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RECORDATION FORM COVER SHEET PAT

10-02-2000



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IP

To the Honorable Commissioner of Patents and Trademarks: Please record the ame

1. Name of conveying Party(ies):

Paul Colbourne, Xiaoli Fu

2. Name and address of receiving party(ies)

Name: JDS Uniphase Inc.
 Street Address: 570 West Hunt Club Road
 City: Nepean
 Province: Ontario
 Country: Canada
 Postal Code: K2G 5W8

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Additional name(s) & addresses attached? ☐ Yes ☒ No

Execution Date: August 1, 2000, August 3, 2000

4. Application number(s) or patent number(s)

09/634707

If this document is being filed together with a new application, the execution date of the application is:

August 1, 2000, August 3, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Neil Teitelbaum
 JDS Uniphase Inc.
 Intellectual Property
 Street Address: 1187 Bank Street, Suite 201
 City: Ottawa
 Province: Ontario
 Postal Code: K1S 3X7
 Country: Canada
 CUSTOMER NO: 24949

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41)\$40.00

☐ Enclosed
☒ Authorized to be charged to deposit account
 50-1465

8. Deposit account number: 50-1465
 (attach duplicate copy of this sheet if paying by deposit acct)

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing Information is true and correct and any attached copy is a true copy of the original document.

10/02/2000 09:11 00000002 501465 09634707
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Neil Teitelbaum
 Name of Person Signing

Signature

August 4, 2000
 Date

Total number of pages including cover sheet, attachments and document 4

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington D.C. 20231

File No: 10-349 US CIP

ASSIGNMENT

Whereas Paul Colbourne, a citizen of Canada and Xiaoli Fu, a citizen of China, whose full post office addresses are 6 Bren-Maur Road, Nepean, Ontario, Canada, K2J 3Z7 and ~~1150 Meadowlands Drive, Apt. 510, Nepean, Ontario, Canada, K2E 6J4~~, respectively, have made an invention as described in the application for Letters Patent in the United States of America entitled

TUNABLE DISPERSION COMPENSATOR

filed herewith.

And WHEREAS JDS Uniphase Inc., whose full post office address is 570 West Hunt Club Road, Nepean, Ontario, Canada K2G 5W8, is desirous of acquiring the entire interest therein;

NOW, THEREFORE, in consideration of ONE DOLLAR (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged WE, by these presents do sell, assign and transfer unto JDS Uniphase Inc., the full and exclusive right, for the territory of the United States Of America, and all other countries, in and to the said invention, and we hereby request the Commissioner of Patents and Trademarks to issue said Letters Patents to JDS Uniphase Inc. as the assignee, for the sole use and behoof of JDS Uniphase Inc., and its legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by myself had this assignment and sale not been made.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Executed this 1 day of August, 2000.
at Napan, Canada.

Paul Colbourne
Paul Colbourne

Declaration by Witness

I, DAVID HUSS, whose full post office address is
988 CHURCH WAY DELTON ONT say:
R1C 5S1

That I was personally present and did see Paul Colbourne, who is personally known to me, duly sign and execute the above Assignment.

[Signature]
Signature of Witness

Executed this ____ day of _____, 2000.
at _____, Canada.

Xiaoli Fu

Declaration by Witness

I, _____, whose full post office address is
_____ say:

That I was personally present and did see Xiaoli Fu, who is personally known to me, duly sign and execute the above Assignment.

Signature of Witness

Executed this _____ day of _____, 2000.

at _____, Canada.

Paul Colbourne

Declaration by Witness

I, _____, whose full post office address is
_____ say:

That I was personally present and did see Paul Colbourne, who is personally known to me, duly sign and execute the above Assignment.

Signature of Witness

Executed this 3rd day of August, 2000.

at Ottawa, Canada.

Xiaoli Fu

Declaration by Witness

I, JUN LU, whose full post office address is
11 CALAIS COURT, NEPEAN say:
K2E 7E8

That I was personally present and did see Xiaoli Fu, who is personally known to me, duly sign and execute the above Assignment.

Signature of Witness