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| Form II C D. 4 1 1 1 2 2 2   | Atto         | orney Docket No.:                       | 4191-00014             |  |
|--|--------------|---|------------------------|--|
| Form U.S. Patent and Trademark Office  | ^^ ^^        |   | Department of Commerce |  |
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| To the Honorable Commissioner of Pate  |              |   |                        |  |
| Please record the attached original docum.   | 10147        | 5914                                    |                        |  |
| 1. Name of conveying party(ies):   | 2. Nan       | ne and address of                       | receiving party(ies):  |  |
|  | 1            |   | purty (103).           |  |
| Kenneth Pawson   |              | Fleetguard, Inc.                        |                        |  |
| William C. Haberkamp   |              |   | Building 100, Ste. 500 |  |
|  |              | Nashville, Tenne                        | essee 37217            |  |
| Additional name(s) of conveying party(ies)   |              | , | 31217                  |  |
| attached?  | }            |   |                        |  |
| ☐ Yes ☒ No   |              |   |                        |  |
| 3. Nature of Conveyance:   | -            |   |                        |  |
|  | Additio      | nal name(s) and a                       | ddress(es) attached?   |  |
| ☐ Security Agreement ☐ Change of Name  | ☐ Yes        | ⊠ No                                    | duress(es) attached:   |  |
| ☐ Other  |              |   |                        |  |
|  | İ            |   |                        |  |
| Execution Dates:5/17/00, 5/19/00   |              |   |                        |  |
| 4. Application number(s) or patent number(s):  | <u> </u>     |   |                        |  |
|  |              |   |                        |  |
| If this document is being filed with a new application   | on, the exec | cution date of the                      | application is         |  |
| 11 and application is  |              |   |                        |  |
| A. Patent Application No(s).   | B. P         | atent No(s).                            |                        |  |
| .,   |              | (-)-                                    |                        |  |
| 09/577,560   |              |   |                        |  |
|  |              |   |                        |  |
|  |              |   |                        |  |
| Additional numbers attached? ☐ Yes ☒ No  |              |   |                        |  |
| 5. Name and address of party to whom correspondence  |              | 6. Total number                         | r of applications and  |  |
| concerning document should be mailed:  |              | patents invol                           |                        |  |
|  |              | · ·                                     | CFR 3.41)\$40.00       |  |
| Michael E. Taken   |              | ✓ Enclosed                              | ,                      |  |
| ANDRUS, SCEALES, STARKE & SAWALL, LLP  |              |   | ed to charge Deposit   |  |
| 100 East Wisconsin Avenue, Suite 1100  |              | Account                                 | • • •                  |  |
| Milwaukee, WI 53202  |              |   | ount No. 01.2000       |  |
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|  |              |   | Deposit Account)       |  |
| 9 Statement and signature.   | ·            | ii paying by                            | Deposit Account)       |  |
| 5 Statement and Signature.   |              |   |                        |  |
| To the best of my knowledge and belief, the foregoing information is true and correct and any  |              |   |                        |  |
| attached copy is a true copy of the original document.   |              |   |                        |  |
|  |              |   |                        |  |
| Dawn M. Oleszak  Dawn M. Oleszak  Sinta M. Oleszak  Dawn M. Oleszak  Dawn M. Oleszak   |              |   |                        |  |
| Dawn M. Oleszak Name of Person Signing Signature  Dawn M. Oleszak Signature  9-12-50 Date  |              |   | Date                   |  |
| - Signature  |              | -                                       | Duit                   |  |
| Total number of pages including cover sheet, attachments and document:9  |              |   |                        |  |
| OMB No. 0651-0011 (exp. 4/94)  |              |   |                        |  |
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### **ASSIGNMENT**

I, identified below as "Inventor", have made certain new and useful improvements in an invention ("Invention"), as set forth in an application for United States Letters Patent executed by me and bearing the following title and for which the Assignee under this agreement or the attorney of record is hereby authorized to insert the serial number and filing date when ascribed:

Title: SPACE EFFICIENT EXHAUST AFTERTREATMENT FILTER

**Serial Number:** 09/577,560 **Filing Date:** May 24, 2000

For good and valuable consideration, I do hereby sell, assign, and transfer to Fleetguard Inc. ("Assignee"),a corporation organized under the laws of the State of Indiana, and located and doing business at 402 BNA Drive, Building 100, Suite 500, Nashville, TN 37217, and to its legal representatives, successors, and assigns, my entire right, title, and interest in and to the Invention and to all United States and foreign patent applications any of whose claims cover all or part of the Invention, together with all patents resulting from such applications. Assignee shall hold such right, title, and interest as fully and completely as they would have been held by me had this assignment and sale not been made.

I agree, upon Assignee's request, to execute or assent to such United States and foreign patent applications and to execute all separate assignments and other legal documents that Assignee may find necessary or desirable in its exercise of the right, title, and interest assigned above. I also agree to communicate to Assignee any facts relating to the Invention or to any of the patent applications or patents contemplated above that may be useful to Assignee and to testify as to such facts in any interferences or in litigation, if requested to do so. I shall do all these things without additional compensation but at no expense to me.

Page 1 of 2

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Notary Public

My Commission expires:

Title: SPACE EFFICIENT EXHAUST AFTERTREATMENT FILTER

May 24, 2000

Serial Number: 09/577,560 Filing Date:

forth.

**SEAL** 

## solicitors

## T.P. Keith Oakey & Co.

D. NICHOLAS BOWEN (Notary Public)

PIERS W.R. OAKEY

MDX 716,426 HINCKLEY

13, REGENT STREET, HINCKLEY LEICESTERSHIRE, LE10 0AZ

Tel. Nos. (01455) 637030 & 611113 Fax No. (01455) 634264

YOUR REF

OUR REF.

When calling please ask for:

THIS IS the specimen signature of DAVID NICHOLAS BOWEN. Notary Public of 13 Regent Street, Hinckley, Leics., LE10 0AZ.

DATED THIS 17<sup>TH</sup> DAY OF MAY 2000

DAVID NICHOLAS BOWEN (Notary Public)

THIS FIRM IS REGULATED BY THE LAW SOCIETY IN THE CONDUCT OF INVESTMENT BUSINESS.

V.A.T Reg No. 116 0725 02

#### **ASSIGNMENT**

I, identified below as "Inventor", have made certain new and useful improvements in an invention ("Invention"), as set forth in an application for United States Letters Patent executed by me and bearing the following title and for which the Assignee under this agreement or the attorney of record is hereby authorized to insert the serial number and filing date when ascribed:

## Title: SPACE EFFICIENT EXHAUST AFTERTREATMENT FILTER

Serial Number: 09/577,560 Filing Date: May 24, 2000

For good and valuable consideration, I do hereby sell, assign, and transfer to Fleetguard Inc. ("Assignee"), a corporation organized under the laws of the State of Indiana, and located and doing business at 402 BNA Drive, Building 100, Suite 500, Nashville, TN 37217, and to its legal representatives, successors, and assigns, my entire right, title, and interest in and to the Invention and to all United States and foreign patent applications any of whose claims cover all or part of the Invention, together with all patents resulting from such applications. Assignee shall hold such right, title, and interest as fully and completely as they would have been held by me had this assignment and sale not been made.

I agree, upon Assignee's request, to execute or assent to such United States and foreign patent applications and to execute all separate assignments and other legal documents that Assignee may find necessary or desirable in its exercise of the right, title, and interest assigned above. I also agree to communicate to Assignee any facts relating to the Invention or to any of the patent applications or patents contemplated above that may be useful to Assignee and to testify as to such facts in any interferences or in litigation, if requested to do so. I shall do all these things without additional compensation but at no expense to me.

I hereby request the Commissioner of Patents to issue to Assignee, as the owner of my entire right, title, and interest therein, any Letters Patent of the United States that may be issued for the Invention.

| Inventor:              | Date:  |
|------------------------|--|
| (Sign                  | nature)  |
| Name typed or printed: | Kenneth Pawson   |
| Address:               | Mill House, East Haddon  |
|                        | Northampton, NN6 8DU, United Kingdom   |
| State of               | _)<br>) ss   |
| County of day of       | ,  |
| Kenneth Pawson, known  | to me to be the person who executed the above instrument and he or she executed the same for the uses and purposes therein set |
| SEAL                   |  |
|                        | Notary Public  |
|                        | My Commission expires:   |

Page 1 of 2

Title: SPACE EFFICIENT EXHAUST AFTERTREATMENT FILTER

Serial Number: 09/577,560

Filing Date: May 24, 2000

Inventor: William C. Halkulamy Date: 19 May 2000 Name typed or printed: William C. Haberkamp Address: 511 North Dixie Avenue Cookeville, Tennessee 38501 State of Jennessee On this  $19^{t}$  day of  $10^{t}$  day of  $10^{t}$ , before me personally appeared said William C. Haberkamp, known to me to be the person who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth. ebrah au Sysym SEAL

Notary Public

My Commission expires:

RECORDED: 09/18/2000