

10-19-2000



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(Rev. 6-93) F2-46-P

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101491693

DEPT. OF COMMERCE
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To: Hon. Commissioner of Patents and Trademarks: Please record the attached original document or copy:

1. Name of conveying party(ies):

Takashi OHSAKI

Additional names? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Nikkiso Co., Ltd.

Internal Address: _____

Street Address: 43-2, Ebisu 3-chome,

Shibuya-ku, Tokyo, Japan

City: _____ State: _____ ZIP: _____

Additional names & addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: September 20, 2000

MRO 10-4/00

4. Application number(s) or patent number(s):

Attorney Docket No.: M1873-21

If this document is being filed together with a new application, execution date of application is: _____

A. Patent Serial No.(s): 09/615,104

B. Patent No.(s): _____

Filing Date: July 13, 2000

Issue Date: _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
correspondence should be mailed:

Name: Thomas R. Morrison, Esq.

Internal Address: Morrison Law Firm

Street Address: 145 North Fifth Avenue

City Mount Vernon State: N.Y. ZIP: 10550

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to Deposit Account

(Attach duplicate copy of page if paying by deposit
account)

8. ☒ Charge any additional fees in connection with
this submission to our Deposit Account No. 13-4550.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is
a true copy of the original document.

Lyman H. Smith

Name of Person Signing

Reg. No. 44,342

Signature

Date

October 2, 2000

Total number of pages including cover sheet, attachments, and document: 3

REEL: 011157 FRAME: 0064

M1873-21

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,

Takashi OHSAKI
c/o Nikkiso Co., Ltd.
Shizuoka Plant
498-1, Shizutani, Haibara-cho,
Haibara-gun, Shizuoka 421-0496, Japan
resident of Shizuoka, Japan

hereby sells, assigns, and transfers to Nikkiso Co., Ltd.
a corporation of JAPAN

having a place of business at 43-2, Ebisu 3-chome, Shibuya-ku, Tokyo, Japan

their successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries including the right to claim priority, in and to any and all Improvements which are disclosed in the application for United States Letters Patent, filed on July 13, 2000 and identified as Serial No. 09/615,104 and Docket No. M1873-21, and entitled:

**CARBONACEOUS NANOTUBE, NANOTUBE AGGREGATE, METHOD FOR
MANUFACTURING A CARBONACEOUS NANOTUBE**

and in and to said application and all divisional, continuing, substitute, renewal and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements, and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements, together with the right to sue for past damages, if any;

Agrees that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge in to but at the expense of said Assignee, its successors, assigns and legal representative, to carry out in good faith the Intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications in any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other property communicate to said Assignee, its successors, assign, and representatives, all facts known to the undersigned relating in said

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improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representative shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenants with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under section 1001 of Title 18 of the United States Code and that each willful false statement may jeopardize the validity of this assignment, patent application and any patent or patent application and any patent issuing thereon.

* Takashi Ohsaki
Takashi OHSAKI

Date: * 9/20/2000