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RECORDA

9-14-00

Joseph S. Presta Name of Person Signing Reg. No. 35,329

10-05-2000

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U.S. Department of Co atent and Trademark Office $9/5300_{A0}$

Our Ref.: 2710-4

Commissioner of Patents and Trademarks Box Assignment, Washington, D.C. 20231 To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Ladislav V. BELCSAK Thadeus H. NIEMIRA Babcock & Brown LP, a Delaware limited Dennis D. MORITZ Luke LEE partnership David J. COLLOP Stephen G. COHN Internal Address: Mark R. BEWSHER Street Address: 2 Harrison Street Additional name/s of conveying party/ies attached? City: San Francisco 3. Nature of conveyance: State/Country: California Merger Change of Name Zip: 94105 Security Assignment ☐ Other Additional name/s & address/es attached? ☐ Yes No 1), 2), 3) & 7) 8/29/00, 4), 5). & 6) Execution Date: 8/28/00 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No(s). A. Patent Application No(s). (1) 09/530,040 (1)(2)(2)(3)(3)Additional numbers attached Yes No 6. Total number of applications & patents involved: 5. Name and address of party to whom correspondence concerning document should be mailed: 40.00 7. Total fee (37 CFR 3.41) Joseph S. Presta Authorized to be charged to deposit account #14-1140 Internal Address: 8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which Street Address: Nixon & Vanderhye P.C. should have been filed herewith (or with any paper thereafter 1100 North Glebe Road filed in this application by this firm) to our Account No. 8th Floor 14-1140. 22201 State: VA Zip: City: Arlington 9/1**4**/2**00**0 ÁGIZ**AW - Ö000004**0 09530040 DO NOT USE THIS SPACE 40.60 11 9. Statements and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including original cover sheet, attachments, and document:

458172 PATENT

[3]

September 14, 2000

REEL: 011123 FRAME: 0083

ASSIGNMENT

WHEREAS, Ladislav V. BELCSAK, Luke LEE, David J. COLLOP, Mark R. BEWSHER, Thadeus H. NIEMIRA, Dennis D. MORITZ, and Stephen G. COHN, (hereinafter ASSIGNORS) of 1670 Green Street, San Francisco, California 94123; 574 Versailles Lane, Fairfield, California 94585; 1862 Drake Drive, Oakland, California 94611; 1810 Mountain View Drive, Tilburon, California 94920; 2490 Princeton Drive, San Bruno, California 94066; 28 Skyview Terrace, San Rafael, California 94903; and 29 La Fond Lane, Orinda, California 94563, respectively, have invented a certain improvement in AUTOMATED FINANCIAL SCENARIO MODELING AND ANALYSIS TOOL HAVING AN INTELLIGENT GRAPHICAL USER INTERFACE for which a soentitled U.S. national phase application based on PCT/US00/02776, filed 3 February 2000, for Letters Patent of the United States was filed in the United States Patent and Trademark Office on April 24, 2000 under Serial No. 09/530,040;[lsp1]

WHEREAS, Babcock & Brown LP, a Delaware limited partnership (hereinafter ASSIGNEE), having an office and place of business at 2 Harrison Street, San Francisco, California 94105 is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNORS by these presents hereby sell, assign, and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the full and exclusive right to the said invention in the United States and all foreign countries, as described in the aforesaid application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, for the sole use and behoof of ASSIGNEE, its successors, assigns, and legal representatives. It is understood and agreed that ASSIGNEE'S attorneys, Nixon & Vanderhye P.C., have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention.

And, ASSIGNORS hereby agree to transfer a like interest upon request of said ASSIGNEE, its successors, assigns, and legal representatives, and without further remuneration, in and to any improvements, and applications for patents based thereon, growing out of or related to the said invention; and to execute any papers by ASSIGNEE, its successors, assigns, and legal representatives, deemed essential to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

Agreeing, further, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE, for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE.

PATENT REEL: 011123 FRAME: 0084

AGREED and executed as noted below:	
8/29/00 Date	Lodister 1. Bles
Date	Ladislav V. BELCSAK
รี(24 (นธรณ Date	Je la Je
Date	Luke LLL
8/29/2000	David J. COLLOP
Date	David J. ČOLLOP
8/28/00	Mark B BEWSHER
8/28/00 Date	Mark R. BEWSHER
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Date	Thadeus H. NIEMIRA
δ'/2δ'/υς Date	
Date	Dennis D. MORITZ
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8/29/00	QA,
Date	Stephen G. COHN