

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027



10-16-2000



101488196

U.S. Department of Commerce
Patent and Trademark Office
PATENT

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FORM PTO-1619B
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Page 2

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Correspondent Name and Address

Area Code and Telephone Number (309) 675-5243

Name Diana L. Charlton

Address (line 1) Caterpillar Inc.

Address (line 2) Intellectual Property Department

Address (line 3) 100 N. E. Adams Street

Address (line 4) Peoria, IL 61629-6490

Pages Enter the total number of pages of the attached conveyance document including any attachments. # 1

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/448,928

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT PCT PCT

PCT PCT PCT

Number of Properties

Enter the total number of properties involved. # 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

03-1129

Docket No. 97-507.4

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Diana L. Charlton

Name of Person Signing

Diana L. Charlton

Signature

09/05/2000

Date

ASSIGNMENT

WHEREAS, CRAIG D. WILLIAMS, residing at Leicester, United Kingdom, hereinafter referred to as ASSIGNOR, has invented a new and useful

QUICK COUPLER ASSEMBLY

for which ASSIGNOR has made application for Letters Patent in the United States of America, such invention being described and identified by said application identified as Application No. 09/448,928, filed November 24, 1999; and

WHEREAS, CATERPILLAR S.A.R.L., a corporation duly organized and existing under the laws of Switzerland, domiciled at c/o Caterpillar Overseas S. A., 76, Route de Frontenex, 1211 Geneva, Switzerland, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR S.A.R.L.

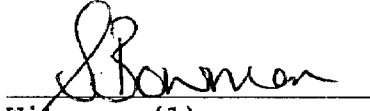
4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR's heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

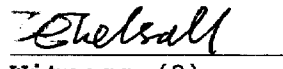

CRAIG D. WILLIAMS

12 Aug 2000
Date

IN WITNESS OF the execution hereof by CRAIG D. WILLIAMS we have hereunto set our hands.

 12/8/00
Witness (1) (Date)

Address: 66 Hytton Rd
Clarendon Park
Leicester LE21WJ

 12/8/00
Witness (2) (Date)

Address: 162 Avenue Rd Ext
Clarendon Park
Leicester LE2 3EJ