

10-13-2000

Form PTO-1595 (Modified)



101486389

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

SHEET

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael S. German
Ira D. Goldfine
Stephen S. RothmanAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date(s): July 13, 2000 and August 17, 2000

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Internal Address:

Street Address: 1111 Franklin Street

City: Oakland State: California ZIP: 94607-5200

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/550,302, filed April 14, 2000

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/550,302

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Carol L. Francis
Street Address: BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025
Telephone: (650) 327-3400
Facsimile: (650) 327-323110/12/2000 DBYRME 00000102 500815 09550302
01 FC:581 40.00 CH6. Total number of applications and patents involved: 01

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account 50-0815.

8. Deposit Account No. 50-0815

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 50-0815 with regards to this correspondence.

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Carol L. Francis
Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet 05

ASSIGNMENT OF APPLICATION

Address to:

Box Assignment

Assistant Commissioner for Patents

Washington, D.C. 20231

Attorney Docket

UCSF-038CIP

First Named Inventor

German, et al.

Application Number

09/550,302

Filing Date

April 14, 2000

Group Art Unit

N/A

Examiner Name

N/A

Title: Delivery of Polypeptides by Secretory Gland Expression

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Michael S. German

2. Ira D. Goldfine

3. Stephen S. Rothman

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

Delivery of Polypeptides by Secretory Gland Expression

and which is found in

(c) U.S. Application Serial No. 09/550,302, filed April 14, 2000

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC III (a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant that no assignment sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

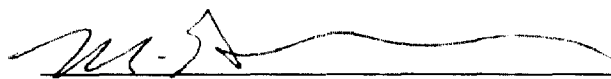
ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

3 day of July, 2000



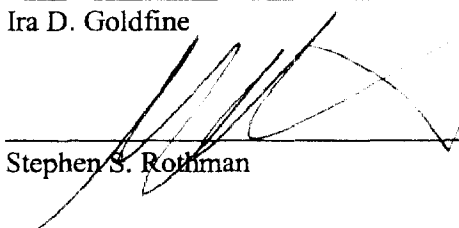
Michael S. German

____ day of _____, 2000

Ira D. Goldfine

13 day of July, 2000

Stephen S. Rothman



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Address to:

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Assistant Commissioner for Patents

Washington, D.C. 20231

Attorney Docket

UCSF-038CIP

First Named Inventor

German, et al.

Application Number

09/550,302

Filing Date

April 14, 2000

Group Art Unit

N/A

Examiner Name

N/A

Title: Delivery of Polypeptides by Secretary Gland Expression

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Michael S. German

2. Ira D. Goldfine

3. Stephen S. Rothman

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

Delivery of Polypeptides by Secretary Gland Expression

and which is found in

(c) U.S. Application Serial No. 09/550,302, filed April 14, 2000

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC III (a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant that no assignment sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

____ day of _____, 2000

17 day of August, 2000

____ day of _____, 2000

Michael S. German



Ira D. Goldfine

Stephen S. Rothman

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