

10-17-2000

DEPARTMENT OF

COMMERCE

(Rev. 6-93)

PA

OMB No. 0651-0011 (exp. 4/94)



101489301

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks, Department of Commerce, Washington, D.C. 20231

Original documents or copy

1. Name of conveying party(ies):

Steven C. Bartolutti
 Leslie A. Baxter
 Jane B. Clayton
 Clay A. Feustel
 Harold W. Friesen
 David R. Hawkins
 Christopher M. Helmstetter
 Ian A. White

9-18-00

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.
 Street Address: 600 Mountain Avenue
 P.O. Box 636
 Murray Hill, NJ 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: 9/1/00; 9/5/00; 9/6/00; & 9/13/00

4. Application number(s) or patent number(s):

09/664243

If this document is being filed together with a new application, the execution date of the application is:

A. Patent application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

David P. Kelley, Esq.
 Thomas, Kayden, Horstemeyer & Risley
 100 Galleria Parkway
 Suite 1500
 Atlanta, Georgia 30339

6. Total number of applications/patents involved: ☐ 1 ☒ 2

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit Account Number:

12-2325
 (Attach duplicate copy of this page if paying by Deposit Account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a True copy of the original document.

Daniel J. Santos
 Name of Person Signing

Signature

September 18, 2000
 Date

Lucent #: Bartolutti 5-9-5-2-15-6-27-23 Total # of pages including cover sheet, attachments, and document: ☐ 10 ☒ 11

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

10/16/2000 GTOM11 00000146 122325 09664243

01 FC:581 40.00 CH

PATENT
 REEL: 011152 FRAME: 0341

ASSIGNMENT AND AGREEMENT

For value received, I, **Steven C. Bartolutti** of Suwanee in the County of Forsyth and State of Georgia, **Leslie A. Baxter** of Little Silver in the County of Monmouth County in State of New Jersey, **Jane B. Clayton** of Suwanee in the County of Gwinnett and State of Georgia, **Clay A. Feustel** of Lawrenceville in the County of Gwinnett and State of Georgia, **Harold W. Friesen** of Dunwoody in the County of DeKalb and State of Georgia, **David R. Hawkins** of Sugar Hill in the County of Gwinnett and State of Georgia, **Christopher M. Helmstetter** of Bridgewater in the County of Somerset and State of New Jersey, and **Ian A. White** of Dunwoody in the County of DeKalb and State of Georgia, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **System for Providing Universal Cross-Connect Connectivity in a Central Office** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


Steven C. Bartolutti

Date: 9/5/2000

United States of America)

State of Georgia) ss.:

County of Gwinnett)

On this 5th day of September, 2000, before me personally came **Steven C. Bartolutti**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

MY COMMISSION EXPIRES ON
20 SEPTEMBER 2000



Leslie A. BaxterDate: 9/1/00

United States of America)

State of New Jersey) ss.:

County of Monmouth)

On this 1 day of September, 2000, before me personally came **Leslie A. Baxter**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

ANGELA F. SMITH
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES
MARCH 6, 2002

Jane B. Clayton
Jane B. Clayton

Date: 9/6/00

United States of America)

State of Georgia) ss.:

County of Gwinnett)

On this 6th day of September, 2000, before me personally came **Jane B. Clayton**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Spencer S. Peddy
Notary Public

MY COMMISSION EXPIRES ON
20 SEPTEMBER 2000


Clay A. Feustel

Date: 9/5/00

United States of America)

State of Georgia) ss.:

County of Gwinnett)

On this 5th day of September, 2000, before me personally came **Clay A. Feustel**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

MY COMMISSION EXPIRES ON
20 SEPTEMBER 2000

Harold W. Friesen
Harold W. Friesen

Date: Sept. 6, 2000

United States of America)

State of Georgia) ss.:

County of Winnett)

On this 6th day of September, 2000, before me personally came **Harold W. Friesen**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Stephen B. Peddy
Notary Public

MY COMMISSION EXPIRES ON
21 SEPTEMBER 2001

MY COMMISSION EXPIRES ON
26 SEPTEMBER 2000

David R. Hawkins
David R. Hawkins

Date: 6 Sept 2000

United States of America)

State of Georgia) ss.:

County of Dunn)

On this 6th day of September, 2000, before me personally came **David R. Hawkins**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Julia S. Peddy
Notary Public

MY COMMISSION EXPIRES ON
20 SEPTEMBER 2000

Christopher M. Helmstetter
Christopher M. Helmstetter

Date: 9/13/00

United States of America)

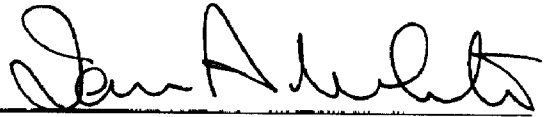
State of New Jersey) ss.:

County of Moni)

On this 13 day of September, 2000, before me personally came **Christopher M. Helmstetter**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Annabelle L. Short
Notary Public

ANNABELLE L. SHORT
NOTARY PUBLIC OF NEW JERSEY USA
My Commission Expires Jan. 31, 2005



Ian A. White

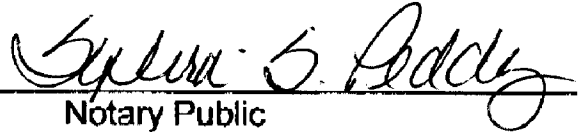
Date: 9/6/2000

United States of America)

State of Georgia) ss.:

County of Gwinnett)

On this 6th day of September, 2000, before me personally came **Ian A. White**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

MY COMMISSION EXPIRES ON
28 SEPTEMBER 2000

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636

PATENT

RECORDED: 09/18/2000

REEL: 011152 FRAME: 0350

FILE No. 289 08/30/00 15:40 ID: IHMS/KDEN/HSMYR/RSLY

FAX:

PAGE

22