

10-11-2000

U.S. Department of Commerce
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PATENT



101484273

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- ☒ **New**
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- ☐ **Correction of PTO Error**
Reel # Frame #
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Conveyance Type

- ☒ **Assignment** ☐ **Security Agreement**
- ☐ **License** ☐ **Change of Name**
- ☐ **Merger** ☐ **Other**
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- Execution Date
Month Day Year
- Name (line 1) 08/15/2000
- Name (line 2)

Second Party

- Execution Date
Month Day Year
- Name (line 1) 08/28/2000
- Name (line 2)

Receiving Party

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- Address (line 1)
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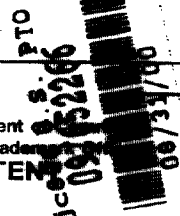
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PATENT
REEL: 011142 FRAME: 0791

RECORDATION FEE COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department
Patent and Trademark Office

PATENT



Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Timothy G. Van den Avond

Execution Date
Month Day Year

08/14/2000

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Fredric A. Woldow

08/18/2000

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

Correspondent Name and Address

Area Code and Telephone Number (309) 675-5124

Name Calvin E. Glastetter

Address (line 1) Caterpillar Inc.

Address (line 2) Intellectual Property Department

Address (line 3) 100 N. E. Adams Street

Address (line 4) Peoria, IL 61629-6490

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

3

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
08/15/2000

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

03-1129

Docket No. 98-132

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Calvin E. Glastetter
Name of Person Signing

Calvin E. Glastetter
Signature

08/31/2000
Date

ASSIGNMENT

WHEREAS, Peter W. Anderton, Dennis C. Pond, Timothy G. Van den Avond, and Fredric A. Woldow, residing respectively at Peoria, Illinois; Saltburn By The Sea, England; Dunlap, Illinois; and Morton, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

METHOD OF HARDENING A BUSHING OF A TRACK CHAIN

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on 8/15/2000, 8/28/2000, 8/14/2000, and 8/18/2000; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

Peter W. Anderton
PETER W. ANDERTON

8/15/2000
Date

IN WITNESS OF the execution hereof by PETER W. ANDERTON we have hereunto set our hands.

Gregory P. Holmes 8/15/00
Witness (1) Date
Gregory P. Holmes
Address: 269 S. MARKET ST
WASHINGTON, IL. 61571

Michael A. Winters 8/15/2000
Witness (2) Date
713 Bayside Drive
Metumora, IL 61548

Dennis C. Pond
DENNIS C. POND

AUG 28, 2000
Date

IN WITNESS OF the execution hereof by DENNIS C. POND we have hereunto set our hands.

ES Muhlestein 8/28/00
Witness (1) Date
807 Hoguewood Dr
Washington, IL 61571

Christopher Buland 8/28/00
Witness (2) Date
55 St Marks Circle
Morton, IL 61550.

Timothy G. Van Den Avond
TIMOTHY G. VAN DEN AVOND

August 14, 2000
Date

IN WITNESS OF the execution hereof by TIMOTHY G. VAN DEN AVOND we have hereunto set our hands.

Scott O'Connell 8/14/00
Witness (1) Date
3722 S. WALNUT PT.
MAPLETON IL 61547

Danny L. Perry 8/14/00
Witness (2) Date
322 Kaskaskia
Marguette Heights, IL
61554

Fredric A. Woldow
FREDRIC A. WOLDOW

8-18-00
Date

IN WITNESS OF the execution hereof by FREDRIC A. WOLDOW we have hereunto set our hands.

Michael J. [Signature] 8/18/00
Witness (1) Date

[Signature] 8/18/00
Witness (2) Date

Address: 603 Locust St.
Henry, IL 61537

Address: 1029 Somerset St.
Morton, IL 61550