

10-18-2000

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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9.19.00



101490558

Original documents or copy thereof.

To the Honorable Commissioner of Patents and

1. Name of conveying party(ies):

The Aqualon Company

2. Name and address of receiving party(ies):

Name: Green Tree Chemical Technologies, Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Street Address: 105 Park Avenue

Seaford Industrial Park

City: Seaford State: DE ZIP: 19973

Execution Date: June 16, 2000

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

5143970

5254161

Additional numbers attached?

☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christopher A. Rossi, Esquire

Internal Address: _____

Street Address: 1235 Westlakes Drive, Suite 400

City: Berwyn State: PA ZIP: 19213-2401

6. Total number of applications and patents involved: 4

7. Total fee (37 CFR 3.41) \$ 160.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0436

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Mindy Ellis Schwartz

Name of Person Signing

Signature

Date

12

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO--1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 011149 FRAME: 0465

Attachment to Form PTO - 1595
Recordation Form Cover Sheet

Additional Application and/or Patent Numbers

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-- 5144020

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-- 5830266

Assignor hereby agrees with Assignee that it will, at any time upon request, without further or additional consideration but at the expense of Assignee, execute such additional assignments and other writings and do such additional acts as Assignee may deem necessary or desirable to perfect Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended letters patent of the United States or of any and all foreign countries on the inventions set forth in the Patents or the Patent Applications, and in enforcing any rights or chooses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits.

Assignor hereby authorizes and requests the United States Commissioner of Patents and Trademarks and the diverse registrars, commissioners and other official authorities charged with registration and issuance of patents in the various jurisdictions affected to record Assignee as the owner of the Patents and the Patent Applications and to issue to Assignee, in lieu of Assignor, in accordance with this instrument, all future letters patent, notices and any other communications and documents bearing on the Patents or on patents based on the Patent Applications.

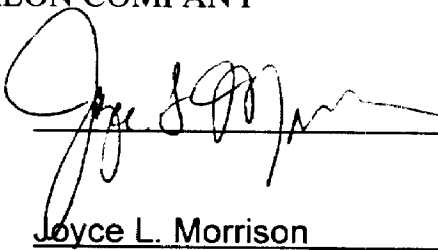
This instrument shall insure to the benefit of Assignee and its successors and assigns and shall be binding upon Assignor and its successors and assigns.

[Signature Page Follows]

IN WITNESS WHEREOF, Assignor and Assignee have duly executed this Assignment on the date first above written.

THE AQUALON COMPANY

By:



Print
Name

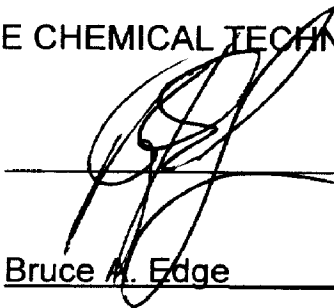
Joyce L. Morrison

Title:

Chief Counsel, Intellectual Property

GREEN TREE CHEMICAL TECHNOLOGIES, INC.

By:



Print
Name:

Bruce A. Edge

Title:

President and CEO

SCHEDULE A

The Aqualon Company Patents and Patent Applications

	Title	Ctry	App No	App Date	Pat No	Grant Dt	Exp Dt
AHMED(SM) 6 (5)	EMULSION POLYMERIZATION PROCESS FOR MAKING WATERBORNE NITROCELLULOSE- ACRYLIC EMULSIONS USEFUL FOR WOOD FINISHING LACQUERS OF LOW VOLATILE ORGANIC CONTENT	US	709659	03JE1991	5143970	01SE1992	07JA2011
DEVIDO(JP), LINSAY(EC) & ZAVISZA(DM) 1	NITROCELLULOSE COMPOSITION OF REDUCED YELLOWING ON CONTAINING BORAX (OR BORIC ACID OR HYDROGEN PEROXIDE) AND/OR CHLORINATED HYDANTOIN DERIVATIVE	US	781839	24OC1991	5254161	19OC1993	24OC2011
FUNG(KF) 1	MAKING SPHEROIDAL NITROCELLULOSE FROM WATER-WET FIBROUS NC BY STEPS OF PARTIAL DRYING, TREATING WITH SOLVENT/COSOLVENT AND SUBJECTING TO BILATERAL AGITATION	AU	92016338	15MY1992	653224	31JA1995	15MY2012
FUNG(KF) 1	MAKING SPHEROIDAL NITROCELLULOSE FROM WATER-WET FIBROUS NC BY STEPS OF PARTIAL DRYING, TREATING WITH SOLVENT/COSOLVENT AND SUBJECTING TO BILATERAL AGITATION	CA	2068831	15MY1992			
FUNG(KF) 1	MAKING SPHEROIDAL NITROCELLULOSE FROM WATER-WET FIBROUS NC BY STEPS OF PARTIAL DRYING, TREATING WITH SOLVENT/COSOLVENT AND SUBJECTING TO BILATERAL AGITATION	DE	921080990	13MY1992	692208496	16JL1997	13MY2012
FUNG(KF) 1	MAKING SPHEROIDAL NITROCELLULOSE FROM WATER-WET FIBROUS NC BY STEPS OF PARTIAL DRYING, TREATING WITH SOLVENT/COSOLVENT AND SUBJECTING TO BILATERAL AGITATION	EP	92108099	13MY1992	0513771	16JL1997	13MY2012
FUNG(KF) 1	MAKING SPHEROIDAL NITROCELLULOSE FROM WATER-WET FIBROUS NC BY STEPS OF PARTIAL DRYING, TREATING WITH SOLVENT/COSOLVENT AND SUBJECTING TO BILATERAL AGITATION	FR	92108099	13MY1992	0513771	16JL1997	13MY2012
FUNG(KF) 1	MAKING SPHEROIDAL NITROCELLULOSE FROM WATER-WET FIBROUS NC BY STEPS OF PARTIAL DRYING, TREATING WITH SOLVENT/COSOLVENT AND SUBJECTING TO BILATERAL AGITATION	GB	921080990	13MY1992	0513771	16JL1997	13MY2012

PATENT

REEL: 011149 FRAME: 0470

The Aqualon Company Patents and Patent Applications

	Title	Ctry	App No	App Date	Pat No	Grant Dt	Exp Dt
FUNG(KF) 1	MAKING SPHEROIDAL NITROCELLULOSE FROM WATER-WET FIBROUS NC BY STEPS OF PARTIAL DRYING, TREATING WITH SOLVENT/COSOLVENT AND SUBJECTING TO BILATERAL AGITATION	JP	92168169	18MY1992			
FUNG(KF) 1	MAKING SPHEROIDAL NITROCELLULOSE FROM WATER-WET FIBROUS NC BY STEPS OF PARTIAL DRYING, TREATING WITH SOLVENT/COSOLVENT AND SUBJECTING TO BILATERAL AGITATION	KR	92008312	16MY1992	0220127	18JE1999	16MY2012
FUNG(KF) 1	MAKING SPHEROIDAL NITROCELLULOSE FROM WATER-WET FIBROUS NC BY STEPS OF PARTIAL DRYING, TREATING WITH SOLVENT/COSOLVENT AND SUBJECTING TO BILATERAL AGITATION	MX	92002315	15MY1992	182358	12AU1996	15MY2012
FUNG(KF) 1	MAKING SPHEROIDAL NITROCELLULOSE FROM WATER-WET FIBROUS NC BY STEPS OF PARTIAL DRYING, TREATING WITH SOLVENT/COSOLVENT AND SUBJECTING TO BILATERAL AGITATION	NL	921080990	13MY1992	0513771	16JL1997	13MY2012
FUNG(KF) 1	MAKING SPHEROIDAL NITROCELLULOSE FROM WATER-WET FIBROUS NC BY STEPS OF PARTIAL DRYING, TREATING WITH SOLVENT/COSOLVENT AND SUBJECTING TO BILATERAL AGITATION	TW	81104170	28MY1992	68179	15FE1995	28MY2012
FUNG(KF) 1	MAKING SPHEROIDAL NITROCELLULOSE FROM WATER-WET FIBROUS NC BY STEPS OF PARTIAL DRYING, TREATING WITH SOLVENT/COSOLVENT AND SUBJECTING TO BILATERAL AGITATION	US	701755	17MY1991	5144020	01SE1992	17MY2011
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	US	07/811336	20DE1991	5830266	03NO1998	03NO2015
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	ZA	92009936	21DE1992	92009936	24NO1993	21DE2012

The Aqualon Company Patents and Patent Applications

	Title	Ctry	App No	App Date	Pat No	Grant Dt	Exp Dt
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	AU	92030278	18DE1992	662190	09JA1996	18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	BE	921215810	18DE1992	0548837	20NO1996	18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	CA	20855762	16DE1992			18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	CH	921215810	18DE1992	0548837	20NO1996	18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	DE	921215810	18DE1992	0548837	20NO1996	18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	EP	921215810	18de1992	0548837	20NO1996	18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	FR	921215810	18DE1992	0548837	20NO1996	18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	GB	921215810	18DE1992	0548837	20NO1996	18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	IT	921215810	18DE1992	0548837	20NO1996	18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	JP	92338531	18DE1992			18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	SLOW BURNING, ALCOHOL-FREE NITROCELLULOSE COMPOSITION CONTAINING NONSOLVATING PLASTICIZER	KR	92007477	19DE1992	242898	15NO1999	19DE2012

The Aqualon Company Patents and Patent Applications

Title	Ctry	App No	App Date	Pat No	Grant Dt	Exp Dt
KHANSHAB(AA) & ZACHER(WR) 1	MX	92007477	21DE1992	186760	30OC1999	21DE2012
KHANSHAB(AA) & ZACHER(WR) 1	NL	921215810	18DE1992	0548837	20NO1996	18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	SE	921215810	18DE1992	921215810	20NO1996	18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	TW	81110174	18DE1992	65843	13AU1994	18DE2012
KHANSHAB(AA) & ZACHER(WR) 1	US	07/811336	20DE1991	5830266	03NO1998	03NO2015
KHANSHAB(AA) & ZACHER(WR) 1	ZA	92009936	21DE1992	92009936	24NO1993	21DE2012

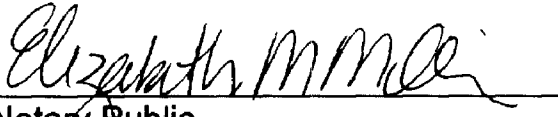
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STATE OF DELAWARE)
)
NEW CASTLE COUNTY) SS.

On this 16 day of June, 2000, before me personally appeared Joyce L. Morrison, to me known to be the Chief Counsel, Intellectual Property, of The Aqualon Company and who executed the above instrument, and acknowledged to me that she executed the same for the uses and purposes therein set forth.

S E A L


Notary Public

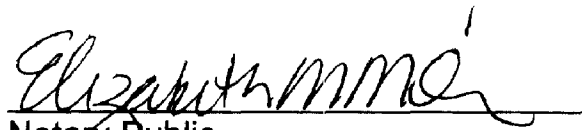
My commission expires:

ELIZABETH M. MILLER
NOTARY PUBLIC - DELAWARE
My Commission Expires Oct. 31, 2001

STATE OF DELAWARE)
) SS.
NEW CASTLE COUNTY)

On this 16 day of June, 2000, before me personally appeared Bruce A. Edge, to me known to be the President and CEO of Green Tree Technologies, Inc., and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

S E A L


Notary Public

My commission expires:

ELIZABETH M. MILLER
NOTARY PUBLIC - DELAWARE
My Commission Expires Oct. 31, 2001