

10-18-2000

Docket No.: 429981/069

ET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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To the Honorable Commissioner of Patents and Trademarks. Please file the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mee Koy Chin  
Jose L. Jimenez

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: August 19, 2000, September 20, 2000

2. Name and address of receiving party(ies):

Name: Nanovation Technologies, Inc.

Address: 2665 South Bayshore Drive, Suite 501

City: Miami

State/Prov.: FL

Country: United States

ZIP: 33133

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: September 21, 2000

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name Steven B. Pokotilow

Registration No. 26,405

Address: Stroock & Stroock & Lavan LLP

180 Maiden Lane

City: New York

State/Prov.: NY

Country: United States

ZIP: 10038

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

19-4709

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven B. Pokotilow, Reg. No. 26,405

Name of Person Signing

Signature

September 21, 2000

Date

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 011155 FRAME: 0506

Docket No.  
429981/069  
SBP:LAB:lo

## ASSIGNMENT

WHEREAS, we, Mee Koy Chin, citizen of Malaysia, residing at 3506 Elmwood Avenue, Wilmette, Illinois, 60091 and Jose L. Jimenez, citizen of Spain, residing at 3083 Signature Boulevard #F, Ann Arbor, Michigan 48103, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements in WAVELENGTH-SLICING ARCHITECTURE FOR WAVELENGTH DEMULTIPLEXING USING MICRO-RING RESONATORS for which we have filed an application for Letters Patents of the United States concurrently herewith, claiming priority from Application Serial No. 60/155,307 filed on September 21, 1999;

WHEREAS, Nanovation Technologies, Inc., a corporation having a principal place of business at 2665 South Bayshore Drive, Suite 501, Miami, Florida 33133 hereinafter referred to as ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the improvements and the application,

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the ASSIGNEE, its respective successors, legal representatives and assigns, the entire right, title and interest in, to and under the improvements, and the application and all divisions, renewals, continuations and continuations-in-part thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for the improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for the improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for the improvements to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting the improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the improvements in all countries

IN TESTIMONY WHEREOF, we have set our hand and seal to this Assignment

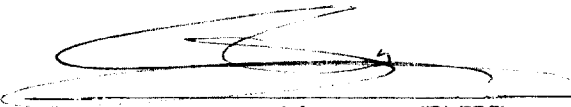
\_\_\_\_\_, 2000

\_\_\_\_\_  
MEE KOY CHIN

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ ) ss.:  
\_\_\_\_\_ )

On this \_\_\_\_ day of \_\_\_\_\_, 2000 before me, a Notary Public in and for the State and County aforesaid, personally appeared MEE KOY CHIN, to be known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

August 19<sup>th</sup>, 2000

  
\_\_\_\_\_  
JOSE L. JIMENEZ

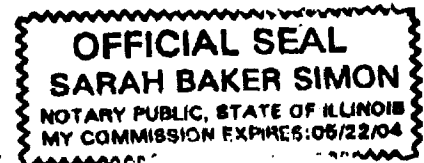
State of \_\_\_\_\_ )  
County of \_\_\_\_\_ ) ss.:  
\_\_\_\_\_ )

On this 19 day of August, 2000 before me, a Notary Public in and for the State and County aforesaid, personally appeared JOSE L. JIMENEZ, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

IN TESTIMONY WHEREOF, we have set our hand and seal to this Assignment.

9/20/, 2000

MEE KOY CHIN



State of Illinois

County of Cook

)  
) ss.: Sarah Baker Simon

On this 20 day of September, 2000 before me, a Notary Public in and for the State and County aforesaid, personally appeared MEE KOY CHIN, to be known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

\_\_\_\_\_, 2000

JOSE L. JIMENEZ

State of

County of

)  
) ss.:  
)

On this \_\_\_\_\_ day of \_\_\_\_\_, 2000 before me, a Notary Public in and for the State and County aforesaid, personally appeared JOSE L. JIMENEZ, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.