

10-04-2000

UNUS #99-0284-HC
CASE #J6618(C)

8.9.00

RECORDATION FORM

101478857

U.S. DEPARTMENT OF COMMERCE - PATENT

To the Honorable Commissioner of Patents: Please record the attached original documents or copy thereof.

1. NAME OF CONVEYING PARTY(IES):

Gerald NEWELL
Charles MONTGOMERY, JR.
Richard ABBOTT

2. NAME & ADDRESS OF RECEIVING PARTY(IES):

NAME: Unilever Home & Personal Care USA, Division of
Conopco, Inc.
STREET ADDRESS: 325 North Wells Street
CITY: Chicago STATE: Illinois ZIP: 60610

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES)

ATTACHED? YES [☐>] NO [☒x]

3. NATURE OF CONVEYANCE:

[☒x] ASSIGNMENT [☐>] SECURITY AGREEMENT [☐>] MERGER [☐>] CHANGE OF NAME [☐>] OTHER
EXECUTION DATE: 6/21/00, 6/21/00, 6/21/00

4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 09/566,114 FILED May 5, 2000

IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS: <>

A. PATENT APPLICATION NO.(S) 09/566,114

ADDITIONAL NUMBERS ATTACHED? [☐>] YES [☒x] NO

B. PATENT NO.(S) <>

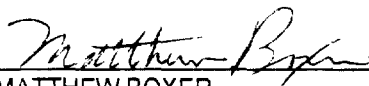
ADDITIONAL NUMBERS ATTACHED? [☐>] YES [☒x] NO5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE
MAILED: UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NJ 07020

6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1

7. TOTAL FEE (37 CFR 3.41): \$40.00 [☒x] AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT
[☒x] ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT
ACCOUNT 12-1155.

8. DEPOSIT ACCOUNT NUMBER: 12-1155

DO NOT USE THIS SPACE

9. STATEMENT AND SIGNATURE: TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING
INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT
MATTHEW BOXER
ATTORNEY FOR THE APPLICANT(S)
REGISTRATION NO. 28,495

DATE

8/3/00

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET, ATTACHMENTS AND DOCUMENT: 5

OMB NO. 0651-0011 (EXP. 4/94)

DO NOT DETACH THIS PORTION

MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO:
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING
TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS
REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C,
WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 2023110/03/2000 6TON11 00000071 121155 09566114
01 FC:581 40.00 CHPATENT
REEL: 011120 FRAME: 0543

ASSIGNMENT

WHEREAS, **Gerald Newell**, a citizen of The United States, residing at 1601 Crowfoot Circle North, Hoffman Estates, Illinois 60194, and **Charles Montgomery, Jr.**, a citizen of The United States, residing at 9106 S. Constance, Chicago, Illinois 60617, and **Richard Abbott**, a citizen of The United States, residing at 6720 Bradley Court, Downers Grove, Illinois 60516, respectively, invented certain improvements in

A LEAVE-IN FOAMING COMPOSITION FOR CONDITIONING, LIGHTENING AND HIGHLIGHTING HAIR

(bearing Attorney's Docket Number J6618(C),) which is found in:

[Check and complete (a), (b) or (c)]

(a) _____ U.S. patent application executed on _____

(b) X To comply with 37 CFR 3.21 for recordal of this assignment, I/ WE an ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 09/566,114 filed on May 5, 2000.

(c) _____ International Application No. PCT _____/_____.

AND WHEREAS, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, a corporation of New York, having a principal place of business at 325 North Wells, Chicago, Illinois 60610, desires to acquire the entire right, title and interest in the said application and invention, and improvements and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States and all foreign countries, and all rights of priority under the International Convention resulting from the filing of said application, and in and to any and all divisional, continuation, continuation-in-part, reissue, or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, and in and to any and all applications for patents describing said invention to countries foreign to the United States and in and to any and all patents which may be granted for said invention in said foreign countries, the

same to be held by and for the sole and exclusive use and benefit of the said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.** its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.** as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.** in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.** its successors and assigns, may be necessary in this or any foreign country fully to secure to said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.** its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 21 day
of JUN, 2000.

STATE OF Illinois)
COUNTY OF Cook)

Gerald I. Newell
Gerald Newell



On this 21 day of June, 2000 before me came Gerald Newell to
me known to be the individual described in and who executed the foregoing instrument, and
acknowledged that he/she executed the same.

Gerald M. Belehradek
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 21st day
of June, 2000.

STATE OF IL)
COUNTY OF COOK)

Charles R. Montgomery, Jr. (Seal)
Charles Montgomery, Jr.

"OFFICIAL SEAL"
MARTINE A. JONES
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 6/7/2004

On this 21 day of JUNE, 2000 before me came Charles
Montgomery, Jr. to me known to be the individual described in and who executed the foregoing
instrument, and acknowledged that he/she executed the same.

Martine A. Jones
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 21st day
of June, 2000.

STATE OF IL)
COUNTY OF COOK)

Richard Abbott (Seal)
Richard Abbott

"OFFICIAL SEAL"
MARTINE A. JONES
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 6/7/2004

On this 21 day of JUNE, 2000 before me came Richard Abbott to
me known to be the individual described in and who executed the foregoing instrument, and
acknowledged that he/she executed the same.

Martine A. Jones
Notary Public