

Attorney Ref: 10-17-2000

Form PTO-1595
1-31-92

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

9-15-00 RECORD



101489302

To the Honorable Commissioner of Patents and Trad.

... attached original documents or copy thereof.

1. Name of conveying party(ies):
Julian C. Chen, Dimitri Kanevsky,
Wlodek W. Zadrozny
Additional name(s) of conveying party(ies)
attached? Yes No

2. Name and address of receiving party(ies):
Name: International Business Machine Corporation
Armonk, New York 10504

JCS64 U.S. PTO
09/663812

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other _____
Execution Date: September 11 and 12, 2000

Additional name(s) & address(es) attached:
 Yes No

4. Application number(s) or patent number(s): 09/663812
If this document is being filed together with a new application, the execution date of the application is: September 11 and 12, 2000

A. Patent Application No. (s)

B. Patent No. (s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Frank Chau, Esq.
Street Address: F. CHAU & ASSOCIATES, LLP
1900 Hempstead Turnpike, Suite 501
City: East Meadow State: NY Zip: 11554

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. §3.41): \$40.00
 Enclosed
 Authorized to be charged to deposit account

8. Deposit account number:
50-0510/IBM (Yorktown Heights)
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Frank V. DeRosa
Registration No. 43,584
Name of Person Signing Frank V. DeRosa Signature [Signature] Date 9/15/00
Total number of pages including cover sheet, attachments and documents: 3

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:
Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231
Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

10/16/2000 GTON11 00000134 500510 09663812
01 FC:581 40.00 CH

Assignment

Whereas, we

Inventor
and City

(1) Julian C. Chen
County of Westchester

of White Plains
and State of New York

and (2) Dimitri Kanevsky
County of Westchester

of Ossining
and State of New York

and (3) Wlodek W. Zadrozny
County of Westchester

of Tarrytown
and State of New York

and (4)
County of

of
and State of

have invented certain improvements in

TITLE **SYSTEM AND METHOD FOR MANAGING A TEXTUAL ARCHIVE
USING SEMANTIC UNITS**

and executed, respectively, a United States patent application therefor on
DATES THAT (1) Sept 11, 2000 and (2) Sept 11 2000 and (3) Sept 12, 2000
INVENTORS (4) 2000 and (5) 2000 and (6) 2000 and (6) 2000.
SIGNED THE
DECLARATION

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York,
having a place of business at Armonk, New York 10504, (hereinafter called IBM) desires to acquire
the entire right, title and interest in the said application and invention, and to any United
States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above
named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right,
title and interest in the said application and invention therein disclosed for the United States
and foreign countries, and all rights of priority resulting from the filing of said United States
application, and we request the Commissioner of Patents to issue any Letters Patent granted upon
the inventions set forth in said application to IBM, its successors and assigns; and we hereby
agree that IBM may apply for foreign Letters Patent on said invention and we will execute all
papers necessary in connection with the United States and foreign applications when called upon
to do so by IBM.

CITY (1) at Yorktown Hgts
DATE on Sept 11 2000, Julian C. Chen SIGNATURE
(Julian C. Chen) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

CITY and (2) at Yorktown Hgts
DATE on Sept 11 2000, Dimitri Kanevsky SIGNATURE
(Dimitri Kanevsky) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

CITY and (3) at Yorktown Hgts
DATE on Sept. 12, 2000, Wlodek W. Zadrozny SIGNATURE
(Wlodek W. Zadrozny) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

CITY and (4) at
DATE on 2000, () SIGNATURE
() INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

CITY and (5) at
DATE on 2000, () SIGNATURE
() INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

CITY and (6) at
DATE on 2000, () SIGNATURE
() INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

State of New York) ss.: YORKtown Heights
County of Westchester)

INVENTOR
(1)

On this 11 day of Sept 2000, before me personally came
Julian C. Chen to me personally known, and known to me to be the
person described in and who executed the foregoing assignment, and he acknowledged
to me that he executed the same as his free act and deed.

Rosemarie M. Gratz
Notary

State of New York) ss.: YORKtown Heights
County of Westchester)
ROSEMARIE M. GRATZ
NOTARY PUBLIC, State of New York
No. 01GR493297
Qualified in Putnam & Westchester Counties
Commission Expires July 5, ~~1999~~ 2002

INVENTOR
(2)

On this 11 day of Sept 2000, before me personally came
Dimitri Kanevksy to me personally known, and known to me to be the
person described in and who executed the foregoing assignment, and he acknowledged
to me that he executed the same as his free act and deed.

Rosemarie M. Gratz
Notary

State of New York) ss.: YORKtown Heights
County of Westchester)
ROSEMARIE M. GRATZ
NOTARY PUBLIC, State of New York
No. 01GR493297
Qualified in Putnam & Westchester Counties
Commission Expires July 5, 19~~99~~2002

INVENTOR
(3)

On this 12 day of Sept 2000, before me personally came
Wlodek W. Zadrozny to me personally known, and known to me to be the
person described in and who executed the foregoing assignment, and he acknowledged
to me that he executed the same as his free act and deed.

Rosemarie M. Gratz
Notary

State of) ss.:
County of)
ROSEMARIE M. GRATZ
NOTARY PUBLIC, State of New York
No. 01GR493297
Qualified in Putnam & Westchester Counties
Commission Expires July 5, 19~~99~~2002

INVENTOR
(4)

On this _____ day of _____ 2000, before me personally came
_____ to me personally known, and known to me to be the
person described in and who executed the foregoing assignment, and he acknowledged
to me that he executed the same as his free act and deed.

Notary

State of) ss.:
County of)

INVENTOR
(5)

On this _____ day of _____ 2000, before me personally came
_____ to me personally known, and known to me to be the
person described in and who executed the foregoing assignment, and he acknowledged
to me that he executed the same as his free act and deed.

Notary

State of) ss.:
County of)

INVENTOR
(6)

On this _____ day of _____ 2000, before me personally came
_____ to me personally known, and known to me to be the
person described in and who executed the foregoing assignment, and he acknowledged
to me that he executed the same as his free act and deed.

Notary

Form PTO-1595
1-31-92

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Julian C. Chen, Dimitri Kanevsky,
Wlodek W. Zadrozny
Additional name(s) of conveying party(ies)
attached? Yes No

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation
Armonk, New York 10504

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other _____

Additional name(s) & address(es) attached:
 Yes No

Execution Date: September 11 and 12, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 11 and 12, 2000

A. Patent Application No. (s)

B. Patent No. (s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frank Chau, Esq.
Street Address: F. CHAU & ASSOCIATES, LLP
1900 Hempstead Turnpike, Suite 501
City: East Meadow State: NY Zip: 11554

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. §3.41): \$40.00
 Enclosed
 Authorized to be charged to deposit account

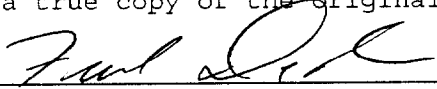
8. Deposit account number:
50-0510/IBM (Yorktown Heights)
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank V. DeRosa
Registration No. 43,584
Name of Person Signing


Signature

9/15/00
Date

Total number of pages including cover sheet, attachments and documents: 3

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:
Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

PTO
1595
09/15/00