

Practitioner's Docket No. NPSP 000133 (U 5501)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

11-20-2000

Box Assignments  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231



101517597

NOTE: "Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)." 37 C.F.R. § 3.27.

ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 C.F.R. § 3.31(b).

Attached please find an assignment (document) for recordal.

10-10-2000

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*  
(When using Express Mail, the Express Mail label number is **mandatory**.  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10\*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"  
Mailing Label No. \_\_\_\_\_ (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.

40E  
No Spec  
Fee

Signature

William R. Evans

(type or print name of person certifying)

Date: October 4, 2000

\*WARNING: Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Assignment (Document) Cover Sheet—page 1 of 6) 16-6

PATENT  
REEL: 011111 FRAME: 0608

**IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)  
FOR ASSIGNMENT (DOCUMENT) RECORDAL**  
(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

*NOTE: See 37 C.F.R. §3.21.*

*NOTE: § 3.21 does not apply to documents other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).*

1. This assignment is for the following patent application or issued patent:

*NOTE: Do NOT enter both application AND patent number.*

National application: SN: \_\_\_\_\_ filed on \_\_\_\_\_  
Provisional application: \_\_\_\_\_ filed on \_\_\_\_\_  
International application: PCT \_\_\_\_\_  
Patent No: 4,684,611 Issued: August 4, 1987  
(complete if applicable) which was previously assigned and recorded

Date July 3, 2000 Reel 010927  
Frame 0880

*NOTE: If an assignment of a patent application filed under § 1.53(b) is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application under § 1.53(c) is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended. 37 C.F.R. § 3.21.*

*(also complete the following, if applicable)*

- ☐ and also for the applications and/or patents  
shown on the attached list of FURTHER  
APPLICATION(S) and/or PATENT(S) BEING ASSIGNED

Number of pages added \_\_\_\_\_

*NOTE: "Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet." Notice of June 24, 1992 (1140 O.G. 63-72 at 67).*

**TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS  
AND TOTAL FEE**

*NOTE: All requests to record documents must be accompanied by the appropriate fee; a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h). 37 C.F.R. §3.41.*

2. A. The total number of applications and/or patents identified in this cover sheet is ONE

B. The total fee is (37 C.F.R. § 1.21(h)):

1 x \$40.00 = \$ 40.00  
Total number of applications  
and/or patents

C. Payment of fee is made by:

☒ the attached check for \$ 40.00

☐ Please charge Account \_\_\_\_\_

the sum of \$ \_\_\_\_\_

A duplicate of this cover sheet is attached.

Please charge Account 12-0425 for any fee deficiency or credit to account any overpayment.

**NAME OF PARTY(IES) CONVEYING INTEREST**

(37 C.F.R. § 3.31(a)(1))

*NOTE* "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65); M.P.E.P. § 302.07, 6th ed.

3. The party(ies) conveying interest is (are):

Name 1: ROBERT A. SCHILPEROORT

Name 2:

Name 3:

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST**

(37 C.F.R. § 3.31 (a)(2))

4. The rights are being conveyed to:

Name: ROBERT A. SCHILPEROORT

Address: ANTH. DUYCKLAAN 10C

2334 CD LEIDEN, THE NETHERLANDS

**DESCRIPTION OF INTEREST CONVEYED OR  
TRANSACTION RECORDED (37 C.F.R. § 3.31 (a)(3))**

5. The accompanying document intends to accomplish:

- ☐ an assignment.
- ☐ a security agreement.
- ☐ a merger.
- ☐ a license.
- ☐ a change of name.
- ☒ a change of address.
- ☐ other:

**NAME AND ADDRESS OF PARTY TO WHOM  
CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))**

6. Please address correspondence to:

Name: William R. Evans  
Address: c/o Ladas & Parry  
26 West 61<sup>st</sup> Street  
New York, New York 10023  
Telephone No.: (212) 708-1930

**DATE ASSIGNMENT (DOCUMENT) EXECUTED**  
(37 C.F.R. § 3.31(a)(7))

7. A. ☒ The attached assignment (document) was executed on June 27, 2000  
Date

**OR** *If there is more than one conveying party who executed on different dates, indicate the date of execution of the assignment document for each conveying party.*

B. ☐ The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_ .  
Date

☐ The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_ .  
Date

☐ The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_ .  
Date

☐ The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_ .  
Date

☐ The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_ .  
Date

## LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

*NOTE: "The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation," 37 C.F.R. § 3.26.*

8. The attached document:

- ☒ is in the English language.
- ☐ is not in the English language and an English translation signed by the individual making the translation is attached.

## ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

*NOTE: See 37 C.F.R. § 3.24.*

9. Submitted herewith is:

- ☒ the original document.
- ☐ a true copy of the original document, which I certify to be a true copy.

*NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.*

*NOTE: "Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form." M.P.E.P., 6th ed., § 302.01.*

## ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS

*(check item, if applicable)*

10. ☒ Because the purpose of the attached documents is to record a change of address of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

## ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME

*(check item, if applicable)*

11. ☐ Because the purpose of the attached documents is to record a change of name of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

# CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

## STATEMENT (37 C.F.R. § 3.31(a)(9)) AND SIGNATURE (37 C.F.R. § 3.31(a)(10))

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

*NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65; M.P.E.P. § 302.07 6th ed.*

Date: October 4, 2000

\_\_\_\_\_  
Name of party submitting document

\_\_\_\_\_  
**Signature of party submitting document**

*(complete the following, if the party submitting the document is applicant's attorney)*

\_\_\_\_\_  
**SIGNATURE OF PRACTITIONER**

Reg. No.:

William R. Evans, 25858, (212) 708-1930

*(type or print name of practitioner)*

Tel. No.: ( )

\_\_\_\_\_  
P.O. Address

Customer No.:

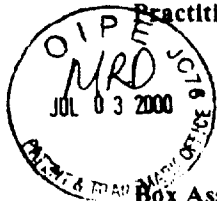
\_\_\_\_\_  
c/o Ladas & Parry  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023

## TOTAL NUMBER OF PAGES BEING SUBMITTED

14. The total number of pages being submitted, **including cover sheet attachment(s), and documents** are:

9  
Total number of pages submitted

(Assignment (Document) Cover Sheet—page 6 of 6) 16-6



Practitioner's Docket No. NPSP 000133 (U 5501)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Assignments  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

07-25-2000



101413314

NOTE: "Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)." 37 C.F.R. § 3.27

ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 C.F.R. § 3.31(b).

Attached please find an assignment (document) for recordal.

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is **mandatory**.  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10\*

- ☒ with sufficient postage as first class mail ☐ as "Express Mail Post Office to Addressee"  
Mailing Label No. \_\_\_\_\_ (mandatory)

TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

William R. Evans

(type or print name of person certifying)

Date: June 25, 2000

\*WARNING: Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(h).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442

(Assignment (Document) Cover Sheet—page 1 of 6) 16-6

02/24/2000 JJALLAH2 00000053 4684611

01 FC:581

40.00 CP

PATENT  
REEL: 010927 FRAME: 0880

PATENT  
REEL: 011111 FRAME: 0614



IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)  
FOR ASSIGNMENT (DOCUMENT) RECORDAL  
(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

NOTE See 37 C.F.R. §3.21.

NOTE: § 3.21 does not apply to documents other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

1. This assignment is for the following patent application or issued patent:

NOTE: Do NOT enter both application AND patent number.

National application: SN: filed on  
Provisional application: filed on  
International application: PCT  
Patent No: 4,684,611 Issued: August 4, 1987  
(complete if applicable) which was previously assigned and recorded

Date January 31, 1983

Reel 004091

Frame 0364

NOTE: If an assignment of a patent application filed under § 1.53(b) is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application under § 1.53(c) is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended. 37 C.F.R. § 3.21.

(also complete the following, if applicable)

- ☐ and also for the applications and/or patents  
shown on the attached list of FURTHER  
APPLICATION(S) and/or PATENT(S) BEING ASSIGNED

Number of pages added \_\_\_\_\_

NOTE: "Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet." Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS  
AND TOTAL FEE

NOTE: All requests to record documents must be accompanied by the appropriate fee; a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h) 37 C.F.R. §3.41

(Assignment (Document) Cover Sheet—page 2 of 6) 16-6

PATENT  
REEL: 010927 FRAME: 0881

PATENT  
REEL: 011111 FRAME: 0615



2. A. The total number of applications and/or patents identified in this cover sheet is ONE.
- B. The total fee is (37 C.F.R. § 1.21(h)):  
1 x \$40.00 = \$ 40.00  
Total number of applications  
and/or patents
- C. Payment of fee is made by:  
☒ the attached check for \$ 40.00  
☐ Please charge Account \_\_\_\_\_  
the sum of \$ \_\_\_\_\_.  
A duplicate of this cover sheet is attached.

Please charge Account 12-0425 for any fee deficiency or credit to account any overpayment.

**NAME OF PARTY(IES) CONVEYING INTEREST**  
(37 C.F.R. § 3.31(a)(1))

*NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65); M.P.E.P. § 302.07, 6th ed.*

3. The party(ies) conveying interest is (are):

Name 1: ROBERT A. SHILPEROORT  
Name 2:  
Name 3:

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST**  
(37 C.F.R. § 3.31 (a)(2))

4. The rights are being conveyed to:

Name: ROBERT A. SHILPEROORT  
Address: ANTH. DUYCKLAAN 10C  
2334 CD LEIDEN, THE NETHERLANDS

**DESCRIPTION OF INTEREST CONVEYED OR  
TRANSACTION RECORDED** (37 C.F.R. § 3.31 (a)(3))

5. The accompanying document intends to accomplish:

- ☐ an assignment.  
☐ a security agreement.  
☐ a merger.  
☐ a license.  
☐ a change of name.  
☒ a change of address.  
☐ other:

(Assignment (Document) Cover Sheet—page 3 of 6) 16-6

**PATENT**  
**REEL: 010927 FRAME: 0882**

**PATENT**  
**REEL: 011111 FRAME: 0616**

**NAME AND ADDRESS OF PARTY TO WHOM  
CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))**

6. Please address correspondence to:

Name: William R. Evans  
Address: c/o Ladas & Parry  
26 West 61<sup>st</sup> Street  
New York, New York 10023  
Telephone No.: (212) 708-1930

**DATE ASSIGNMENT (DOCUMENT) EXECUTED  
(37 C.F.R. § 3.31(a)(7))**

7. A. ☒ The attached assignment (document) was executed on June 29, 2000  
Date

OR *If there is more than one conveying party who executed on different dates, indicate the date of execution of the assignment document for each conveying party*

B. ☐ The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_  
Date

☐ The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_  
Date

☐ The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_  
Date

☐ The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_  
Date

☐ The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_  
Date

(Assignment (Document) Cover Sheet—page 4 of 6) 16-6

**PATENT  
REEL: 010927 FRAME: 0883**

**PATENT  
REEL: 011111 FRAME: 0617**

## LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

*NOTE: "The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation," 37 C.F.R. § 3.26.*

8. The attached document:

- ☒ is in the English language.
- ☐ is not in the English language and an English translation signed by the individual making the translation is attached.

## ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

*NOTE: See 37 C.F.R. § 3.24.*

9. Submitted herewith is:

- ☒ the original document.
- ☐ a true copy of the original document, which I certify to be a true copy.

*NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.*

*NOTE: "Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form." M.P.E.P., 6th ed., § 302.01.*

## ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS

*(check item, if applicable)*

10. ☒ Because the purpose of the attached documents is to record a change of address of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

## ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME

*(check item, if applicable)*

11. ☐ Because the purpose of the attached documents is to record a change of name of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

(Assignment (Document) Cover Sheet—page 5 of 6) 16-6

**PATENT**  
**REEL: 010927 FRAME: 0884**

**PATENT**  
**REEL: 011111 FRAME: 0618**

**CHANGE OF PATENT MAINTENANCE FEE ADDRESS**

*(check item, if applicable)*

12. ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

**STATEMENT (37 C.F.R. § 3.31(a)(9)) AND  
SIGNATURE (37 C.F.R. § 3.31(a)(10))**

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

*NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65; M.P.E.P. § 302.07 6th ed.*

Date: June 29, 2000

\_\_\_\_\_  
Name of party submitting document

\_\_\_\_\_  
Signature of party submitting document

*(complete the following if the party submitting the document is applicant's attorney)*

\_\_\_\_\_  
**SIGNATURE OF PRACTITIONER**

Reg. No.:

William R. Evans, 25858, (212) 708-1930  
*(type or print name of practitioner)*

Tel. No.: ( )

\_\_\_\_\_  
P.O. Address

Customer No.:

\_\_\_\_\_  
c/o Ladas & Parry  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

14. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

8  
Total number of pages submitted

(Assignment (Document) Cover Sheet—page 6 of 6) 16-6

**PATENT  
REEL: 010927 FRAME: 0885**

**PATENT  
REEL: 011111 FRAME: 0619**

ASSIGNMENT

LE-JOINT U.S.A.

For value received, I/We, Robbert Adriaan SCHILPEROORT,Franciscus Andries KRENS and George Joseph WULLEMS

residing respectively at Vincent van Goghlaan 40, 2343 RP Oegstgeest,  
the Netherlands; Maaswijkstraat 54, 2586 CE Scheveningen, the Netherlands;  
Wloosterwei 302, 2361 XR Warmond, the Netherlands.

hereby sell, assign, and transfer to Rijksuniversiteit Leiden and  
Robbert Adriaan SCHILPEROORT

~~incorporation~~ existing under the Laws of the Netherlands

located at P.O. Box 9500, 2300 RA Leiden, the Netherlands and  
Vincent van Goghlaan 40, 2343 RP Oegstgeest, the Netherlands

and its successors, assigns, and legal representatives, the entire right,  
 title, and interest for all countries including the United States of America,  
 in and to certain inventions relating to A process for the in-vitro transformation  
of plant protoplasts with plasmid DNA.

described in an application for Letters Patent of the United States, executed  
 by me/us on this date, and all patents which may be granted therefor, and  
 all divisions, reissues, continuations and extensions thereof, and authorize  
 and request the Commissioner of Patents to issue all patents on said  
 improvements or resulting therefrom to said Company as assignee of the  
 entire interest, and covenant that I/We have full right so to do, and agree  
 that I/We will communicate to said Company or its representatives any facts  
 known to me/us respecting said improvements and testify in any legal  
 proceedings, sign all lawful papers, execute all divisional, continuing and  
 reissue applications, make all rightful oaths and generally do everything  
 possible to aid said Company its successors, assigns, and nominees, to obtain  
 and enforce proper protection for said invention in the United States.

Signature *Robbert A. Schilperoort* January 24, 1983  
Robbert A. Schilperoort Date

Signature *George J. Willems* January 24, 1983  
George J. Willems Date

Signature *Franciscus Andries Krens* January 24, 1983  
Franciscus Andries Krens Date

Signature *George J. Willems* January 24, 1983  
George J. Willems Date

Signature *Franciscus Andries Krens* January 24, 1983  
Franciscus Andries Krens Date

RECORDED: 07/03/2000

PATENT  
 REEL: 010927 FRAME: 0886

RECORDED: 10/10/2000

PATENT  
 REEL: 011111 FRAME: 0620