

10-16-2000



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Dkt. No. 207-014D

**RECORDATION FORM COVER SHEET
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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

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☒ New

☐ Resubmission (Non-Recordation)
Document ID#

☐ Correction of PTO Error

Reel # Frame #

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Conveyance Type

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☐ Other

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☐ Departmental File

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☒ Mark if additional names of conveying parties attached

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Second Party

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Execution Date
Month Day Year

Name (line 2)

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Name (line 1)

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PATENT
REEL: 011146 FRAME: 0005

PATENT

Correspondent Name and Address

Area Code and Telephone Number (859) 223-4050

Name Michael S. Hargis, Esquire

Address (line 1) King and Schickli, PLLC

Address (line 2) Corporate Gateway, Suite 210

Address (line 3) 3070 Harrodsburg Road

Address (line 4) Lexington, Kentucky 40503

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

4

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

29 122,433

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Enclosed ☐

Deposit Account ☒

Deposit Account Number:

11-0978

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Michael S. Hargis

Name of Person Signing

Signature

Date

9/5/00

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

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Name (line 1) Timothy R. Manson

Execution Date
Month Day Year

14 MAR 2000

Name (line 2)

Execution Date
Month Day Year

14 MAR 2000

Name (line 1) Peter W. Hill

Name (line 2)

Execution Date
Month Day Year

14 MAR 2000

Name (line 1) Bryan (NMI) Wall

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

WHEREAS, WE, Stephen P. Hughes, of Surrey, Great Britain, James B. Leddy, of London, United Kingdom, Timothy R. Manson, of London, United Kingdom, Peter W. Hill, of London, United Kingdom, and Bryan NMI Wall, London, United Kingdom, have made a certain new and useful invention as set forth in an application for United States Letters Patent executed by us the 12 day of March, 2000, entitled BREATH ALCOHOL TEST OR MEASUREMENT DEVICE.

AND WHEREAS, LION LABORATORIES LIMITED, a British company, of the United Kingdom, and having a place of business at Ty Verlon Industrial Estate, Barry, Vale of Glamorgan CF63 2BE Great Britain, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, we do hereby sell, assign, transfer and set over unto LION LABORATORIES LIMITED, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, we hereby agree with the said assignee that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we will, at any time upon request, without further or additional consideration but at the expense of the said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of assignor and assignee;

WE hereby authorize and request our attorneys King and Schickli of 3070 Harrodsburg Road, Suite 210, Lexington, Kentucky 40503 to insert here in parenthesis (Application Serial No. _____).

filed _____) the serial number and filing date of this application when known.

AND we request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said Assignee, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.


STEPHEN P. HUGHES


STATE OF _____)
COUNTY OF _____) SS:

On this _____ day of _____, 2000, before me personally appeared STEPHEN P. HUGHES, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

My commission expires: _____.

SEAL

NOTARY PUBLIC


JAMES B. LEDDY


STATE OF _____)
COUNTY OF _____) SS:

On this _____ day of _____, 2000, before me personally appeared JAMES B. LEDDY, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

My commission expires: _____.

SEAL

NOTARY PUBLIC

x  TIMOTHY R. MANSON

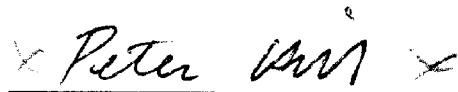
STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, 2000, before me personally appeared
TIMOTHY R. MANSON, to me known to be the person named in and who executed the above instrument, and
acknowledged to me that he executed the same for the uses and purposes therein set forth.

My commission expires: _____.

S E A L

NOTARY PUBLIC

x  x
PETER W. HILL

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, 2000, before me personally appeared
PETER W. HILL, to me known to be the person named in and who executed the above instrument, and acknowledged
to me that he executed the same for the uses and purposes therein set forth.

My commission expires: _____.

S E A L

NOTARY PUBLIC


BRYAN (NMI) WALL

STATE OF _____)
COUNTY OF _____) SS:

On this _____ day of _____, 2000, before me personally appeared
BRYAN (NMI) WALL, to me known to be the person named in and who executed the above instrument, and
acknowledged to me that he executed the same for the uses and purposes therein set forth.

My commission expires: _____.

SEAL

NOTARY PUBLIC