

09-28-2000

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DEMPSEY

Serial No.: 09/557,038

Filed: April 21, 2000

Atty. File No.: 2848-30

For: "PKC INHIBITING COMPOUNDS  
AND METHODS OF USING SAME TO  
TREAT VASCULAR AND RELATED  
DISEASE"

RECORDATION OF ASSIGNMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS  
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COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON,  
DC 20231 ON THIS 16<sup>th</sup> DAY OF August, 2000

SHERIDAN ROSS P.C.

BY:

*Brenda Carpenter*

BOX ASSIGNMENTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Please record the attached original documents or copy thereof:

1. Name of conveying party(ies):

Edward C. Dempsey

2. Name and address of receiving party(ies):

University Technology Corporation  
3101 Iris Avenue, Suite 250  
Boulder, CO 80301

3. Nature of Conveyance:

<input checked="" type="checkbox"/>	Assignment	<input type="checkbox"/>	Merger
<input type="checkbox"/>	Security Agreement	<input type="checkbox"/>	Change of Name
<input type="checkbox"/>	Other: _____		

Execution Date: July 28, 2000

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40.00 DP

PATENT  
REEL: 011108 FRAME: 0001

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/557,038

B. Patent No.(s): None.

5. Name and address of party to whom correspondence concerning documents should be mailed:

Joseph E. Kovarik, Esq.  
Sheridan Ross P.C.  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141

6. Total number of applications and patents involved:

1.

7. Total fee (37 CFR 3.41).....\$40.00.

☒ Enclosed

☒ Any deficiency in payment of recording fee is authorized to be charged to Deposit Account.

☐ Authorized to be charged to deposit account.

8. Deposit account number: 19-1970.

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including cover sheet, attachments and document: 5

Respectfully submitted,

SHERIDAN ROSS P.C.

By: 

Joseph E. Kovarik

Registration No. 33,005

1560 Broadway, Suite 1200

Denver, Colorado 80202-5141

(303) 863-9700

Date: 8/16/00

## ASSIGNMENT

WHEREAS, I, Edward C. Dempsey of 354 Humboldt Street, Denver, Colorado 80218, have invented a certain new and useful invention entitled "PKC INHIBITING COMPOUNDS AND METHODS OF USING SAME TO TREAT VASCULAR AND RELATED DISEASE" for which an application for Letters Patent of the United States has been prepared and filed on April 21, 2000, receiving Serial No. 09/557,038, and further identified as Attorney File No. 2848-30;

NOW THEREFORE, be it known that I, the said inventor, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, University Technology Corporation, a not-for-profit Colorado corporation having a place of business at 3101 Iris Avenue, Suite 250, Boulder, CO 80301, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; I also assign any right, title or interest in and to the said invention which has not already been transferred to the assignee, I warrant that I have made no assignment of the invention, application or patent therefor to a party other than University Technology Corporation and I am under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and I further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to University Technology Corporation, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the party hereto has executed this Assignment as of the date indicated hereunder.

Date: 7-28-00

By: Edward C. Dempsey  
Edward C. Dempsey