

8-7-00

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

RECORDATION FORM COVER  
PATENTS ONLY

10-04-2000



To the Honorable Commissioner of Patents and Trademarks: Please record this

1. Name of conveying party(ies):

Anthony J. TYE

2. Name and address

101478629

Name: BASF Corporation  
Internal Address: Patent Department  
Street Address: 26701 Telegraph Road  
Southfield, Michigan 48034-2442

Additional numbers attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other ☐

Execution Date: July 14, 2000

Additional numbers attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
Attorney's Docket No. IN-5423  
Application No.09/612,023

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of Party to whom  
correspondence concerning document  
should be mailed:

Name: Mary E. Golota  
Internal Address: BASF Corporation  
Street Address: 26701 Telegraph Road  
City: Southfield State: Michigan Zip: 48034-2442

5a. Name and address of Party to whom  
correspondence concerning document  
should be mailed:

Name: Anne Gerry Sabourin  
Internal Address: BASF Corporation  
Street Address: 26701 Telegraph Road  
City: Southfield State: Michigan Zip: 48034-2442

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):.....\$40.00

Enclosed  
Authorized to be charged to deposit account

8. Deposit account number: 23-3425

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Majorie Ellis

Signature

I hereby certify that the attached  
correspondence is being deposited with  
the United States Postal Service in an  
envelope as First Class Mail addressed to  
the Assistant Commissioner for Patents,  
Washington, D.C. 20231 on August 3,  
2000.

Date

-6-

Total number of pages comprising cover sheet:

10/02/2000 08:05:00 on Signature  
01 FC:581 40.00 CH

PATENT  
REEL: 011118 FRAME: 0837

**SECOND PAGE OF RECORDATION FORM COVER SHEET**  
**Patent only**

**Additional Name(s) of Conveying Party(ies)**

2. **Ali A. RIHAN**

3.

4.

5.

6.

7.

**ASSIGNMENT**

WHEREAS, I (WE), Anthony J. TYE and Ali A. RIHAN of 449 Canal Court, Waterville, Ohio 43566, United State of America; 6070 Atwell, Toledo, Ohio 43613, United State of America (declarant(s)) declare that I (WE) have invented certain improvements in: COATING COMPOSITIONS COMPRISING VOLATILE LINEAR SILOXANE FLUIDS hereinafter, the invention or inventions, described in U.S. Patent Application Serial No. 09/612,023 filed on July 7, 2000 and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Corporation, a corporation of the State of Delaware having a place of business at 3000 Continental Drive - North, Mount Olive, New Jersey 07828-1234 (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the

**Docket: IN-5423**

aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

Title: COATING COMPOSITIONS COMPRISING VOLATILE LINEAR  
SILOXANE FLUIDS

**Docket: IN-5423**

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

**Dated:**

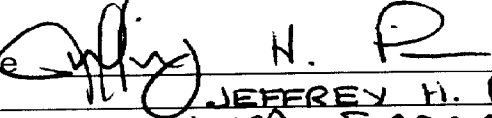
7/14/00

**Signed:**

  
**Typed Name:** Anthony J. TYE

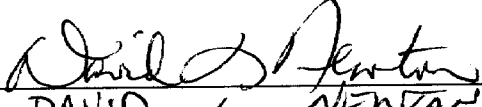
**Witnessed:**

Name

  
**JEFFREY H. PIERLE**  
Address 6509 SCARSDALE  
MAUMEE, OHIO

**Witnessed:**

Name

  
**DAVID L. NEWTON**  
Address 4140 PINE RIDGE RD.  
TOLEDO, OHIO 43615

Title: COATING COMPOSITIONS COMPRISING VOLATILE LINEAR  
SILOXANE FLUIDS

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The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 7/14/00

Signed: Ali A. Rihan  
Typed Name: Ali A. RIHAN

Witnessed:

Name Jeffrey H. Pierle  
Address 1509 SCARSDALE  
MAVMEE, OHIO

Witnessed:

Name David L. Newton  
Address 4940 PINE RIDGE RD.  
TOLEDO, OHIO 43615