



101486372

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

**Ciba Specialty Chemicals Corporation**  
**P.O. Box 2005**  
**540 White Plains Road**  
**Tarrytown, N.Y. 10591**

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: **Vantico Inc.**

Internal Address:

Street Address: **281 Fields Lane**City: **Brewster** State: **NY** ZIP: **10509**

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: **JUL 28 2000****USD 8.3.00**Additional name(s) & address(es) attached? ☐ Yes ☒ No4. Application number(s) or Patent number(s): **See attached schedule of Patent Applications**

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) **See attached**  
**schedule of Patent Applications**

Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **JoAnn Villamizar**  
**Ciba Specialty Chemicals Corp.**  
Internal Address: **Patent Department**  
**P.O. Box 2005**  
Street Address: **540 White Plains Road**  
City: **Tarrytown** State: **NY** ZIP: **10591**

6. Total number of applications and patents involved: **65**7. Total fee (37 CFR 3.41).....**\$2,600.00**

- ☐ Enclosed  
☒ Authorized to be charged to deposit account and any other additional fees required.

## 8. Deposit account number:

**03-1935**

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**David R. Crichton****David R. Crichton**

Signature

**JUL 31 2000**

Date

Name of Person Signing  
Reg. No. 37,300

**5**

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents &amp; Trademarks, Box Assignments, Washington, D.C. 20231

27 6/97

**PATENT**  
**REEL: 011105 FRAME: 0548**

## Schedule of Patent Applications

	<b>CASE NUMBER</b>	<b>APPLICATION NO.</b>	<b>DATE FILED</b>
EM	K7-19264/A	08/115,530	9/2/93
EM	KK-19600/A	08/268,094	6/28/94
FS	KK-19805/A	08/358,134	12/16/94
FS	KK-19149/A/CONT/HEX	08/391,329	2/21/95
EM	KK-18966/A/DIV/CONT	08/449,183	5/24/95
EM	KK-20281/A	08/573,767	12/18/95
EM	KK-20310/A	08/589,435	1/22/96
EM	KK-19601/A/DIV	08/652,394	5/23/96
CS	KK-20045/A/CONT/CPA 2	08/690,373	7/26/96
EM	KK-20310/A/CONT/CPA	08/820,726	3/18/97
FS	KK-20811/A/CPA	08/832,937	4/3/97
AT	KK-20307/A/MA 2104/PCT/CPA	08/860,762	1/24/95
FS	KK-20904/A/CPA	08/876,550	6/16/97
FS	K7-19597/A/PCT/CONT/CPA 2	08/893,399	7/11/97
AT	KK-21086/A/MA 2140/#2	08/947,997	10/9/97
FS	KK-19882/A/CONT/CPA	08/999,803	5/20/97
EM	KK-19614/A/CGM 429/CONT/DIV	09/002,847	1/5/98
CS	KK-20617/A/CONT/CPA 2	09/016,619	1/30/98
CS	KK-21226/A/CPA	09/019,636	2/6/98
EM	K7-21249/A	09/035,310	3/5/98
CS	KK-21254/A/CPA	09/035,312	3/5/98
AT	KK-21514/P1/CGC 1983	09/039,765	3/16/98
AT	KK-21517/P1/CGC 1984	09/050,279	3/30/98
AT	KK-21524/P1/CGC 1985	09/055,832	4/6/98
EM	K7-21313/A	09/060,688	4/15/98
CS	KK-21323/A	09/070,662	4/30/98
CS	KK-21346/A	09/075,639	5/11/98
AT	KK-21126/A/MA 2141/PCT	09/077,048	11/18/96
AT	KK-21127/A/MA 2142/PCT/CPA	09/077,049	11/18/96
EM	KK-20664/A/CGJ 91/PCT	09/077,484	11/19/96
CS	KK-21378/A	09/100,003	6/17/98
EM	KK-21375/A	09/100,005	6/17/98
EM	K7-21410/A/PCT	09/101,095	1/9/97
CS	KK-20735/A/PCT/CPA	09/101,789	1/23/97
AT	KK-21389/P1/CGC 1943	09/115,085	7/14/98
AT	KK-21390/P1/CGC 1944	09/115,086	7/14/98
CS	KK-20774/A/PCT	09/142,269	2/28/97
CS	KK-21428/A	09/177,141	10/21/98
AT	KK-21430/A/MA 2161	09/181,163	10/28/98
HA	KK-21462/P1/CGC 1952	09/190,973	11/12/98
EM	KK-20936/A/CGJ 98/PCT	09/214,053	6/17/97
AT	KK-20969/A/21022/MA 2136/PCT	09/230,172	7/14/97
CS	KK-20922/A/PCT	09/230,395	6/21/97
SC	KK-20976/A/PCT	09/230,410	7/16/97
CS	KK-21501/A	09/248,649	2/11/99

## Schedule of Patent Applications

		<b>APPLICATION</b>	<b>DATE</b>
	<b>CASE NUMBER</b>	<b>NO.</b>	<b>FILED</b>
HE	KK-21506/A	09/253,162	2/19/99
SC	KK-21993/P1/CGC 2023	09/267,585	3/12/99
CS	KK-20616/A/CIP	09/298,572	4/23/99
CS	KK-21387/CIP	09/298,573	4/26/99
EM	K7-21561/A	09/303,584	5/3/99
HA	KK-21566/P1/CGC 1992	09/327,859	6/8/99
CS	KK-21585/P1/CGC 1993	09/334,085	6/16/99
AT	KK-21820/P1/CGC 1996	09/334,086	6/16/99
FS	KK-20930/A/DIV	09/376,797	8/18/99
EM	KK-22016/AO/NZ 12/PCT	09/380,449	3/7/97
FS	KK-20658/A/DIV	09/390,122	9/3/99
AT	KK-21854/A/MA 2170	09/418,609	10/15/99
CS	KK-21852/A	09/421,166	10/19/99
CS	KK-20045/A/CONT/CPA 2/DIV	09/456,961	12/7/99
EM	KK-21375/A/DIV	09/465,866	12/16/99
AT	KK-18945/A/CONT/DIV	09/483,057	1/13/00
AT	KK-21490/A/MA 2163/CIP	09/550,718	4/17/00
CS	KK-21805/A/WIT 30	09/554,811	5/19/00
EM	KK-20664/A/CGJ 91/PCT/CONT	09/567,348	5/9/00
CS	KK-21254/A/CONT/CIP	09/569,560	5/12/00

## ASSIGNMENT

WHEREAS, **Ciba Specialty Chemicals Corporation**, a corporation organized and existing under the laws of the State of Delaware, having offices at 560 White Plains Road, Tarrytown, New York (hereinafter "Assignor") is the owner of all its right, title and interest in and to the United States patent applications listed on the attached and made a part hereof; and

WHEREAS, **Vantico Inc.** a Delaware Corporation, having offices at 281 Fields Lane, Brewster, N.Y. 10509 (hereinafter "Assignee") desires to acquire said interest of Assignor in said inventions and patent applications and Ciba Specialty Chemicals Corporation is willing to assign its interest therein to **Vantico Inc.**:

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor, acting through its legal representatives, does hereby assign, sell and transfer to Assignee, its successors, assigns and legal representatives, all right, title and interest in said inventions and patent applications and any divisions, reissues, continuations, continuations-in-part, and extensions thereof, for the United States and its territorial possessions, the same to be held and enjoyed by the Assignee for its use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by the Assignor, if this assignment and sale had not been made.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be duly executed this

28<sup>th</sup> day of July, 2000.

Ciba Specialty Chemicals Corporation

By: 

Name: Stanley Sherman

Title: President and CEO