

10-04-2000

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

FORM PTO-1595 (Modified)
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RECORDA

PA1



101478452

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yves Pierre Gobin
Scott M. Evans
Mike Jones
Wayne Noda

CONFIRANCE

8-1-00

Additional names(s) of conveying party(ies)

Yes No

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Address: 1111 Franklin Street, 12th Floor

City: Oakland

State/Prov.: CA

Country: USA

ZIP: 94607

Additional name(s) & address(es)

Yes No

3. Nature of conveyance:

Assignment

Merger

Security Agreement

Change of Name

Other

Execution Date: March 2, 1999 and April 15, 1998

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

09/503,014

2/11/2000

Additional numbers

Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arlyn L. Alonzo

Registration No. 44,502

Address: ALSIUS CORPORATION

15770 Laguna Canyon Road, Suite 150

City: Irvine

State/Prov.: CA

Country: U.S.A.

ZIP: 92618

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

501167

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10/03/2000 MTHA11 00000155 501167 09503014

06. FC: 501 40.00. 01

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Scott Evans

Scott Evans

7/27/00

Name of Person Signing

Signature

Date

7

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 011118 FRAME: 0576

WHEN RECORDED, RETURN TO:

Richard L. Myers
100 Pacifica, Suite 210
Irvine, California 92618

ASSIGNMENT

WHEREAS, we, YVES PIERRE GOBIN of the City of Los Angeles, State of California, SCOTT EVANS of the City of Santa Ana, State of California, MIKE JONES of the City of Capistrano Beach, California, and WAYNE NODA of the City of Mission Viejo, have invented certain new and useful improvements in for a INDWELLING HEAT EXCHANGE CATHETER AND METHOD OF USING SAME for which the said YVES PIERRE GOBIN has this ____ day of April, 1998, executed application papers for United States Letters Patent thereon, for which the said SCOTT EVANS has this 15 day of April, 1998, executed application papers for United States Letters Patent thereon; for which the said MIKE JONES has this 15 day of April, 1998, executed application papers for United States Letters Patent thereon; and for which the said WAYNE NODA has this ____ day of April, 1998, executed application papers for United States Letters Patent thereon; and

WHEREAS, NEUROPERFUSION, INC., a Corporation of the State of California, hereinafter called Assignee, having its place of business at 5 Jenner, Suite 150, Irvine, California 92618 is desirous of acquiring the entire right, title and interest in and to the aforesaid invention and in and to any and all Letters Patent therefor granted in the United States of America, and in any and all countries foreign thereto;

NOW, THEREFORE, TO WHOM IT MAY CONCERN, be it known that, for and in consideration of the sum of ONE DOLLAR (\$1.00) to us in hand paid by Assignee, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we, YVES PIERRE GOBIN, SCOTT EVANS, MIKE EVANS and WAYNE NODA by these presents sell, assign and transfer unto Assignee, its successors and assigns, the full and exclusive right, title and interest for the territory of the United States of America and all countries foreign thereto (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), in and to the said invention as described in said application, and in and to all Letters Patent granted therefor, and all divisions, reissues and continuations thereof, and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all Letters Patent on said inventions or resulting therefrom to said Assignee, as assignee of the entire right, title and interest, and we

covenant that we have full right so to do and agree that we will communicate to said Assignee, or its successors any and all facts known to us regarding said invention whenever requested, sign all lawful papers, and

generally do everything possible to aid said Assignee, its successors and assigns, to obtain and enforce proper patent protection for said invention in all countries.

Executed this ____ day of _____, 1998

YVES PIERRE GOBIN

STATE OF CALIFORNIA /
 /
COUNTY OF LOS ANGELES /

On _____, before me _____ personally appeared YVES PIERRE GOBIN, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

Witness my hand and official seal.

Signature _____

SEAL

Executed this 15 day of April, 1998.

Scott Evans
SCOTT EVANS

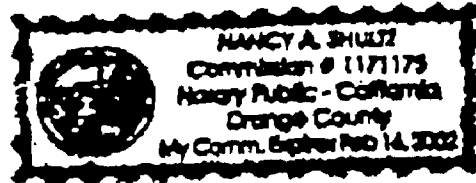
STATE OF CALIFORNIA /
 /
COUNTY OF ORANGE /

On 15TH, before me NANCY A SHULTZ personally appeared SCOTT EVANS personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(~~s~~) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

Witness my hand and official seal.

Signature Nancy A. Shultz

SEAL



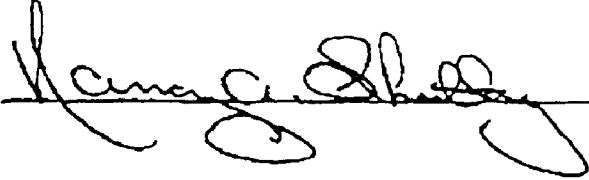
Executed this 15 day of April, 1998.


MIKE JONES

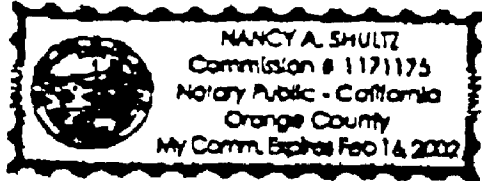
STATE OF CALIFORNIA /
 /
COUNTY OF ORANGE /

On 15TH, before me NANCY A SHULTZ personally appeared MIKE JONES personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(~~ies~~), and that by his/~~her~~/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

Witness my hand and official seal.

Signature 

SEAL



Executed this 11 day of MAY, 1998.

Wayne Noda

WAYNE NODA

STATE OF CALIFORNIA /

COUNTY OF ORANGE /

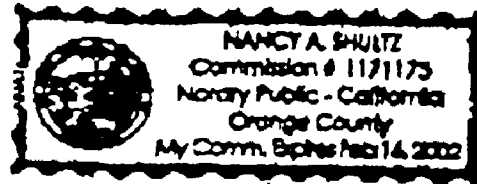
On 11TH, before me NANCY A SHULTZ personally appeared WAYNE NODA personally known to me (or ~~proved to me on the basis of satisfactory evidence~~) to be the person(s) whose name(x) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/~~they~~ executed the same in his/~~her~~/~~their~~ authorized capacity(~~ies~~), and that by his/~~her~~/~~their~~ signature(~~s~~) on the instrument the person(~~s~~) or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.

Witness my hand and official seal.

Signature Wayne Noda Nancy A. Shultz

SEAL

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ASSIGNMENT

U.C. Case No. 1999-501-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

I. Yves Pierre Gobin

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

INDWELLING HEAT EXCHANGE CATHETER AND METHOD OF USING SAME

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ Patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 09/063,984, filed April 21, 1998
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

2 day of March, 1999

Signature of Inventor(s)

