospect Street, Suite 325

City: La Jolla State: CA ZIP: 92037

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):..... \$

☐ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

SGI International, a corporation

James W. Mahler

Name of Person Signing

Signature

12-9-1999

Date

Total number of pages comprising cover sheet:

1

PATENT  
REEL: 011103 FRAME: 0761

## ASSIGNMENT

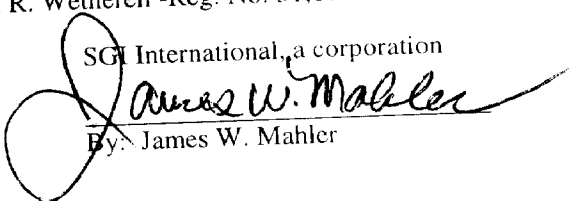
Assignor: SGI International, a corporation ("Assignor" or "SGI"), having its principal place of business at 1200 Prospect Street, Suite 325, La Jolla, CA 92037.

Assignees: LFC Technologies, LLC, having its principal place of business at 1200 Prospect Street, Suite 325, La Jolla, CA 92037 ("Assignee").

Invention: "Process for Treating Noncaking, Noncoking Coal to form Char with Process Derived Gaseous Fuel having a Variably Controllable Calorific Heating Value", for which a patent application was filed on March 11, 1993, as U.S. Patent Application Serial No. 08/219,529; Patent granted March 28, 1995 as No. 5,401,364.

- 1) The Invention has previously been assigned by the inventors to SGI International by way of an Assignment recorded in the United States Patent and Trademark Office on March 11, 1993 on Reel 6468 at Frame 0961.
- 2) The invention has previously been assigned by SGI International to TEK-KOL by way of an Assignment recorded in the United States Patent and Trademark Office on November 1, 1995 on Reel 7709 at Frame 0665.
- 3) The Invention has previously been assigned by TEK-KOL, which assigned 100% of its worldwide right, title and interest in the Invention such that SGI International had an undivided 50% interest and Assignor has an undivided 50% interest in the Invention.
- 4) The Invention has previously been assigned by Bluegrass Coal Development Company, a Delaware Company located at 1500 N. Big Run Road, Ashland, KY, which assigned to SGI 100% of its worldwide right, title and interest in the Invention consisting of an undivided 50% therein free and clear of all liens and encumbrances and including all rights to resolve for past acts of infringement.
- 5) For good and valuable consideration receipt of which is hereby acknowledged, Assignor hereby assigns to Assignee all of its present undivided fifty percent (50%) in the entire worldwide right, title and interest in the Invention, free and clear of all liens and encumbrances and including all rights to recover for past acts of infringement, such that Assignee has 100% of the interests previously owned by Assignor in the Invention.
- 6) Assignor shall:
  - a) Execute all papers necessary in connection with the Invention and any continuing, continuation-in-part, or divisional application thereof;
  - b) Execute separate assignments in connection with such continuing, continuation-in-part, or divisional application as Assignees deem necessary or expedient;
  - c) Execute all papers necessary in connection with any interference which may be declared concerning the Invention of any continuation, continuation-in-part, or divisional application thereof;
  - d) Cooperate with Assignees in every way possible in obtaining evidence and going forward with such interference;
  - e) Execute all papers and documents, and perform any act which may be necessary in connection with the claims or provisions of the International Convention for Protection of Industrial Property or similar agreements; and
  - f) Perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to Assignee for the Invention.
- 7) Assignor hereby:
  - a) Authorizes and requests the Commissioner of Patents to issue to Assignee and Bluegrass any and all Letters Patents of the United States resulting from the Invention or any continuation, continuation-in-part, or divisional applications thereof;
  - b) Covenants that it has full right to convey the entire interest herein assigned, and that it has not executed (except as described herein), and shall not execute, any agreement in conflict with this instrument, and that it is the true and only owner of the Invention along with Bluegrass.
- 8) Assignor hereby grants to the following persons the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document; John Land -Reg. No. 29,554 and John R. Wetherell -Reg. No. 31,678.

SGI International, a corporation

  
By: James W. Mahler

Dated: 12-9-99

# ACCEPTANCE

Assignee accepts the Assignment by Assignor of an undivided fifty percent (50%) interest in the Invention described herein.

Executed at La Jolla, California on <sup>December</sup> ~~November~~ 29, 1999.

LFC Technologies, LLC

BY: 

Name: John R. Taylor

Title: Secretary