

RECORDATION FORM
PATENTS

10-03-2000

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DEPARTMENT OF COMMERCE
Patent and Trademark Office



101477877

To the Honorable Commissioner of Patents and Trademarks: Please record

eof.

1. Name of conveying party(ies): **WERNER ENGELER, DIETER NEYER, MARTINA KÖSTERS and DIETHARD HESSE**

Additional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: **Volkswagen AG**

Address: **Postfach D-38436, Wolfsburg 1, Germany**

City: _ State: _ Zip: _

Additional name(s) and address(es) attached?
☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **July 8, 1998, August 5, 1998 and August 12, 1998.**

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)
Serial No. 09/138,877 filed August 24, 1998.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Ronald B. Hildreth**

BAKER BOTTS L.L.P.

Address: **30 Rockefeller Plaza - 44 Fl.**

City: **New York** State: **NY** Zip: **10112**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41): **\$ 40.00**

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:
02-4377
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing instrumentation is true and correct and any attached copy is a true copy of the original document.

Ronald B. Hildreth

Name of Person Signing

Signature

August 7, 2000

Date

Total number of pages including cover sheet, attachment and document: **5**

ASSIGNMENT

WHEREAS, WE, WERNER ENGELER, DIETER NEYER, MARTINA KÖSTERS and DIETHARD HESSE, residing at Westring 9, D-38527 Meine; Harbigring 6, D-38444 Wolfsburg; Lister Meile 59, D-30161 Hanover; and, Sonnenweg 2, D-30453 Hanover, respectively, Germany, all citizens of Germany, have made an invention entitled "METHOD AND ARRANGEMENT FOR PURIFYING EXHAUST GASES"; and

WHEREAS, VOLKSWAGEN AG, a corporation organized and existing under the laws of Germany, and having an office for the transaction of business at D-38436 Wolfsburg, Germany, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said WERNER ENGELER, DIETER NEYER, MARTINA KÖSTERS and DIETHARD HESSE, for valuable considerations unto us moving from said VOLKSWAGEN AG, at or before the en-sealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said VOLKSWAGEN AG, its successors and assigns, the entire right, title and interest in and to the said invention entitled "METHOD AND ARRANGEMENT FOR PURIFYING EXHAUST GASES", executed concurrently herewith, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said WERNER ENGELER, DIETER NEYER, MARTINA KÖSTERS and DIETHARD HESSE, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said VOLKSWAGEN AG, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said VOLKSWAGEN AG, its successors and assigns;

AND WE, the said WERNER ENGELER, DIETER NEYER, MARTINA KÖSTERS and DIETHARD HESSE, for the considerations aforesaid, do hereby covenant and agree to and with said VOLKSWAGEN AG, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing,

further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said VOLKSWAGEN AG, its successors and assigns, may be required or necessary more effectively to secure to and vest in said VOLKSWAGEN AG, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

Subscribed and executed at

Wolfsburg

(Place)

on

August 5, 98

(Date)

Werner Engeler

WERNER ENGELER

Witness:

Type Name:

Dieter Dick

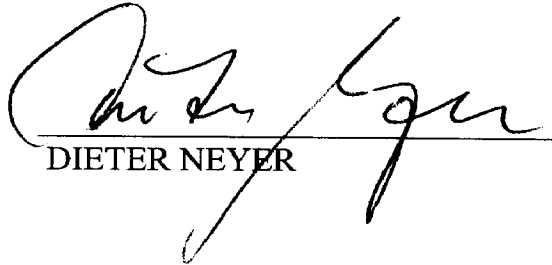
Witness:

Type Name:

Olaf Beckmann

Subscribed and executed at Wolfsburg
(Place)

on 8. 12. 99
(Date)


DIETER NEYER

Witness: V. E. —
Type Name: N. Ermisch

Witness: M. Thode
Type Name: M Thode

Subscribed and executed at Hannover
(Place)

on 7/8/98
(Date)


MARTINA KÖSTERS

Witness: N. Bredehoyer
Type Name: NIELS BREDEMEYER

Witness: M. Scheller
Type Name: MATTHIAS SCHELLER

Subscribed and executed at Hannover
(Place)

on 07/08/98
(Date)

Diethard Hesse
DIETHARD HESSE

Witness: [Signature]
Type Name: NIELS BREDEMAYER

Witness: [Signature]
Type Name: MATTHIAS SCHELLED