

RECORDATION FOR  
**PATENT**

10-05-2000

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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101479897

To the Honorable Commissioner of Patents and Trademarks: Please receive

prints or copy thereof.

## 1. Name of conveying party(ies):

Robert Dwarkin  
Gregg R. Rapala

8-31-00

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

## 2. Name and address of receiving party(ies):

Name: STRATOS LIGHTWAVE, INC.

Internal Address: \_\_\_\_\_

Street Address: 7444 W. WILSON AVENUE

City: CHICAGO State: IL ZIP: 60706

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

09/652487

If this document is being filed together with a new application, the execution date of the application is: August 31, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DAVID L. NEWMAN

Internal Address: STRATOS LIGHTWAVE, INC.

PATENT DEPARTMENT

Street Address: 7444 W. WILSON AVENUE

City: CHICAGO State: IL ZIP: 60706

6. Total number of applications and patents involved: 

7. Total fee (37 CFR 3.41): \$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

50-1413

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

STEVEN M. EVANS

Name of Person Signing

Reg. No. 35,503

Signature

Aug. 31, 2000

Date

Total number of pages comprising cover sheet:

# **ASSIGNMENT**

**Case No:** 005OE012

**Serial No.:** Unknown

**Filing Date:** 8/31/00

**Inventors:** Robert M. Dwarkin  
Gregg R. Rapala

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to:

**Stratos Lightwave, Inc., a corporation of the state of Delaware,**

its successors and assign, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:  
and identified as:

## **OPTOELECTRONIC INTERCONNECT MODULE**

Case No. 005OE012

in the offices of Stratos Lightwave, Inc., 7444 West Wilson Avenue, Chicago, IL 60706 and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assign to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

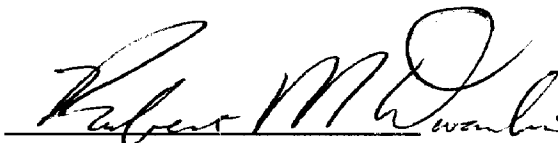
The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known.

The undersigned warrant themselves to be the owners of the entire right, title interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said consideration the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignees, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 11 day of August 2000.



  
Robert Dwarkin  
5904 N. Campbell #3  
Chicago, IL 60659

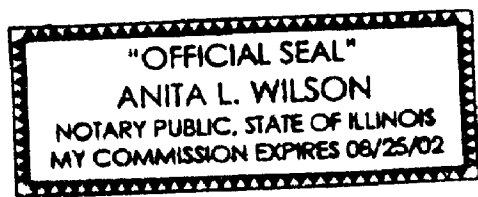
State of Illinois

County of Cook

The foregoing instrument was acknowledged before me this 11<sup>th</sup> day of August 2000.

  
NOTARY PUBLIC

WITNESS my hand and seal this 11 day of August 2000.



Gregg R. Rapala  
Gregg Rapala  
7 N. Wilshire Lane  
Arlington Heights, IL 60004

State of Illinois  
County of COOK

The foregoing instrument was acknowledged before me this 11<sup>th</sup> day of August 2000.

Anita L. Wilson  
NOTARY PUBLIC

Express Mail No. EL593589299 US

Date of Deposit Aug. 31, 2000

I hereby certify that this paper  
is being deposited with the United States  
Postal Service "Express Mail Post Office  
to Addressee" service, under 37 CFR § 1.10  
on the date indicated above and is  
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Commissioner for Patents,  
Washington, D.C. 20231.

Sandy Brown