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(exp. 4/94)  
LegalStar 9/29/00

10-20-2000

Docket No.: TPP 31329

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



101492093

The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Masaaki NABIKA

2. Name and address of receiving party(ies):

Name: Sumitomo Chemical Company, Limited

Address: \_\_\_\_\_

5-33, Kitahama 4-chome

City: Chuo-ku

State/Prov.: Osaka

Country: Japan

ZIP: 541-8550

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: September 19, 2000

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s): 09/672306

If this document is being filed together with a new application, the execution date of the application is: September 19, 2000

Patent Application No.

Filing date

B. Patent No.(s)

10/18/2000 Pallen 00000021 09672306

02 FC:581

40.00 DP

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas P. Pavelko, Esquire

Registration No. 31,689

Address: \_\_\_\_\_

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.

1615 L Street, N.W., Suite 850

City: Washington

State/Prov.: DC

Country: US

ZIP: 20036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

19-4375

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas P. Pavelko

Name of Person Signing

Signature

September 29, 2000

Date

Total number of pages including cover sheet, attachments, and

2

PATENT  
REEL: 011161 FRAME: 0635

# USA

## ASSIGNMENT OF PATENT APPLICATION

(1) Masaaki NABIKA  
(2) \_\_\_\_\_  
(3) \_\_\_\_\_  
(4) \_\_\_\_\_

In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(5) Sumitomo Chemical Company, Limited  
(5a) of 5-33, Kitahama 4-chome, Chuo-ku, Osaka, Japan  
(6) \_\_\_\_\_  
(6a) of \_\_\_\_\_

(hereinafter designated as the Assignee) the entire rights, title and interest for the United States, its territories, dependencies and possessions, and for the country of

(7) \_\_\_\_\_ in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(8) TRANSITION METAL COMPOUND, ADDITION POLYMERIZATION CATALYST  
COMPONENT, ADDITION POLYMERIZATION (Case No. \_\_\_\_\_)  
CATALYST AND PROCESS FOR PRODUCTION OF OLEFIN POLYMER

for which the undersigned has (have) executed on even date herewith an application for patent in the United States of America or, if not on even date, then has executed

(9) on \_\_\_\_\_ or has already filed

(10) U.S. appln. Serial No. \_\_\_\_\_, filed on \_\_\_\_\_

(11) The undersigned acknowledges an obligation of assignment of this invention to said assignee at the time the invention was made.

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) no executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the firm of STEVENS, DAVIS, MILLER & MOSHER, L.L.P. the power to insert on this assignment any further identification which may be necessary or desirable to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Date September 19, 2000 Signature of Inventor (1) Masaaki Nabika (SEAL)

Date \_\_\_\_\_ Signature of Inventor (2) \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Signature of Inventor (3) \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Signature of Inventor (4) \_\_\_\_\_ (SEAL)

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. (b) a U.S. Counsel if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date September 19, 2000 Signature of Witness Yoshinori Seki (SEAL)

Date September 19, 2000 Signature of Witness Hirosaki Kuribayashi (SEAL)