

10-11-2000

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT



101483383

RECORDATION FORM COVER SHEET  
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New  
☐ Resubmission (Non-Recordation)  
Document ID#   
☐ Correction of PTO Error  
Reel #  Frame #   
☐ Corrective Document  
Reel #  Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
☐ Merger ☐ Other   
U.S. Government  
(For Use ONLY by U.S. Government Agencies)  
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached  
Name (line 1)  Timothy, E. Erik  
Name (line 2)   
Execution Date  
Month Day Year  
9 18 00

Second Party

Execution Date  
Month Day Year

Name (line 1)   
Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)  Caldwell Manufacturing Company  
Name (line 2)  a Corporation of New York  
Address (line 1)  2605 Manitou Road  
Address (line 2)   
Address (line 3)  Rochester  NY  14692  
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name   
Address (line 1)   
Address (line 2)   
Address (line 3)   
Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0851-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0851-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

REEL: 011133 FRAME: 0466

**Correspondent Name and Address**

Area Code and Telephone Number (716) 232-7700

Name Eugene S. Stephens

Address (line 1) Eugene Stephens & Associates

Address (line 2) 56 Windsor Street

Address (line 3) Rochester, New York 14605

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 1

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)


If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year  
9 18 00

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT PCT PCT  
PCT PCT PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐ No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Eugene S. Stephens

*E. S. Stephens*

SEP 21 2000

Name of Person Signing

Signature

Date

# ASSIGNMENT

For good and valuable consideration, the ASSIGNOR(S):

E. Erik Timothy, 418 High Field Drive, Macedon, New York, 14502,

hereby assign(s) to the ASSIGNEE:

Caldwell Manufacturing Company, 2605 Manitou Road, Rochester, New York, 14692, a New York corporation,

the full and exclusive right, title, and interest

to the invention disclosed in United States Patent Application entitled

## WIND-RESISTANT WINDOW SASH LOCK

executed the date of this Assignment and


to all Letters Patent or similar legal protections throughout the world resulting from the application or any substitute or related applications disclosing common subject matter.

ASSIGNOR(S) guarantee(s) that no assignment, license, or encumbrance has been or will be made that would conflict with this Assignment.

ASSIGNOR(S) agree(s) to cooperate fully in obtaining and enforcing the Letters Patent or similar protections, including communicating any relevant information, signing any lawful and relevant documents, and, at the request and expense of the ASSIGNEE, testifying in any legal proceedings.

  
E. Erik Timothy

9/18/00  
Date

  
Witness

9/19/00  
Date