

10-04-2000

Docket No. 13DV13561

FORM PTO 1595  
1-31-92

REC



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

med 8/21/00

101478820

JC777 U.S. PTO  
09/643012  
08/21/00

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
STEPHEN MICHAEL CARTER

2. Name and address of receiving party(ies):  
Name: General Electric Company

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Execution Date: Aug 15, 2000

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached?  Yes  No

09/043012

4. Application number(s) or patent number(s): 13DV13561

If this document is being filed together with a new application, the execution date of the application is:  
Aug 15, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company

Internal Address:

Attn: Andrew C. Hess

GE Aircraft Engines

Street Address: One Neumann Way, M/D H17

City: Cincinnati State: OH ZIP: 45215

Customer Number: 006111

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

07-0865

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

NATHAN D. HERKAMP  
Name of Person Signing

Nathan D. Herkamp  
Signature

8-16-00  
Date

Total number of pages including cover sheet, attachments and document 2

OMB No. 0651-0011 (exp 4/94)

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Mail documents to be recorded with required cover sheet information to:

**Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231**

9/97

10/05/2000 6TOM11 00000043 070865 09643012

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**PATENT**  
**REEL: 011120 FRAME: 0395**

**ASSIGNMENT**

Docket Number  
13DV13561

For good and valuable consideration, of which I acknowledge receipt, I (we)

STEPHEN MICHAEL CARTER

of READING, MASSACHUSETTS

of  
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sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

----METHOD AND APPARATUS FOR REDUCING ROTOR ASSEMBLY CIRCUMFERENTIAL RIM STRESS----

invented by me (us), as described in the application for United States patent

executed concurrently herewith, or  
 U.S. Serial No.: \_\_\_\_\_, filed \_\_\_\_\_

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

15 Aug 00  
Date

Stephen Michael Carter  
STEPHEN MICHAEL CARTER

State of New Hampshire SS:

County of Rollisborough

This 15<sup>th</sup> day of August, 2000, before me personally came the above-named STEPHEN MICHAEL CARTER to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Susan L. Fancher  
(Notary Public)  
Commission Expires:

**SUSAN L. FANCHER, Notary Public**  
My Commission Expires March 22, 2005