

101490642

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Charlotte Houck**  
**Mary MacDonald, executrix of the estate**  
**of John C. Houck**

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):

Name: **Mark C. Klein**

Address: **29 Taylor Street, No. 1**

3. Nature of conveyance:

Assignment  Merger

Security Agreement  Change of Name

Other

Execution Date: **May 26, 2000 and June 2, 2000**

City: **Portland** State/Prov.: **ME**

Country: **USA** ZIP: **04102**

Additional name(s) & address(es)  Yes  No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.	Filing date	B. Patent No.(s)
		<b>5,830,739</b>

Additional numbers  Yes  No

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:  
**04-1105**

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **George W. Neuner**

Registration No. **26,964**

Address: **130 Water Street**

City: **Boston** State/Prov.: **MA**

Country: **USA** ZIP: **02109**

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**George W. Neuner**  
Name of Person Signing

Signature

**September 26, 2000**

Date

**7**

Total number of pages including cover sheet, attachments, and

6-9-00

Docket No.: 1405/45112

07-18-2000

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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Country: **USA** ZIP: **02109**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
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8. Deposit account number:

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9. Statement and signature.

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**George W. Neuner**  
Name of Person Signing

*George W. Neuner*  
Signature

**7 June '00**  
Date

**5**

Total number of pages including cover sheet, attachments, and

**A S S I G N M E N T**

Whereas John C. Houck, Ph.D. assigned and transferred to the estate of Gerold K.V. Klein by instrument dated October 19, 1994 all right, title and interest in the inventions disclosed in United States Patent Application Ser. No. 07/801,968 entitled PROTEOLYTIC MIXTURE CONTAINING ESCHARASE AND METHOD OF ISOLATING SAME including any rights in foreign applications (Exhibit A); and

Whereas John C. Houck intended by such document to assign and transfer the estate of Gerold K.V. Klein all right title and interest to any and all improvements in PROTEOLYTIC MIXTURE CONTAINING ESCHARASE AND METHOD OF ISOLATING SAME; and

Whereas an international patent application, Serial No. PCT-WO93/10811, was filed based on the priority rights, from which applications were filed in Australia, Canada, European Patent Office, Finland, Hungary, Israel, Japan, Portugal and Taiwan;

Whereas the all the foregoing right, title and interest to the foregoing patents and applications has now been assigned and transferred to Mark C. Klein, son of Gerold K.V. Klein;

I, Charlotte Houck, as an individual, and Mary MacDoanld, as Executrix of the estate of John C. Houck (inventor), hereinafter collectively called Assignor, for good and valuable consideration from Mark C. Klein, residing at 29 Taylor Street, No. 1, Portland, ME 04102, hereinafter called the Assignee, receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto the Assignee, its successors and assigns, any and all remaining entire right, title and interest, if any, in, to and under United States Letters Patent No. 5,830,739 for PROTEOLYTIC MIXTURE CONTAINING ESCHARASE AND METHOD OF ISOLATING SAME, including all priority rights ensuing from the applications from which that patent issued, including the applications set forth above, and the inventions and any of them therein set forth and described, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor, together with the right to apply for such Letters Patent.

The undersigned hereby grants the law firm of Dike, Bronstein, Roberts & Cushman LLP of 130 Water Street, Boston, Massachusetts 02109-4280, U.S.A. the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark, Office for recordation of this document.

PROOF OF AUTHORITY to act on behalf of the estate of John C. Houck is attached hereto (Exhibit B).

IN WITNESS WHEREOF, We, Charlotte Houck, as an individual, and Mary MacDonal, as the Executrix of the estate of John C. Houck, hereunto set our hand and seal on the date set forth below.

Date: May 26, 00

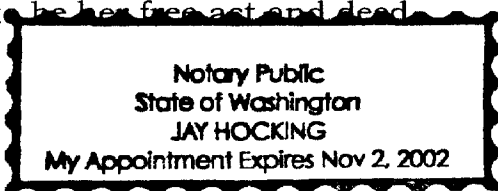
Charlotte F. Houck  
Charlotte Houck (an individual)

Date: June 2, 00

Mary MacDonald  
Mary MacDonald, Executrix  
of the Estate of John C. Houck

State of Washington  
County of King ss.

Before me this 26<sup>th</sup> day of May, 2000, personally appeared Charlotte Houck, who is to me personally known, and acknowledged the foregoing instrument of assignment to be her free act and deed.



Jay Hocking  
Notary Public

State of Washington  
County of Whatcom ss.

Before me this 2<sup>nd</sup> day of June, 2000, personally appeared Mary MacDonal, who is to me personally known, and acknowledged the foregoing instrument of assignment to be her free act and deed.



Sharon Nevarez  
Notary Public  
My Commission expires  
6-30-00

A S S I G N M E N T

In consideration of One Dollar (\$1.00) and other good and valuable considerations, the receipt of which is hereby acknowledge, I (we), the undersigned, John Houck

5500 Princeton Avenue, N.W.  
Seattle, WA 98105

Hereby sell, assign and transfer to the estate of Gerold K.V. Klein whose personal representative is Margret L. Klein whose Address is 3125 Mere Point Road, Brunswick Maine 04001

\_\_\_\_\_, its \_\_\_\_\_ assigns and legal representatives, the entire right, title and interest in the United States in any to any and all improvements which are disclosed and claimed, and as possessed by the undersigned, any and all improvements which are disclosed but not claimed, in the application for United States Patent, \* which has been executed by the undersigned on \_\_\_\_\_ and which is entitled PROTEOLYTIC MIXTURE CONTAINING ESCHARASE AND METHOD OF ISOLATING SAME

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letter Patent throughout the world which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original, reissued or any other patents which have been or shall be issued on said improvements.

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its \_\_\_\_\_ assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said improvements or any of them, disclosed in said application.

Agree that when requested, without charge to but at the expense of said Assignee, its \_\_\_\_\_ assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its \_\_\_\_\_ assigns or representatives, all facts known to the undersigned, relating to said improvements and the history thereof; testify in all legal proceedings, and generally do everything possible when said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said improvements and for vesting title to said improvements, in said Assignee, its \_\_\_\_\_ assigns and legal representatives, and

Covenant with said Assignee, its \_\_\_\_\_ assigns or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Signed *John Houck*  
Date: 10/19/94  
Witness: *Charlotte Houck*

Signed \_\_\_\_\_  
Date: \_\_\_\_\_  
Witness: \_\_\_\_\_

Signed \_\_\_\_\_  
Date: \_\_\_\_\_  
Witness: \_\_\_\_\_

Signed \_\_\_\_\_  
Date: \_\_\_\_\_  
Witness: \_\_\_\_\_

Attach a separate sheet is if there are more than four (4) inventors  
\*\* United States Patent Office Serial No: 07/801,968 Filing Date: 12/3/91

**SUPERIOR COURT OF  
THE STATE OF WASHINGTON  
FOR WHATCOM COUNTY**

FILED  
COUNTY CLERK

98 JUN 11 PM 12:34

WHATCOM COUNTY  
WASHINGTON

IN THE MATTER OF THE ESTATE OF  JOHN C. HOUCK,  <p style="text-align: right;">Deceased.</p>	No. 98-4-00206-2 <i>edy</i>  <b>LETTERS TESTAMENTARY</b>
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WHEREAS, the last Will of JOHN C. HOUCK, deceased, was on the 10th day of June, 1998 duly exhibited, proven, and recorded in our said Superior Court.

AND WHEREAS, it appears that MARY MACDONALD, has been named Executrix in and by said will;

NOW, THEREFORE, know all men by these presents, that we do hereby appoint and authorize MARY MACDONALD, Executrix, to execute said Will according to law.

WITNESS Martha V. Gross, Court Commissioner of said Superior Court and the seal of said Court hereto affixed this 11th day of June, 1998.

County Clerk and Ex-Officio Clerk of the  
Superior Court of Whatcom County, Washington

By *Ellen DeYoung* Deputy Clerk

**CERTIFICATE**

STATE OF WASHINGTON }  
COUNTY OF WHATCOM } ss.

I, N. F. Jackson, County Clerk and Clerk of the Superior Court of Whatcom County, do hereby certify that the above and foregoing is a true and correct copy of the Letters Testamentary in the above entitled matter, and were on the 11th day of June 1998 duly entered of record.

I further certify that said Letters are now in full force and effect.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the Seal of the Superior Court, this 16<sup>th</sup> day of November, 1998

County Clerk and Ex-Officio Clerk of the  
Superior Court of Whatcom County, Washington

By *Jo Ann Conly* Deputy Clerk

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