

09-28-2000



COVER SHEET

U.S. DEPARTMENT OF COMMERCE

JLY

Patent and Trademark Office

Tab settings == > > > ▼ KOMA50

To the Honorable Commission

101476255

Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kondo, Masao

2. Name and address of receiving party(ies):

Name: NCA2BioProcess, Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Street Address: 4816 Glen Forest Drive

City: Raleigh State: NC ZIP: 27612

Execution Date: September 13, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

09/205,591

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Olive & Olive, P.A.

Internal Address: Michael R. Philips

Street Address: P.O. Box 2049

City: Durham State: NC ZIP: 27702

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

09/27/2000 MTHA11 00000259 09205551

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael R. Philips

Name of Person Signing

Michael R. Philips  
Signature

18 Sept 2000  
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

(Form PTO--1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT  
REEL: 011108 FRAME: 0165

# ASSIGNMENT

WHEREAS, I, Masao Kondo, have made an invention entitled AIRLIFT PUMP APPARATUS AND METHOD described in an application (hereinafter the Application) executed by me and filed in the United States Patent and Trademark Office as application no. 09/205,591 December 4, 1998;

WHEREAS, NCA<sup>2</sup>BioProcess, Inc., a corporation duly organized and existing under the laws of the State of North Carolina and having a place of business at 4816 Glen Forest Drive, Raleigh, North Carolina 27612, for the benefit of itself, its successors and assigns, (hereinafter the Assignee), is desirous of acquiring the entire right, title and interest in and to the invention, all inventions disclosed in the application (hereinafter the Invention), the Application, and any and all Letters Patent of the United States and of all other countries including any divisional, continuation, continuation-in-part, extension, reexamination, renewal and reissue thereof which may be granted for the Invention (hereinafter the Patents);

NOW THEREFORE, for good and valuable consideration to me paid by the Assignee, the receipt of which is hereby acknowledged, I hereby sell, assign and transfer to the Assignee the entire right, title and interest in and to the Invention, the Application and the Patents;

TO HAVE, HOLD AND ENJOY the Invention, the Application and the Patents assigned hereby to the Assignee for its own use and benefit to the end of the term or terms for which the Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment not been made.

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States and the appropriate officers of all foreign patent offices to issue the Patents to the Assignee in accordance with the terms of this instrument.

AND I HEREBY further agree to execute and sign without further consideration any other legal document, assignment and any divisional, continuation, continuation-in-part, extension, reexamination, renewal, reissue, or other application in and for all patents that may be deemed appropriate and necessary by the Assignee fully to secure to the Assignee its interests as aforesaid in the Invention, the Application and the Patents.

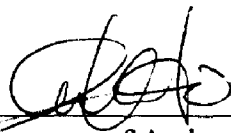
AND I further covenant and agree that I will at any time upon request communicate to the Assignee or its legal representative, any facts known to me relating to the Invention, the Application and the Patents and will testify truthfully as to the same in any interference, litigation or any other proceeding related thereto when requested to do so.

AND I do hereby covenant for myself and my legal representatives that I have not assigned or granted any license to make, use or sell the Invention and that I will not purport to assign, license or execute any instrument to that effect in conflict with this assignment.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date set forth below.

9.13.00

Date

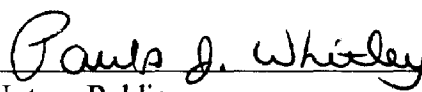
  
Signature of Assignor

STATE OF NC

COUNTY OF Wake

)  
) ss  
)

Then personally appeared before me the above-named Masao Kondo, this 13 day of Sept., 2000, to me personally known and known by me to be the person named and who executed the foregoing instrument and acknowledged the same to be that person's free act and deed.

  
Notary Public

4.25.2005  
My commission expires

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Masao Kondo**

Docket No.

**KOMA5001BOMP**

Serial No.

**09/205,591**

Filing Date

**December 4, 1998**

Examiner

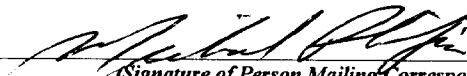
**M. Gimie**

Group Art Unit

**3747**Invention: **AIRLIFT PUMP APPARATUS AND METHOD**I hereby certify that this **Assignment***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

*18 Sept 2000**(Date)***Michael R. Philips***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.****PATENT**

P07A/REV03

**RECORDED: 09/20/2000****REEL: 011108 FRAME: 0168**