

# RECORDATION FORM PATENTS (

10-19-2000



NT OF COMMERCE

and Trademark Office

101491428

To the Assistant Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

(1) Chen-Fu Chien

(2) Shao-Chung

(3) Chih-Ping Chen

2. Name and address of receiving party(ies):

Macronix International Co., Ltd.<sup>9</sup>

No. 16, Li-Hsin Road

Science-Based Industrial Park

Hsinchu, Taiwan, R.O.C.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: (1) 15 September 2000; (2) 15 September 2000; (3) 15 September 2000

MRD 9-29-00

4. Application number(s) or patent number(s): 09/568,323

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Ernest J. Beffel, Jr.

Haynes &amp; Beffel LLP

P.O. Box 366

Half Moon Bay, CA 94019

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) ..... \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account8. Deposit account number: 50-0869  
(Attorney Docket No.: MXIC 1286-1)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Ernest J. Beffel, Jr., Reg. No. 43,489

Typed Name Reg. No.

Signature

25 September 2000

Date

Total number of pages including cover sheet, attachments and document: [4]

10/18/2000 NTHA11 00000279 09568323

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40.00 DP

PATENT  
REEL: 011157 FRAME: 0843

**JOINT TO CORPORATE  
ASSIGNMENT**

WHEREAS, the undersigned,

(1) Chen-Fu Chien  
No. 153 Tung Mei Road  
Hsinchu 300, Taiwan, R.O.C.

(2) Shao-Chung  
No. 13, Lane 134, Shih-Ping Road  
Hsinchu 300, Taiwan, R.O.C.

(3) Chih-Ping Chen  
6F, No. 61 Green Water Road  
Hsinchu 300, Taiwan, R.O.C.

hereinafter termed "Inventors", have invented certain new and useful improvements in

**PROCEDURE AND APPARATUS FOR OPTIMAL WAFER EXPOSURE  
PATTERN**

and have filed an application for a United States patent disclosing and identifying the above invention on 9 May 2000 as Application No. 09/568,323, OR are filing such an application herewith, and have executed an oath or declaration of inventorship for such application on:

(1) the 15th day of September, 2000;

(2) the 15th day of September, 2000;

(3) the 15th day of September, 2000;

(hereinafter termed "application"); and

WHEREAS, **Macronix International Co., Ltd.**, a corporation of Taiwan, having a place of business at No. 16, Li-Hsin Road, Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C. (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production

of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below.

Ch Fu Chien  
Chen-Fu Chien

09/15/2000  
Date

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ )

On \_\_\_\_\_, 2000, before me, \_\_\_\_\_,  
personally appeared \_\_\_\_\_

\_\_\_\_\_ personally known to me or \_\_\_\_\_ proved to me on the basis of satisfactory evidence, to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
(Notary Public)

Shao-Chung Hsu  
Shao-Chung Hsu

04/15/2000  
Date

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ )

On \_\_\_\_\_, 2000, before me, \_\_\_\_\_,  
personally appeared \_\_\_\_\_,  
\_\_\_\_\_ personally known to me or \_\_\_\_\_ proved to me on the basis  
of satisfactory evidence, to be the person whose name is subscribed  
to the within instrument and acknowledged to me that he/she  
executed the same in his/her authorized capacity, and that by  
his/her signature on the instrument the person or the entity upon  
behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
(Notary Public)

Chih-Ping Chen  
Chih-Ping Chen

04/15/2000  
Date

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ )

On \_\_\_\_\_, 2000, before me, \_\_\_\_\_,  
personally appeared \_\_\_\_\_,  
\_\_\_\_\_ personally known to me or \_\_\_\_\_ proved to me on the basis  
of satisfactory evidence, to be the person whose name is subscribed  
to the within instrument and acknowledged to me that he/she  
executed the same in his/her authorized capacity, and that by  
his/her signature on the instrument the person or the entity upon  
behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
(Notary Public)

中華民國  
地方方法院公証處公証人認証。  
公証人 張智德  
Attested on this \_\_\_\_\_ day, SEP 18 2000  
19\_\_\_\_, at the Hsin Chu District court  
Taiwan, Republic of China, That the  
signature(s)/seal(s) in this docu-  
ment is/are authentic

Jen Tzu Na  
No. 5867  
Chung Gue Der  
Notary Public