

10-03-2000

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U.S. PTO  
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**Conveyance Type**

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**  
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- ☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Execution Date  
Month Day Year  
7/21/00

Name (line 1)  James Y. Chon

Name (line 2)

**Second Party**

Name (line 1)

Name (line 2)

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1)  Alcon Universal, Ltd.

Name (line 2)

Address (line 1)  Bosch 69

Address (line 2)

Address (line 3)  Hunenberg  Switzerland

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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**PATENT**  
**REEL: 011117 FRAME: 0606**

**Correspondent Name and Address**

Area Code and Telephone Number (817) 551-3063

Name Jeffrey S. Schira

Address (line 1) Alcon Research, Ltd.

Address (line 2) R&D Legal Counsel (Q-148)

Address (line 3) 6201 South Freeway

Address (line 4) Fort Worth, Texas 76134

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 2

**Application Number(s) or Patent Number(s)**

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**


If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT PCT PCT  
PCT PCT PCT

**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 501051

Authorization to charge additional fees:

Yes ☒ No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Jeffrey S. Schira

Name of Person Signing

Reg.No. 34,922

Signature

July 25, 2000

Date

## ASSIGNMENT

WHEREAS, I, James Y. Chon have invented an Ultrasound Handpiece for which I have executed an application for United States Letters Patent; and

WHEREAS, **Alcon Universal Ltd.**, a Swiss corporation, having a place of business at Bosch 69, CH-6331, Hünenberg, Switzerland, desires to purchase the same.

NOW, THEREFORE, for good and valuable consideration paid by **Alcon Universal Ltd.**, the receipt and sufficiency of which are hereby acknowledged, I have sold, assigned, transferred and conveyed and by these presents do hereby sell, assign, transfer and convey unto **Alcon Universal Ltd.**, in and for the United States and its territories and for foreign countries, my entire right, title and interest in and to said application, in and to the invention therein set forth and in and to any patent which may issue on said application or any reissue, renewal, division, continuation, continuation-in-part and foreign counterparts thereof; and I hereby bind myself, my heirs, legal representatives, administrators and assigns properly to execute without further consideration, any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect the sale, assignment, transfer and conveyance hereby made or intended to be made.

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

JAMES Y. CHON

James Y. Chon

Date: 7-21-00

STATE OF CALIFORNIA )  
COUNTY OF ORANGE )

On this 21st day of July 2000, before me, a notary public, came James Y. Chon, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

Wendy Nelson  
Notary Public

(SEAL)

My Commission expires: 3/21/02

