

10-13-2000

U.S. DEPARTMENT OF COMMERCE



SHEET

Patent and Trademark Office  
Docket No. 273012008010

SEP 18 2000

101487102

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Quadra Logic Technologies Inc.

- ☐ Individual(s)      ☐ Association  
☐ General Partnership      ☐ Limited Partnership  
☒ Corporation-State      ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment      ☐ Merger  
☐ Security Agreement      ☒ Change of Name  
☐ Other:

Execution Date: 5 June 2000

2. Name and address of receiving party(ies):

Name: QLT PhotoTherapeutics Inc.  
 Internal Address:  
 Street Address: 520 West 6th Avenue  
 City and State: Vancouver, B.C. V5Z 4H5 CANADA

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 08/075,227, now US Pat 5,438,071

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kawai Lau  
 Morrison & Foerster LLP  
 12636 High Bluff Drive, Suite 300  
 San Diego, CA 92130

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account, referencing Attorney Docket 273012008010

8. Deposit account number: 03-1952The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Kawai Lau  
 Registration No: 44.461

Signature

Date

Total number of pages comprising cover sheet, attachments and document: 2

10/12/2000 MTHA11 00000355 5438071

01 FEB 2001

40.00 DP

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office  
 Office of Public Records  
 Box Assignments  
 Crystal Gateway 4, Room 335  
 Washington, D.C. 20231

sd-13609

**PATENT**  
**REEL: 011143 FRAME: 0642**

NUMBER: 225894



CERTIFIED A TRUE COPY THIS 21<sup>st</sup> DAY  
OF September r 1999  
*[Signature]*  
Solicitor R. Hector MacKay-Dunn

**CERTIFICATE  
OF  
CHANGE OF NAME**  
*COMPANY ACT*

CANADA  
PROVINCE OF BRITISH COLUMBIA

*I Hereby Certify that*  
QUADRA LOGIC TECHNOLOGIES INC.

has this day changed its name to  
QLT PHOTOTHERAPEUTICS INC.

*Issued under my hand at Victoria, British Columbia  
on June 05, 1995*

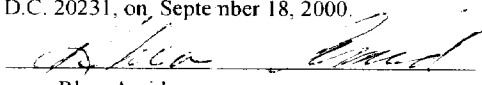
  
*[Signature]*

JOHN S. POWELL  
*Registrar of Companies*

PATENT  
REEL: 011143 FRAME: 0643

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on September 18, 2000.

  
Rhea Amid

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the patent of:

Steven L. CLAUSS et al.

Patent No.: 5,438,071

Issue Date: August 1, 1995

For: STABLE PORFIMER SODIUM  
COMPOSITIONS AND METHODS FOR  
THEIR MANUFACTURE

**COMMUNICATION CONCERNING NAME CHANGE**

Office of Public Records  
**Box Assignments**  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith are two Recordation Form cover sheets and copies of Certificates of name change by the assignee of record, Quadra Logic Technologies Inc. (copy of recorded assignment document attached), indicating its name having been changed to QLT

Phototherapeutics Inc. as of June 5, 1995 and then changed to QLT INC. as of May 5, 2000.

Recordation is respectfully requested.

Two fees of \$40.00 each for recordation of each name change are enclosed.

sd-13608

In the event that the Patent Office determines that an additional fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952, referencing 273012008010. However, the Assistant Commissioner is not authorized to charge any maintenance fee to the Deposit Account.

Respectfully submitted,

Dated: September 18, 2000

By:



Kawai Lau  
Registration No. 44,461

Morrison & Foerster LLP  
12636 High Bluff Drive Suite 300  
San Diego, CA 92130  
Telephone: (858) 720-5178  
Facsimile: (858) 720-5125

31653 ✓

FORM PTO-1505  
1/3/92



RECORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
AMERICAN CYANAMID COMPANY  
1937 West Main  
P. O. Box 60  
Stamford, CT 06904-0060

Additional name(s) of conveying party(ies) attached [ ] Yes [X] No

3. Nature of conveyance:

[X] Assignment  
[ ] Other

Execution Date: November 3, 1992

2. Name and address of receiving party(ies):

QUADRA LOGIC TECHNOLOGIES INC.  
520 6th Avenue - 5th Floor  
Vancouver, British Columbia  
Canada, V5Z 4H5

Tel. No. (203) 321-2800  
Fax No. (203) 321-2971

Additional name(s) & address(es) attached [ ] Yes [X] No

4. Application numbers(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

07/873,860

Additional numbers attached? [ ] Yes [X] No

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kenneth J. Dow  
(Attorney)

AMERICAN CYANAMID COMPANY  
1937 West Main Street  
P.O. Box 60  
Stamford, CT 06904-0060

6. Total number of applications and patents involved: [ 1 ]

7. Total fee (37 CFR 3.41)..... \$40.00

[ ] Enclosed

[X] Authorized to be charged to deposit account

8. Deposit account number:

5017214 11/24/92 07873860

01-1300 130 581 0140300CH

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kenneth J. Dow

Name of Person Signing

*Kenneth J. Dow*  
Signature

91650167  
11/19/92  
Date

Total number of pages comprising cover sheet [ 3 ]

OMB No. 0651-0011 (exp. 4/94)

PATENT

For: [ X ] U.S. and/or [ X ] Foreign Rights

For: [ X ] U.S. Application or [ ] U.S. Patent

For: [ ] Inventor(s) or [ X ] Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR(s) of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR(s):

American Cyanamid Company1937 West Main StreetP.O. Box 60Stamford, CT 06904-0060Recorded on July 13, 1992Reel 6188Frame 0071

hereby sells, assigns and transfers to

ASSIGNEE(s):

Quadra Logic Technologies Inc.520 West 6th AvenueVancouver, B.C. Canada V5Z 4H5Canada

and the successors, assigns and legal representatives of the  
ASSIGNEE

[ X ] the entire right, title and interest

[ ] an undivided \_\_\_\_\_ percent ( \_\_\_\_ %) interest for the  
United States and its territorial possessions

[ X ] and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

STABLE PORFIMER SODIUM COMPOSITIONS AND METHODS FOR THEIR MANUFACTURE

(a) [ ] U.S. patent application executed on even date herewith

(b) [ ] U.S. patent application executed on \_\_\_\_\_

(c) [ X ] U.S. application Serial No. 07/873,860 filed on April 27, 1992 \_\_\_\_\_.

(d) [ ] U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
(also check (e) if foreign application(s) is also being assigned)

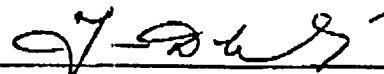
(e) [ X ] and any legal equivalent thereof in a foreign country, including the right to claim priority and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR(s) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(s) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Docket No. 31,653-00

IN WITNESS WHEREOF, I/We have hereunto set my hand and seal  
this 3<sup>rd</sup> day of November, 1992

  
\_\_\_\_\_  
Signature of ASSIGNOR(s)  
American Cyanamid Company  
1937 West Main Street  
P. O. Box 60  
Stamford, Ct 06904-0060

If ASSIGNOR is a legal entity complete the following information

Dr. Jan Dlouhy  
\_\_\_\_\_  
Type or print the name of the  
above person authorized to sign  
on behalf of ASSIGNOR

Vice President, Licensing and Acquisitions  
Title

6348 0861 1980





1,653-00

UNITED STATES PATENT AND TRADEMARK OFFICE

[REV. 4Apr89/MB16-3]

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

State of New Jersey

Jan Dlouhy  
*[Signature]*

Before me this 3rd day of November 19 92 personally appeared the above named individual(s), to me known to be the person(s) who ☒ is ☐ are described in and who executed the foregoing assignment instrument and acknowledged to me that

<input checked="" type="checkbox"/> He	<input checked="" type="checkbox"/> His
<input type="checkbox"/> She executed the same of	<input type="checkbox"/> Her
<input type="checkbox"/> They	<input type="checkbox"/> Their

own free will for the purpose therein expressed.

RECORDED  
PATENT AND TRADEMARK  
OFFICE  
NOV 16 1992

*Mary Ann Pappa*  
Notary Public of Consular Officer  
of the United States of America  
MARY ANN PAPPA  
A Notary Public of New Jersey  
My Commission Expires May 29, 1996

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