

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

# RECORDATION FORM COVER SHEET PATENTS ONLY

HT-5424CIP and HT-5424CIP2

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Pikal, Michael

Additional Name(s) of conveying party(ies) attached?  
yes ☐ no ☒

2. Name and address of receiving party(ies):

Name: University of Connecticut

Internal Address: 263 Farmington Avenue  
Farmington, CT 06030-6206

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date(s): 06062000

Street Address: 263 Farmington Avenue  
Farmington, CT 06030-6206

Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09452752

09507011

B. Patent No.(s)

Additional numbers attached? ☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Janice Guthrie  
Internal Address: Baxter Healthcare Corporation  
P.O. Box 15210  
Irvine, California 92623-5210

Street Address: 17511 Armstrong Avenue  
Irvine, California 92614

6. Total number of applications and patents involved: [ 2 ]

7. Total fee (37 CFR 3.41)..... \$80.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number:

02-1437

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Janice Guthrie, Reg. No. 35,170  
Name of Person Signing

  
Signature

11/28/2000  
Date

Total number of pages including cover sheet, attachments and document: [ 2 ]

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

700003065

PATENT  
REEL: 011139 FRAME: 0729

Docket No.: HT5424CIP, HT5424CIP2  
 Application No.: 09/452752, 09/507011,  
 Filed: 02/22/00

# ASSIGNMENT (Joint Inventors)

In consideration of one dollar (\$1.00) and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, we hereby assign to University of Connecticut. (hereinafter referred to as "assignee"), having a principal place of business at Farmington, Connecticut its successors, legal representatives and assigns, the entire right, title and interest throughout the world in our invention or improvements in Novel Albumin-Free Factor VIII Formulations, the application for Letters Patent of the United States therefore, executed by each of us individually on the date(s) indicated below and any and all other United States applications and applications in any and all countries which we may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of the said applications, and in any reissue or extension thereof.

We hereby authorize and request the Commissioner of patents to issue said Letters Patent to said UNIVERSITY OF CONNECTICUT

We hereby authorize and request the attorneys of record in said application to insert in this assignment the date and serial number of said application when officially known.

We warrant ourselves to be the owners of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said considerations we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and renewal applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient, and for the said considerations we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Letters patent, and vest all rights therein hereby conveyed in the said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, and for the said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire rights, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

WITNESS our hand and seal

Date X 6/6/00 Signature X Michael Pikal

Typed Name: Michael Pikal

State of Connecticut, County of Souland

On 6/6/00 before me, Elaine Morgan

personally appeared Michael Pikal  
 personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Elaine Morgan (Seal)  
 Signature of Notary

ELAINE MORGANSON

NOTARY PUBLIC

MY COMMISSION EXPIRES 6/30/00

Date \_\_\_\_\_ Signature \_\_\_\_\_

Typed Name: Serguei Tchessalov

State of \_\_\_\_\_, County of \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_

personally appeared \_\_\_\_\_  
 personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

\_\_\_\_\_  
 Signature of Notary (Seal)