

09-29-2000

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RECOI



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Attorney Docket 4061-20CON

101476282

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Silvo Zupančič**  
**Anton Štimac**  
**Jože Gnidovec**

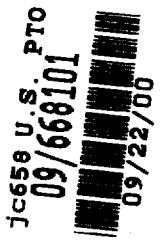
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Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other

Execution Date: 7/20/00; 7/29/00

2. Name and address of receiving party(ies):  
Name: KRKA, Tovarna Zdravil, D. D.  
Internal Address:  
Street Address: Šmarjenska cesta 6,  
City: Novo mesto  
State/Country: Slovenia  
Zip: 8501



Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is: 7/20/00; 7/29/00  
A. Patent Application No.(s) | B. Patent No.(s)  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Thomas C. Pontani  
Internal Address: Cohen, Pontani, Lieberman & Pavane  
Street Address: 551 Fifth Avenue, 12<sup>th</sup> Floor  
City: New York State: New York Zip: 10176

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR 3.41):.....\$40.00  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit account number: 03-2412  
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
Thomas C. Pontani  
Name of Person Signing  
Reg. No. 29,763  
  
Signature  
September 22, 2000  
Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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PATENT  
REEL: 011112 FRAME: 0254

Attorney Docket Number: 4061-0PUS

## ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, We the undersigned, **Silvo ZUPANČIČ**, **Anton ŠTIMAC** and **Jože GNIDOVEC** (hereinafter referred to as "ASSIGNOR"):

Hereby sell, assign and transfer to **KRKA, Tovarna Zdravil, D. D.** (hereinafter referred to as "ASSIGNEE"), a corporation of **Slovenia**, having a place of business at Šmarjeska cesta 6, 8501 Novo mesto, Slovenia, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which is the U.S. national stage application of International PCT Application No. **PCT/SI99/00006**, filed on **24 February 1999**, and which is entitled

### **PROCESS FOR THE PREPARATION OF SIMVASTATIN AND DERIVATIVES THEREOF**

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing,

substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 07/20/2000  
month/day/year

  
\_\_\_\_\_  
Silvo ZUPANCIC

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Witness

\_\_\_\_\_  
Witness

Date: 07/20/2000  
month/day/year

  
\_\_\_\_\_  
Anton STIMAC

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Witness

\_\_\_\_\_  
Witness

Date: 07 / 29 / 2000  
month/day/year

  
\_\_\_\_\_  
Jozse GNIDOVEC

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